Schedule 4

Proofs of Claim

United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076		LEHMAN SE PRO	CURITIES PROGRAMS OOF OF CLAIM			
In Re: Lehman Broth Debtors.	ners Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)		SBC - Southern District of New York nan Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000042090		
based on Le	form may not be used to chman Programs Securi dehman-docket.com as	o file claims other than those ties as listed on of July 17, 2009	district ora	e or made		
Name and address of Creditor: (and name and address where notices should be sent if different from Creditor) Legistics Ltd. c/o Capitol International LLC and Thomas R. Slome, Esq. Shop No. 2, G/FL, Meyer, Suozzi, English & Klein. PC Emirates Centre - PO Box 41710 990 Stewart Avenue Karama, Dubai U.A.E. P.O Box 9194 Attn: Mr. R. Sakhrani Garden City, New York 11530-9194 Telephone number:97150 4553832 email: capitol@emirates.net.ae Name and address where payment should be sent (if different from above) Check this box to indicate that this claim amends a previously filed claim. Court Claim Number: (If known) Filed on: Check this box if you are aware that the claim amends a previously filed claim.						
Telephone num	Section 5	ail Address:		anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.		
and whether su dollars, using the you may attach	1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates.					
Amount of Claim: \$ 500,000.00 (Required) Plus Interest; See Rider						
Check this	- Construction of the property					
2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.						
International Securities Identification Number (ISIN): XS0351261630 (Required)						
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates. Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number.						
CA07696	manufer.					
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.						
Accountholders BNP Paribas (Swift Code	Euroclear Bank, Clearstr Account No. 15512 at CEDELULLXXX)	eam Bank or Other Depository Par Clearstream (Required)	ticipant Account Number: See Rider and Certi	ficate of Wolding		
disclose your ide	re deemed to have authorize ntity and holdings of Lehma is and distributions. Signature: The person fil of the creditor or other per	n Bank or Other Depository: By filed, Euroclear Bank, Clearstream Bank in Programs Securities to the Debtors ing this claim must sign it. Sign and passon authorized to file this claim and see notice antiress above. Attach copy of the	or other depository to for the purpose of	OCT 1 9 2009 EPIQ BANKRUPTO SOLUTIONS, LLC		
Penalty	for presenting fraudulent cl	aim/ Fine of up to \$500,000 or impri	conment for up to 5 years	10 10 0 0 00 100		

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In re:		Chapter 11
LEHMAN BROTHERS HOL	DINGS INC., et al.,	Case No. 08-13555 (JMP)
	Debtors.	(Jointly Administered)
	v	

RIDER TO LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM

1. <u>Basis for Claim</u>.

Legend Logistics Ltd. ("Claimant") is the beneficial holder of the securities listed on the attached Certificate of Holdings (the "Certificate"). The Certificate provides the amount, type and ISIN Code for the securities, as well as the account number of the institution through which Claimant holds these securities. A "Blocking Number" is also reflected on the Certificate.

Claimant makes claim against Lehman Brothers Holdings Inc. (the "Debtor") for the principal amount of these securities, which is \$500,000), plus any and all applicable interest or other earnings or charges to which Claimant is entitled as a result of the ownership of these securities, including without limitation interest accruing on the securities before and after the September 15, 2008 date on which the Debtor filed its bankruptcy petition. Interest accrued and unpaid to that date is \$9,270.80.

2. Reservation of Rights.

Claimant reserves the right to amend or supplement this Proof of Claim to reflect any additional claims against the Debtor, to specify additional interest, costs, expenses or other charges or claims incurred by the Claimant and to file additional claims that may be based on the same or additional documents.

3. No Waiver.

This Proof of Claim is filed to protect the Claimant from forfeiture of the Claim. The filing of this Proof of Claim is not: (a) a waiver or release of the Claimant's rights against any person, entity or property; (b) a consent by the Claimant to the jurisdiction of the Bankruptcy Court with respect to the subject matter of the Claim or any objection or other proceeding commenced in this case against or otherwise involving the Claimant; (c) a waiver of the right to move to withdraw the reference or otherwise to challenge the jurisdiction of this reference or otherwise challenge the jurisdiction of the Bankruptcy Court; (d) an election of remedy; (e) a waiver of any rights or claims the Claimant has against the Debtor or any person or entity with respect to any pending or future litigation or to any matters related to such litigation; or (f) a waiver of past, present or future defaults or events of default.

4. Notices.

All notices to the Claimant should be sent to:

MEYER, SUOZZI, ENGLISH & KLEIN, P.C. Attn: Thomas R. Slome, Esq. 990 Stewart Avenue, Suite 300 P.O. Box 9194 Garden City, New York 11530-9194

Email: tslome@msek.com

Phone: 516-592-5772

Legend Logistics Ltd. c/o Capitol International LLC Shop No. 2, G/Fl Emirates Centre (San Fashion Bldg) P.O. Box 41710 Karama, Dubai, U.A.E. Attn: Mr. R. Sakhrani

Email: capitol@emirates.net.ae

Phone: 97150 4553832

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5. Payment.

Payment on the claim should be made payable to Legend Logistics Ltd. and be sent to:

Legend Logistics Ltd. c/o BNP Paribas (Suisse) S.A. Selnaustrasse 16 CH -8022 Zurich Switzerland

Capitol International LLC P.O. Box 41710 Dubai United Arab Emirates

Geneva, 01.09.2009

Certificate of holding

We are pleased to confirm that you, as client of our Bank, held, as per Mr/Ms....., the following position:

<u>USD 500'000 - 7,5% LEHMAN BROTH TRSY 3/M LIB. 08-2018</u>

(ISIN code: XS0351261630)

Those securities are registered on our account n° 15512 named BNP Paribas/clients assets opened at Clearstream, 42 Avenue J.F. Kennedy, 1855 Luxembourg (CEDELULLXXX).

The Blocking Number is CA07696

This information is delivered upon your request and in the context of the filing of Proof in the Lehman Brothers Holding Incorporated's bankruptcy proceeding. It is given to you without any liability and should not be interpreted as an undertaking on our part.

Yours faithfully,

BNP PARIBAS (SUISSE) SA

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MEYER, SUOZZI, ENGLISH & KLEIN, P.C.

ONE COMMERCE PLAZA SUITE 1705 ALBANY, NEW YORK 12260 518-465-5551 FACSIMILE: 518-465-2033

1300 CONNECTICUT AVENUE, N.W. SUITE 600
WASHINGTON, DC 20036
202-955-6340
FACSIMILE: 202-223-0358

Counselors at Law
990 Stewart Avenue, Suite 300
P.O. Box 9194
Garden City, New York 11530-9194

516-741-6565

FACSIMILE: 516-741-6706

E-MAIL: meyersuozzi@msek.com

WEBSITE: http://www.msek.com

1350 Broadway, Suite 501 P.O. Box 822 New York, New York 10018-0026 212-239-4999 Facsimile: 212-239-1311

425 BROADHOLLOW ROAD, SUITE 405 P.O. BOX 9064 MELVILLE, NEW YORK 11747-9064 631-249-6565 FACSIMILE: 631-777-6906

THOMAS R. SLOME

October 16, 2009

VIA FEDEX

Epiq Bankruptcy Solutions, LLC Attn: Lehman Brothers Holdings Claims Processing 757 Third Avenue, 3rd Floor New York, NY 10017

> Re: In re Lehman Brothers Holdings Inc. Chapter 11 Case No. 08-13555 (JMP)

Dear Sir/Madam:

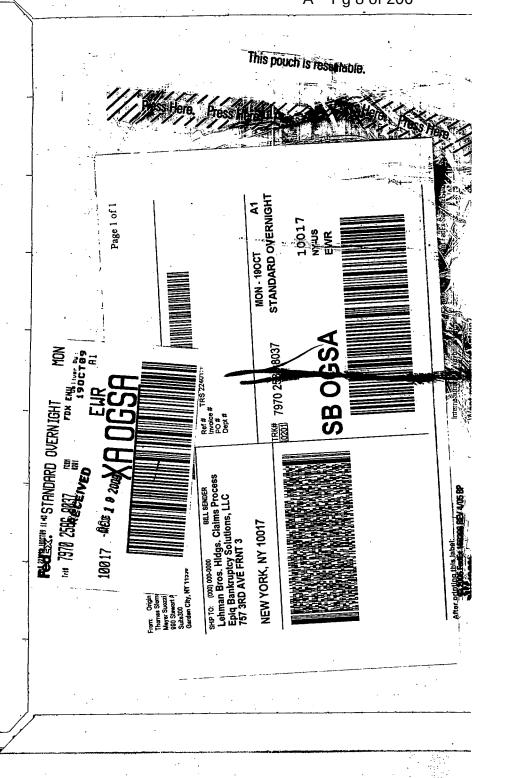
Enclosed is an original and one copy of a Lehman Securities Programs Proof of Claim, which we are submitting on behalf of our client, Legend Logistics Ltd.

Please file the original (together with its rider and other attachment) and return the copy, file stamped to show receipt, in the enclosed self-addressed post-paid envelope.

Very truly yours,

Thomas R. Slome

TRS/lg encl.



United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076		LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM			
In Re: Lehman Brothers Holdings Inc., et al Debtors.	Chapter 11 , Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Souther Lehman Brothers F 08-13555	Holdings Inc., Et Al.		
Note: This form may not be us based on Lehman Programs Se http://www.lehman-docket.com					
Creditor)	ame and address where notices should be		Check this box to indicate that this claim amends a previously filed claim.		
	nvest Financial Ser enna, Kaerntnerstra		Court Claim Number:(If known)		
1010	aus Schelhammer & S Vienna, Goldschmied Email Address:		Filed on:		
Goldschmiedgasse	mer & Schattera AG 3, 1010 Vienna, Aus		Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.		
Telephone number 43153434	Email Addressbank.office	aschelhammer.at			
Programs Securities as of September and whether such claim matured or b dollars, using the exchange rate as ap you may attach a schedule with the cl	1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates. Amount of Claim: \$				
2. Provide the International Securithis claim with respect to more than owhich this claim relates.	ies Identification Number (ISIN) for each one Lehman Programs Security, you may a	Lehman Programs Security to vattach a schedule with the ISINs	which this claim relates. If you are filing		
International Securities Identification Number (ISIN): XS0231181222 (Required)					
3. Provide the Clearstream Bank Blo appropriate (each, a "Blocking Numb from your accountholder (i.e. the ban than one Lehman Programs Security, relates.	cking Number, a Euroclear Bank Electron er") for each Lehman Programs Security is k, broker or other entity that holds such se you may attach a schedule with the Block	ic Reference Number, or other of for which you are filing a claim ecurities on your behalf). If you king Numbers for each Lehman	You must acquire a Blocking Number are filing this claim with respect to more Programs Security to which this claim		
number:	er, Euroclear Bank Electronic Instructi A34598		other depository blocking reference		
you are filing this claim. You must a	cquire the relevant Clearstream Bank, Eur	nt account number related to your	ur Lehman Programs Securities for which participant account number from your rs should not provide their personal account		
Accountholders Euroclear Bank, C	Clearstream Bank or Other Depository l (Require				
consent to, and are deemed to have a	arstream Bank or Other Depository: Buthorized, Euroclear Bank, Clearstream Buthorized, Euroclear Bank, Clearstream Buthorized, Euroclear Bank, Clearstream Buthorized, European B	ank or other depository to	FILED / RECEIVED		
2009 of the creditor or	erson filing this claim must sign it. Sign a other person authorized to file this claim at t from the notice address above. Attach co	nd state address and telephone	OCT 2 1 2009		



Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

any.

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Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076
New York, NY 10150-5076
United States of America
CERTIFIED MAIL / einschreiben

Vienna, 2009-10-15

Subject:

Proofs of Claim based on Lehman Programs Securities

Adjustment of our transmitted Proof of Claim Form dated 2009-09-28

Dear Sirs and Madams!

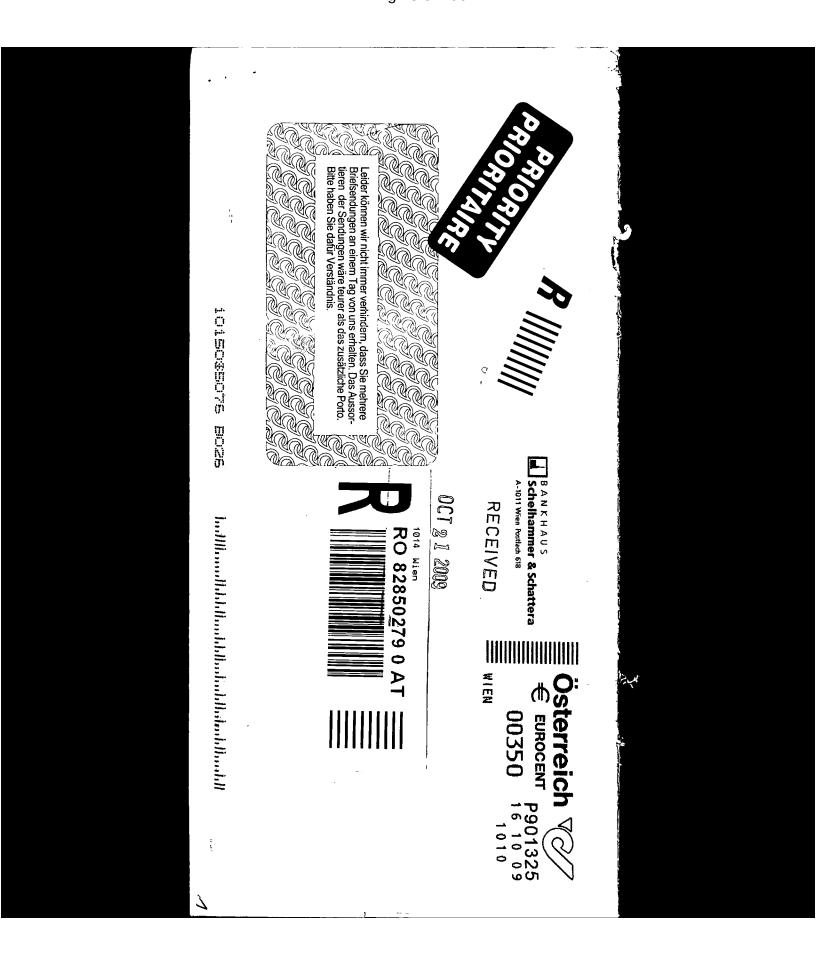
Referring to the subject mentioned above and our letter dated 2009-09-28 we kindly ask you to adjust our already transmitted Proof of Claim Form (dated see above) as we unfortunately have used a wrong blocking number. We hereby attach the "correct", duly completed form with the valid blocking number CA34598 in number 3.

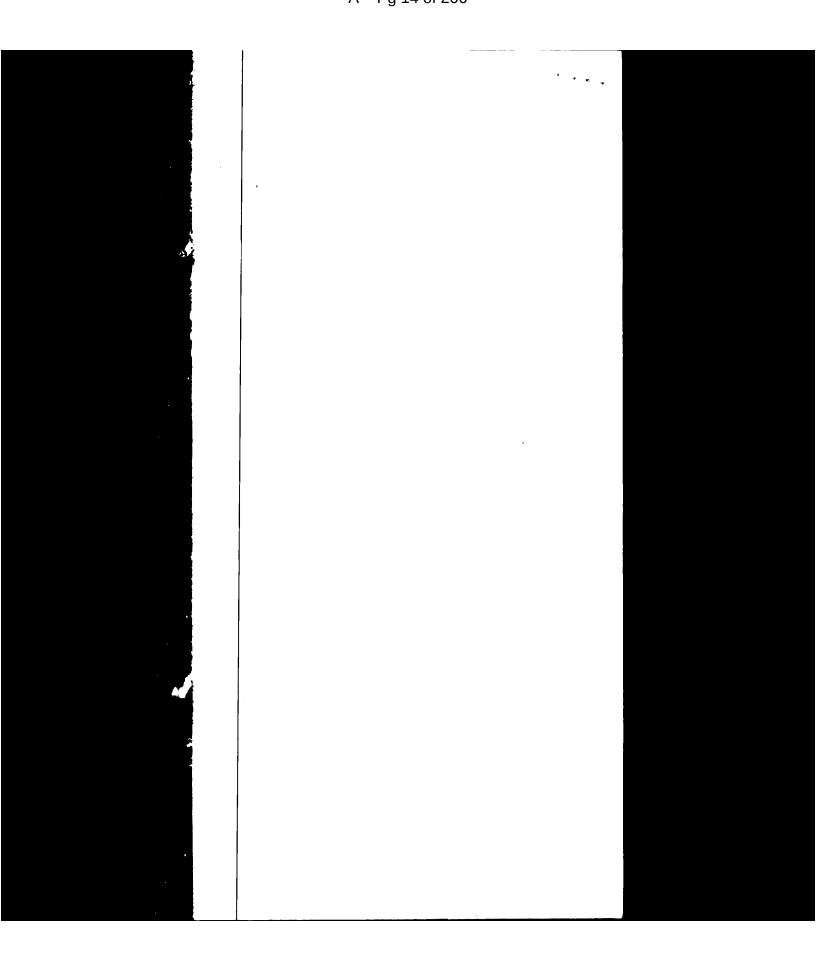
Except the mentioned blocking number, everything else stays the same.

We also ask you to affirm the reception of this letter including the form per e-mail bank.office@schelhammer.at for our records.

With kind regards,

One Attachment Proof of Claim Form 08-13555-mg Doc 52602-1 Filed 04/27/16 Entered 04/27/16 17:04:56 Attachment A Pg 12 of 206





United States Bankruptcy Court/Southern Di Lehman Brothers Holdings Claims Processing c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076	•	LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM			
In Re: Lehman Brothers Holdings Inc., et al., Debtors. Chapter 11 Case No. 08-13555 (JMP) Case No. 08-13555 (JMP) Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al.					
Note: This form may not be used to fi based on Lehman Programs Securities http://www.lehman-docket.com as of	s as listed on		0000044270		
Name and address of Creditor: (and name and Creditor) Lammin Säästöpe C/O Front Copital Aleksanterinkatu FI-00:00 HELSINK FIVLAND Telephone number: +35836829800 Email Name and address where payment should be s	ankki Ltd 48A		Check this box to indicate that this claim amends a previously filed claim. Court Claim Number: (If known) Filed on: Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.		
Telephone number: Email Address: 1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates. Amount of Claim: \$ 424530 (Required) Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates.					
International Securities Identification Number (ISIN): F10008903000 (Required) 3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates. Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: O4091009AK00000246323 (Required)					
4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers. Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: (Required)					
of the creditor or other pers	Bank or Other Depository: By Euroclear Bank, Clearstream Bank, Cle	filing this claim, you not or other depository to refer the purpose of d print name and title, if any, it state address and telephone by of power of attorney, if	PEOR COURT USE ONLY FILED / RECEIVED OCT 2 2 2009 EPIO BANKRUPTCY SOLUTIONS, LLC r both. 18 U.S.C. §§ 152 and 3571		

POWER OF ATTORNEY

The undersigned, Lammin Säästöpankki (0197794-8), hereby appoints Front Capital Ltd. (business identity code: 2045856-1) as our true and lawful attorney for the purposes of representing ourselves in our name and/or on our behalf in connection with or in relation to:

- (i) the insolvency proceedings of:
 - a) Lehman Brothers Holdings Inc. (the process initiated by the petition filed on September 15, 2008 in the United States Bankruptcy Court for the Southern District of New York seeking relief under chapter 11 of the United States Bankruptcy Code);
 - b) Lehman Brothers Treasury Co. B.V (the process initiated by the provisional suspension of payment (voorlopige surseance van betaling) granted by the Amsterdam District Court on September 19, 2008); and/or
 - c) such other group company of Lehman Brothers Holdings Inc. as Front Capital Ltd. deems appropriate for the purposes of supervising our interests;

(hereinafter referred to as the "Insolvency Proceedings") in each case, in our capacity of creditors under certain structured notes issued by Lehman Brothers Treasury Co. B.V and guaranteed by Lehman Brothers Holdings Inc. (notes with identification number(s) FI0008903000);

- (ii) any agreements, instruments and other documents in relation to the Insolvency Proceedings and any instruments and documents to be delivered by us under and in relation to the Insolvency Proceedings and sending documents and notices and providing the required information and taking any other actions under and in relation to the Insolvency Proceedings; and
- (iii) authorizing/appointing a third party attorney and/or attorney-at-law office in relation to the above and representing ourselves in our name and/or on our behalf towards such third party attorney and/or attorney-at-law office and agreeing of the fees and other costs in relation to such authorization/appointment in our name and/or on our behalf.

We hereby approve all that the said representative shall do or cause to be done by virtue of this Power of Attorney.

This Power of Attorney shall be governed by Finnish law.

Dated: 20.10.2008

NOTE HOLDER: LAMMIN SÄÄSTÖPANKKI

Print name of person signing

Markku Moilanen

To:

Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076

From:

Front Capital Ltd Aleksanterinkatu 48 A FI-00100 Helsinki Finland

Lehman Securities Programs Proof of Claims

Enclosed please find ten (10) Securities Programs Proof of Claims related to four (4) different securities issued by Lehman Brothers Treasury Co. B.V. and guaranteed by Lehman Brothers Holdings Inc.:

- 1. 4 YR CROSS ASSET ALPHA LOCKER, ISIN: FI0008903000 (7 Proof of Claims)
- 2. NOTES LINKED TO S&P BRIC INDEX, ISIN: FI0003025379 (1)
- 3. 4 SINGLE STOCK ALPHA NOTE, ISIN: FI0003025882 (1)
- RETURN LOCK 2012 NOTE, ISIN: FI0003026351 (1)

All these securities have been issued in the Finnish clearing system (Euroclear Finland Ltd. former APK Ltd).

The following information has been used when filling in the Proof of Claims:

- Eur/Usd exchange rate 1,4151 (ECB fixing rate as of September 15, 2008)
- 2. ISIN code of the related security
- 3. Blocking number registered and provided by the custodian bank
- 4. Identification number of the relevant custodian bank

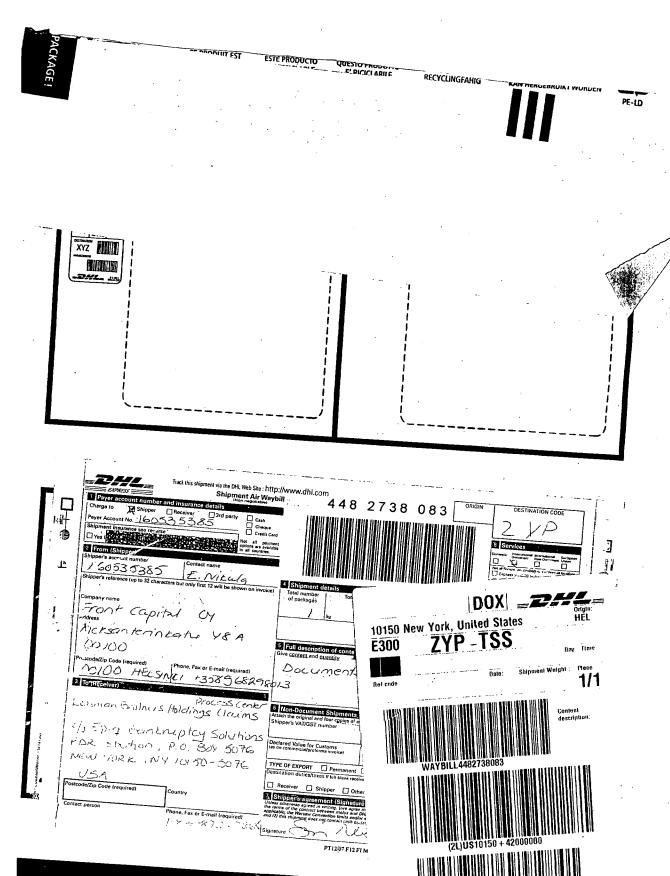
The Proof of Claims are signed by Front Capital Ltd based on the power of attorney (copies attached).

Any questions related to the Proof of Claims we ask kindly to contact Front Capital Ltd/Mr Pekka Rikala, email: pekka.rikala@front.fi, phone: +358 9 6829 8017

Pekka Rikala

Managing Director

Front Capital Ltd



REMELY URGENT DO NOT SEND CASH, DHL's liability shall not exceed

subject to future amendment

United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076		LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM		
Lehman Brothers Holdings Inc., et al., C	hapter 11 ase No. 08-13555 (JMP) ointly Administered)	initial biol	outhern District of New York hers Holdings Inc., Et Al. 13555 (JMP)	
Note: This form may not be used to the based on Lehman Programs Securities http://www.lehman-docket.com as of	s as listed on		0000044569	
Name and address of Creditor: (and name and Creditor) Luzerner Kantonalbank AG Legal & Compliance Department Pilatusstrasse 12 CH-6002 Luzern T: +41 41 206 24 86 / Mail: peter.felder	Please send all Baker & McKer att. Ira A. Reid 1114 Avenue o New York, 1003 © lukb.ch T: +1 212 626	notices also to: nzie LLP f the Americas	Check this box to indicate that this claim amends a previously filed claim. Court Claim Number: (If known) Filed on: rnet.com	
Telephone number: Email Name and address where payment should be	l Address: sent (if different from above)		Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.	
1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities on September 15, 2008 or acquired them thereafter, Programs Securities and September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates. Amount of Claim: \$\frac{1686'133.90}{1686'133.90}\$ (Required) Plus accrued and accruing interest to the extent permitted by agreement or by law Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities. 2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim relates. International Securities Identification Number (ISIN): CH0027120689 (Required) 3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates. Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference numbe				
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5. Consent to Euroclear Bank, Clearstream consent to, and are deemed to have authorize disclose your identity and holdings of Lehma reconciling claims and distributions.	d, Euroclear Bank, Clearstream Bank, Programs Securities to the Debt	y filing this claim, you ank or other depository to ors for the purpose of	FILED RECEIVED	
of the creditor or other per number if different from the	ing this claim must sign it. Sign at son authorized to file this claim are notice address above. Attach conzie LLP	ppy of power of attorney, if	OCT 2 3 2009 EPIQ BANKRUPTCY SOLUTIONS, LLC 1 both: 18 U.S.C. §§ 152 and 3571	

Blocking Reference Number
2720854538161510
4726024554130810
7173083654130810
1320142133161510
9961263054130810
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U-23-09 DATE

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subject to future amendment

United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076			URITIES PROGRAMS OF OF CLAIM	
In Re:	rs Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Filed: USBC - Southe Lehman Brothers I 08-13558	rn District of New York Holdings Inc., Et Al. 5 (JMP) 0000044573
based on Lef	orm may not be used t nman Programs Secur eliman-docket.com as	o file claims other than those ties as listed on of July 17, 2009		
Creditor) Luzerner Kantr Legal & Compi Pilatusstrasse CH-6002 Luze T: +41 41 206 Telephone num!	onalbank AG liance Department 12 rn 24 86 / Mail: peter.feld ber: Er	Baker & McKer att. Ira A. Reid 1114 Avenue o New York, 1003 er@lukb.ch T: +1 212 626 4	notices also to: izie LLP f the Americas	Check this box to indicate that this claim amends a previously filed claim. Court Claim Number: (If known) Filed on: ernet.com
		be sent (if different from above)		Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates. Amount of Claim: 7'136'224.35 (Required) Pulsa accrued and accruing interest to the extent permitted by agreement or by law Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.				
2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates. International Securities Identification Number (ISIN): CH0027120648 (Required)				
3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates.				
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Date. 2009, Oct. 23	of the creditor or other p number if different from any. Baker & McK	, , ,	I state address and telephone y of power of attorney, if	OCT 2 3 2009 EPIQ BANKRUPTCY SOLUTIONS, LLC
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United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076		PROO	JRITIES PROGRAMS F OF CLAIM orn District of New York Holdings Inc., Et Al.	
In Re:	Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)		Holdings Inc., Et Al. 0000044583 (SMP)
based on Lehm	n may not be used to an Programs Securi <u>man-docket com</u> as	o file claims other than those ties as listed on of July 17, 2009		. OR COURT USE ONLY
Creditor) Luzerner Kanton Legal & Complia Pilatusstrasse 12 CH-6002 Luzern T: +41 41 206 24	nalbank AG nce Department 2 1 4 86 / Mail: peter.feld	Baker & McKer att. Ira A. Reid 1114 Avenue o New York, 100	notices also to: nzie LLP f the Americas	Court Claim Number: (If known) Filed on: rnet.com
Telephone numbe Name and address		be sent (if different from above)		Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
Telephone number: Email Address: 1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates. Amount of Claim: \$\frac{3'642'006.60}{3'642'006.60}\$ (Required) Plus accrued and accruing interest to the extent permitted by agreement or by law Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities. 2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. International Securities Identification Number (ISIN): XS0269969027 (Required) 3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates. Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference				
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4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers. Euroclear Bank S.A., BE-Brussels Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: Account number 94218 (Required)				
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Date. 2009, Oct. 23	of the creditor or other number if different fro any. Baker & Mc	n filing this claim must sign it. Sign in person authorized to file this claim in the notice address above. Attach of Kenzie LLP	opy of power of attorney, if	TOO BANKRUPTCY SOLUTIONS, LLC
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United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076		LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM		
New York, NY 1 In Re: Lehman Brothers Debtors.	0150-5076 Chapter 11 Case No. 08-13 (Jointly Admin			C - Southern District of New York Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000044605
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Creditor) Luzerner Kantor Legal & Complia Pilatusstrasse 1 CH-6002 Luzer T: +41 41 206 2 Telephone numbe	ance Department 2 1 4 86 / Mail: peter.felder@lukb.ch	Please send al Baker & McKer att. Ira A. Reid 1114 Avenue of New York, 100 T: +1 212 626	I notices also to: nzie LLP of the Americas	Check this box to indicate that this claim amends a previously filed claim. Court Claim Number: (If known) Filed on: Crnet.com Check this box if you are aware that anyone clse has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
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Date. 2009, Oct. 23	Signature: The person filing this claim of the creditor or other person authorize number if different from the notice add any. Baker & McKenzie LLP for presenting fraudulent claim: Fine o	ed to file this claim a ress above. Attach c	opy of power of attorney, if	EPIQ BANKRUPTCY SOLUTIONS, LLC
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United States Bankruptcy Court/Southern District of New York Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076			LEHMAN SECURITIES PROGRAMS PROOF OF CLAIM	
In Re: Lehman Brothers Holdings Inc., et al., Debtors. Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)		Lehman Brott	Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000044610	
based on Lehi	rm may not be used t man Programs Securi hman-docket.com as			
Creditor)			all notices also to:	Check this box to indicate that this claim amends a previously filed claim.
Pilatusstrasse 1	ance Department 12		id e of the Americas	Court Claim Number: (If known)
CH-6002 Luzer T: +41 41 206 2 Telephone numb	24 86 / Mail: peter.feld	New York, 1 er@lukb.ch T: +1 212 62 nail Address:	0036, US 6 4100 / Mail: ira.a.reid@bak	
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Programs Securiand whether such dollars, using the you may attach a Amount of Clair Check this	ties as of September 15, 2 o claim matured or becam e exchange rate as applica schedule with the claim 4'328'264.52 box if the amount of claim	1008, whether you owned the Lehr te fixed or liquidated before or after ble on September 15, 2008. If you amounts for each Lehman Prograt (Required) in includes interest or other charge	nan Programs Securities on Septe ir September 15, 2008. The claim are filing this claim with respect as Security to which this claim rel plus accrued and accruing permitted by agreement of a in addition to the principal amou	Welest to the extern
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Date.	of the creditor or other	filing this claim must sign it. Sig person authorized to file this clain in the notice address above. Attack	and state address and telephone	EPIQ BANKRUPTCY SOLUTIONS, LLC

any. Baker & McKenzie LLP

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Attachment to Proof of Claim ISIN XS0274443422

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In Re:	<u> </u>	Chapter 11		USBC - Southern District of New York hman Brothers Holdings Inc., Et Al.
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C/ San Francisco 28003 Madrid, St				Filed on:
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Ms. María Segimón, as Attorney See attached Addendum to Proof of Claim for additional information

Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim.

DEFINITIONS

Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy

Creditor

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

Claim

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable

Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

Lehman Brothers Holdings Claims Processing c/o Epiq Bankruptcy Solutions, LLC FDR Station, PO Box 5076 New York, NY 10150-5076

Lehman Programs Security

Any security included on the list designated "Lehman Programs Securities" available on http://www.lehman-docket.com as of July 17, 2009.

INFORMATION

Acknowledgment of Filing of Claim

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (http://www.lehman-docket.com) to view your filed proof of claim.

Offers to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 et seq.), and any applicable orders of the bankruptcy court.

ADDENDUM TO PROOF OF CLAIM

This proof of claim is filed in the chapter 11 case of Lehman Brothers Holdings Inc., (the <u>Debtor</u>"), Case No. 08-13555 (JMP), by Inversiones Nhet, S.L. ("<u>Claimant</u>").

Claimant's address is set forth on the first page of this proof of claim. Any notice relating to this proof of claim should be sent to such address with additional copies to be sent to the following addresses:

DLA Piper Spain S.L. Paseo de la Castellana, 35-2 28046 Madrid, Spain Attention: María Segimón, Esq.

With an additional copy to:

DLA Piper LLP (US) 1251 Avenue of the Americas New York, New York 10020 Attention: William M. Goldman, Esq.

This proof of claim is filed in connection with a Lehman Program Security as that term is defined in that certain July 2, 2009 order establishing the deadline for filing proofs of claim (the "Bar Date Order"). As such, and pursuant to the express provisions of the Bar Date Order, no documentation supporting this proof of claim is attached.

Claimant is presently unable to calculate the amount due in connection with the Lehman Program Securities covered by this proof of claim and, therefore, this proof of claim is filed in an unliquidated amount for all ISINs listed below.

The following chart shows each Lehman Programs Security to which this claim relates, the depository blocking reference number, and the depository participant account number.

ISIN	Blocking Reference #	Depository participant account #
XS0258901759	6036177	EUROCLEAR # 22829
XS0286018758	6036178	EUROCLEAR # 22829

A copy of the power of attorney authorizing the execution of this proof of claim by María Segimón is attached hereto. Ms. Segimón's address is set forth above and her telephone number is +34 913191212.

Reservation of Rights

No judgment has been entered on any portion of the proof of claim.

The amounts of all payments on the claim have been credited and deducted for the purpose of making this proof of claim.

Claimant hereby reserves the right to assert further, additional and amended claims.

By executing and filing this proof of claim, Claimant, is not (i) waiving or releasing Claimant's rights against any other entity or person or (ii) electing a remedy which waives or otherwise affects any other remedy of Claimant.

Claimant, in executing and filing this proof of claim, does not waive any right to any security, collateral or share thereof to which it may be entitled or any right to claim specific assets or any other right or rights that it has or may have against the Debtor or any other person or persons, and expressly reserves the right to amend or supplement this proof of claim in any respect and to file additional proofs of claim for additional claims.

POWER OF ATTORNEY

The undersigned,

Mr./Mrs. JOSE LUIS NAVARRO IGLESIAS, of legal age, of spanish nationality, and holder of DNI passport of his nationality number 00549435B, in force,

domiciled at CL SAN FRANCISCO DE SALES 31 28003 MADRID, acting on behalf of INVERSIONES NHET S.L. (the Grantor).

Whereas, the Grantor grants a special power of attorney, but as broad as required by law, in favour of the following persons:

- (i) Mr. Juan Picón García de Leániz, of legal age, of Spanish nationality, domiciled in Madrid, Paseo de la Castellana, no. 35, 2nd floor, and holder of Spanish Identity Card number 50708807-V, in force;
- (ii) Ms. María Segimón de Manzanos, of legal age, of Spanish nationality, domiciled in Madrid, Paseo de la Castellana, no. 35, 2nd floor, and holder of Spanish Identity Card number 826346-W, in force;
- (iii) Mr. Javier Huerta Rebollo, of legal age, of Spanish nationality, domiciled in Madrid, Paseo de la Castellana, no. 35, 2nd floor, and holder of Spanish Identity Card number 11849665-L, in force,

in order that any of each of the aforementioned persons, jointly and severally, may, represent or designate another person to represent the Grantor in respect of Chapter 11 bankruptcy filed in the United States by Lehman Brothers Holdings Inc ("LBHI") and its affiliates as well as in any insolvency or bankruptcy proceeding of LBHI's non-U.S. Subsidiaries (the "Bankruptcy"), and in particular, and without limitation, to carry out the following acts in relation to the Bankruptcy:

El/Los abajo firmante/s,

D./D*. JOSE LUIS NAVARRO IGLESIAS, mayor de edad, de nacionalidad española, y con DNI pasaporte de su nacionalidad número 00549435B, en vigor,

con domicilio en CL SAN FRANCISCO DE SALES 31 28003 MADRID, actuando en nombre de INVERSIONES NHET S.L. (el Poderdante).

El Poderdante otorga un poder especial, pero tan amplio como en Derecho sea necesario a favor de las siguientes personas:

- (i) D. Juan Picón García de Leániz, mayor de edad, de nacionalidad española, con domicilio a estos efectos en Madrid, Paseo de la Castellana, nº 35, 2º planta, y provisto de D.N.I. nº 50708807-V, en vigor;
- (ii) D^a. María Segimón de Manzanos, mayor de edad, de nacionalidad española, con domicilio a estos efectos en Madrid, Paseo de la Castellana, nº 35, 2^a planta, y provisto de D.N.I. nº 826346-W, en vigor;
- (iii) D. Javier Huerta Rebollo, mayor de edad, de nacionalidad española, con domicilio a estos efectos en Madrid, Paseo de la Castellana, nº 35, 2º planta, y provisto de D.N.I. nº 11849665-L, en vigor,

para que cada uno de ellos, indistintamente y solidariamente, pueda representar o designar a otra persona para representar al Poderdante en el procedimiento de quiebra bajo el Capítulo 11 en los Estados Unidos de América solicitado por Lehman Brothers Holdings Inc. ("LBHI") y determinadas sociedades filiales, así como en cualesquiera otros procedimientos de insolvencia o quiebra de las filiales de LBHI no domiciliadas en los Estados Unidos de América (la "Quiebra") y, en concreto, y sin que tenga carácter limitativo, para que pueda llevar a cabo las siguientes actuaciones en relación con la Quiebra:



- (a) To appear before or designate another person to appear before any Courts, Hearings or other Tribunals, ordinary or special, of any level or jurisdiction, and before any authority, or other public bodies, and other entities created and to be created in any of its branches, dependencies and services; and to commence, continue or terminate, as creditor, author, third party, contributor, claimant or in any other capacity, all type of actions, files, trials and proceedings in relation to the Bankruptcy.
 - In all these cases, to file, reply to and continue through all the necessary steps and stages, until completion, all type of actions, claims, complaints, criminal complaints, allegations, exceptions and defences and to make any other whatsoever, request suspension of trials or proceedings, giving in these, where necessary, personal ratification; to draft and file written documents and take part in all types of proceedings; to request and receive notifications, summons and subpoenas in relation to the Bankruptcy.
- (b) To appear before or to designate another person to appear before all and any persons, firms, corporations, banks, institutions, commercial brokers, notaries, registrars, courts and tribunals, government agencies, authorities, being foreign, international, national, regional, provincial or municipal, with full powers of representation, being authorized to file petitions, requests and forms in relation to the Bankruptcy.
- (c) Execute any further public or private document of amendment, modification or ratification, including the signing of

- (a) Comparecer o designar a otra persona para comparecer ante cualesquiera Juzgados, Audiencias y demás Tribunales, ordinario o especiales de cualquier grado o jurisdicción, ante cualquier otra autoridad y demás entes públicos, y demás Entidades creadas y por crear, en cualquiera de sus ramas, dependencias y servicios; y en ellos, instar, seguir y terminar, como acreedor, actor, tercero, coadyuvante, requirente o en cualquier otro concepto, toda clase de actuaciones, expedientes, juicios y procedimientos en relación con la Quiebra.
 - En todos estos casos, entablar, contestar y seguir por todos sus trámites e instancias, hasta su conclusión, toda clase de actuaciones, acciones. demandas, denuncias, querellas, acusaciones, excepciones y defensas y ejercitar otras cualesquiera pretensiones, suspensiones de juicios o procedimientos, ejercitándose en los mismos en cuantos casos fuera menester la ratificación personal; formar y presentar escritos y asistir a toda clase de actuaciones; solicitar y recibir notificaciones, citaciones y emplazamientos en relación con la Quiebra.
- (b) Comparecer o designar a otra persona para comparecer ante todas y cualesquiera personas, sociedades, corporaciones, bancos, instituciones, corredores de comercio, registradores, notarios, juzgados y tribunales, delegaciones de hacienda, delegaciones de gobierno y autoridades, ya sean extranjeras, internacionales, nacionales, autonómicas, provinciales o municipales, con poderes totales de representación, estando autorizadas para presentar instancias, formularios y requerimientos en relación con la Quiebra.
- (c) Firmar cualquier documento público o privado posterior de subsanación, rectificación o ratificación de los



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approvals or forms (online or in paper) required, and do any other act or action which the attorney may consider necessary or expedient for the purpose or in connection with the above, including the execution, processing and submission of any form or document which may be convenient in relation to the Bankruptcy.

anteriores, pudiendo suscribir y firmar incluso cualquier formulario o impreso necesario (online o en papel), y realizar cualquier acto o actuación que el apoderado considere necesario o conveniente para cumplimentar la finalidad descrita en este apartado, incluyendo firmar, despachar o presentar cualquier otro documento que resulte conveniente en relación con la Quiebra.

Where necessary, the Grantor shall raise into public deed the granting of Power of Attorney under this document if requested by any of the attorneys.

En caso de que fuese necesario, el Poderdante se compromete a formalizar en escritura pública el apoderamiento conferido conforme al presente documento, una vez fuera requerido para ello por cualquiera de los apoderados.

So states and grants the Grantor, who reads and approves the present document, signing below.

Así declara y otorga el Poderdante, quien lee y aprueba el presente documento, firmándolo a continuación.

MADRID, on

(signature/s)

September 2009.

En MADRID, a de septiembre de 2009.

INVERSIONES NHET S.L. THE GRANTOR

JOSE LUIS NAVARRO IGLESIAS

INVERSIONES NHET S.L. EL OTORGANTE

JOSE LUIS NAVARRO IGLESIAS

, 08-13555-mg Doc 52602-1 Filed 04/27/16 Entered 04/27/16 17:04:56 Attachment A Pg 46 of 206

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RECEIVED BY:

10/23 DATE

12.24 TIME

c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076		P	CURITIES PROGRAMS ROOF OF CLAIM			
In Re: Lehman Brother: Debtors.	s Holdings Inc., et al.,	Chapter 11 Case No. 08-1355 (Jointly Administration		L L	d: USBC - Southern District of New York ehman Brothers Holdings Inc., Et Al. 08-13555 (JMP) 0000044803	
those based of	orm may not be used on Lehman Program ehman-docket.com	is Securities as	listed on	THIS SPA	CE IS FOR COURT USE ONLY	
Name and address from Creditor)	ss of Creditor: (and name	and address where i	notices should t	pe sent if different	☐ Check this box to indicate that this claim amends a previously filed claim.	
José Luis Navarr C/ San Francisco 28003 Madrid, S	de Sales 31				Court Claim Number: (If known) Filed on:	
Telephone numb	er: +34 91 533 48 00	Email Address:			r ned on.	
	ss where payment should		from above)		Chadadia basifasa ara da d	
Account number Banco Banif, S.A	: ES15008651469700100	005733			Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.	
Telephone numb	er: +34 91 520 86 50	Email Address:				
1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates. Amount of Claim: \$ Unliquidated - see attached Addendum to Proof of Claim. Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities.						
filing this claim					urity to which this claim relates. If you are le with the ISINs for the Lehman Programs	
International Se	curities Identification N	umber (ISIN): See	attached Adde	endum to Proof of Clai	m.	
number, as appro Blocking Number with respect to m Security to which Clearstream Ba reference numb	priate (each, a "Blocking or from your accountholde ore than one Lehman Pro n this claim relates. nk Blocking Number, E er:	Number") for each er (i.e. the bank, brok grams Security, you uroclear Bank Elec	n Lehman Progi ker or other ent u may attach a	rams Security for which ity that holds such securi schedule with the Block	r, or other depository blocking reference you are filing a claim. You must acquire a ities on your behalf). If you are filing this claim ing Numbers for each Lehman Programs r and or other depository blocking	
See attached Ad	dendum to Proof of Cla	ıim.				
which you are fil from your account personal account	ing this claim. You must ntholder (i.e. the bank, bronumbers.	acquire the relevan oker or other entity t	t Clearstream E that holds such	Bank, Euroclear Bank or securities on your behalf	elated to your Lehman Programs Securities for other depository participant account number (). Beneficial holders should not provide their	
Accountholders	Euroclear Bank, Clears	tream Bank or Otl	her Depository	Participant Account N	lumber:	
See attached Ad	dendum to Proof of Cla	nim.				
claim, you conse other depository	Euroclear Bank, Clears nt to, and are deemed to be to disclose your identity a urpose of reconciling cla	nave authorized, Eur and holdings of Leh	oclear Bank, C man Programs	learstream Bank or	FILED / RECEIVED	
Date. October 15, 2009.	Signature: Ms. María Segimón, a Claim for additional in			dum to Proof of	OCT 2 3 2009 EPIQ BANKRUPTCY SOLUTIONS, LLC	

Penalty for presenting fraudulent claim: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim

DEFINITIONS

Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

Creditor

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

Claim

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable

Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

Lehman Brothers Holdings Claims Processing

c/o Epiq Bankruptcy Solutions, LLC FDR Station, PO Box 5076 New York, NY 10150- 5076

Lehman Programs Security

Any security included on the list designated "Lehman Programs Securities" available on http://www.lehman-docket.com as of July 17, 2009.

INFORMATION

Acknowledgment of Filing of Claim

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (http://www.lehman-docket.com) to view your filed proof of claim.

Offers to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 et seq.), and any applicable orders of the bankruptcy court.

ADDENDUM TO PROOF OF CLAIM

This proof of claim is filed in the chapter 11 case of Lehman Brothers Holdings Inc., (the <u>Debtor</u>"), Case No. 08-13555 (JMP), by José Luis Navarro Iglesias ("<u>Claimant</u>").

Claimant's address is set forth on the first page of this proof of claim. Any notice relating to this proof of claim should be sent to such address with additional copies to be sent to the following addresses:

DLA Piper Spain S.L. Paseo de la Castellana, 35-2 28046 Madrid, Spain Attention: María Segimón, Esq.

With an additional copy to:

DLA Piper LLP (US) 1251 Avenue of the Americas New York, New York 10020 Attention: William M. Goldman, Esq.

This proof of claim is filed in connection with a Lehman Program Security as that term is defined in that certain July 2, 2009 order establishing the deadline for filing proofs of claim (the "Bar Date Order"). As such, and pursuant to the express provisions of the Bar Date Order, no documentation supporting this proof of claim is attached.

Claimant is presently unable to calculate the amount due in connection with the Lehman Program Securities covered by this proof of claim and, therefore, this proof of claim is filed in an unliquidated amount for all ISINs listed below.

The following chart shows each Lehman Programs Security to which this claim relates, the depository blocking reference number, and the depository participant account number.

ISIN	Blocking Reference #	Depository participant account #
XS0258901759	6036176	EUROCLEAR # 22829

A copy of the power of attorney authorizing the execution of this proof of claim by María Segimón is attached hereto. Ms. Segimón's address is set forth above and her telephone number is +34 913191212.

Reservation of Rights

No judgment has been entered on any portion of the proof of claim.

The amounts of all payments on the claim have been credited and deducted for the purpose of making this proof of claim.

Claimant hereby reserves the right to assert further, additional and amended claims.

By executing and filing this proof of claim, Claimant, is not (i) waiving or releasing Claimant's rights against any other entity or person or (ii) electing a remedy which waives or otherwise affects any other remedy of Claimant.

Claimant, in executing and filing this proof of claim, does not waive any right to any security, collateral or share thereof to which it may be entitled or any right to claim specific assets or any other right or rights that it has or may have against the Debtor or any other person or persons, and expressly reserves the right to amend or supplement this proof of claim in any respect and to file additional proofs of claim for additional claims.

POWER OF ATTORNEY

The undersigned,

Mr./Mrs. JOSE LUIS NAVARRO IGLESIAS, of legal age, of spanish nationality, and holder of DNI passport of his nationality number 00549435B, in force,

domiciled at PS SAN FRANCISCO DE SALES 31, 28003 MADRID, acting in his own name and interest (the Grantor).

Whereas, the Grantor grants a special power of attorney, but as broad as required by law, in favour of the following persons:

- (i) Mr. Juan Picón García de Leániz, of legal age, of Spanish nationality, domiciled in Madrid, Paseo de la Castellana, no. 35, 2nd floor, and holder of Spanish Identity Card number 50708807-V, in force;
- (ii) Ms. María Segimón de Manzanos, of legal age, of Spanish nationality, domiciled in Madrid, Paseo de la Castellana, no. 35, 2nd floor, and holder of Spanish Identity Card number 826346-W, in force;
- (iii) Mr. Javier Huerta Rebollo, of legal age, of Spanish nationality, domiciled in Madrid, Paseo de la Castellana, no. 35, 2nd floor, and holder of Spanish Identity Card number 11849665-L, in force,

in order that any of each of the aforementioned persons, jointly and severally, may, represent or designate another person to represent the Grantor in respect of Chapter 11 bankruptcy filed in the United States by Lehman Brothers Holdings Inc ("LBHI") and its affiliates as well as in any insolvency or bankruptcy proceeding of LBHI's non-U.S. Subsidiaries (the "Bankruptcy"), and in particular, and without limitation, to carry out the following acts in relation to the Bankruptcy:

El/Los abajo firmante/s,

D./Da. JOSE LUIS NAVARRO IGLESIAS, mayor de edad, de nacionalidad española, y con DNI pasaporte de su nacionalidad número 00549435B, en vigor,

con domicilio en PS SAN FRANCISCO DE SALES 31, 28003 MADRID, actuando en su propio nombre y derecho (el Poderdante).

El Poderdante otorga un poder especial, pero tan amplio como en Derecho sea necesario a favor de las siguientes personas:

- (i) D. Juan Picón García de Leániz, mayor de edad, de nacionalidad española, con domicilio a estos efectos en Madrid, Paseo de la Castellana, nº 35, 2º planta, y provisto de D.N.I. nº 50708807-V, en vigor;
- (ii) D^a. María Segimón de Manzanos, mayor de edad, de nacionalidad española, con domicilio a estos efectos en Madrid, Paseo de la Castellana, nº 35, 2^a planta, y provisto de D.N.L nº 826346-W, en vigor;
- (iii) D. Javier Huerta Rebollo, mayor de edad, de nacionalidad española, con domicilio a estos efectos en Madrid, Paseo de la Castellana, nº 35, 2ª planta, y provisto de D.N.I. nº 11849665-L, en vigor,

para que cada uno de ellos, indistintamente y solidariamente, pueda representar o designar a otra persona para representar al Poderdante en el procedimiento de quiebra bajo el Capítulo 11 en los Estados Unidos de América solicitado por Lehman Brothers Holdings Inc. ("LBHI") y determinadas sociedades filiales, así como en cualesquiera otros procedimientos de insolvencia o quiebra de las filiales de LBHI no domiciliadas en los Estados Unidos de América (la "Quiebra") y, en concreto, y sin que tenga carácter limitativo, para que pueda llevar a cabo las siguientes actuaciones en relación con la Quiebra:



(a) To appear before or designate another person to appear before any Courts, Hearings or other Tribunals, ordinary or special, of any level or jurisdiction, and before any authority, or other public bodies, and other entities created and to be created in any of its branches, dependencies and services; and to commence, continue or terminate, as creditor, author, third party, contributor, claimant or in any other capacity, all type of actions, files, trials and proceedings in relation to the Bankruptcy.

In all these cases, to file, reply to and continue through all the necessary steps and stages, until completion, all type of actions, claims, complaints, criminal complaints, allegations, exceptions and defences and to make any other whatsoever, request suspension of trials or proceedings, giving in these, where necessary, personal ratification; to draft and file written documents and take part in all types of proceedings; to request and receive notifications, summons and subpoenas in relation to the Bankruptcy.

- (b) To appear before or to designate another person to appear before all and any persons, firms, corporations, banks, institutions, commercial brokers, notaries, registrars, courts and tribunals, government agencies, authorities, being foreign, international, national, regional, provincial or municipal, with full powers of representation, being authorized to file petitions, requests and forms in relation to the Bankruptcy.
- (c) Execute any further public or private document of amendment, modification or ratification, including the signing of approvals or forms (online or in paper) required, and do any other act or action which the attorney may consider necessary or

(a) Comparecer o designar a otra persona para comparecer ante cualesquiera Juzgados, Audiencias y demás Tribunales, ordinario o especiales de cualquier grado o jurisdicción, ante cualquier otra autoridad y demás entes públicos, y demás Entidades creadas y por crear, en cualquiera de sus ramas, dependencias y servicios; y en ellos, instar, seguir y terminar, como acreedor, actor, tercero, coadyuvante, requirente o en cualquier otro concepto, toda clase de actuaciones, expedientes, juicios y procedimientos en relación con la Quiebra.

En todos estos casos, entablar, contestar y seguir por todos sus trámites e instancias, hasta su conclusión, toda clase de actuaciones. acciones. demandas, denuncias, querellas. acusaciones, excepciones y defensas y ejercitar otras cualesquiera pretensiones, suspensiones de juicios o procedimientos, ejercitándose en los mismos en cuantos casos fuera menester la ratificación personal; formar y presentar escritos y asistir a toda clase de actuaciones; solicitar y recibir notificaciones, citaciones y emplazamientos en relación con la Quiebra.

- (b) Comparecer o designar a otra persona para comparecer ante todas y cualesquiera personas, sociedades, corporaciones, bancos, instituciones, corredores de comercio, notarios, registradores, juzgados y tribunales, delegaciones de hacienda, delegaciones de gobierno y autoridades. ya sean extranjeras, internacionales, nacionales, autonómicas, provinciales o municipales, con poderes totales de representación, estando autorizadas para presentar instancias, formularios y requerimientos en relación con la Quiebra.
- (c) Firmar cualquier documento público o privado posterior de subsanación, rectificación o ratificación de los anteriores, pudiendo suscribir y firmar incluso cualquier formulario o impreso necesario (online o en papel), y realizar

expedient for the purpose or in connection with the above, including the execution, processing and submission of any form or document which may be convenient in relation to the Bankruptcy.

cualquier acto o actuación que el apoderado considere necesario o conveniente para cumplimentar la finalidad descrita en este apartado, incluyendo firmar, despachar o presentar cualquier otro documento que resulte conveniente en relación con la Quiebra.

Where necessary, the Grantor shall raise into public deed the granting of Power of Attorney under this document if requested by any of the attorneys.

So states and grants the Grantor, who reads and

approves the present document, signing below.

En caso de que fuese necesario, el Poderdante se compromete a formalizar en escritura pública el apoderamiento conferido conforme al presente documento, una vez fuera requerido para ello por cualquiera de los apoderados.

Así declara y otorga el Poderdante, quien lee y aprueba el presente documento, firmándolo a continuación.

MADRID, on September 2009.

En MADRID, a de septiembre de 2009.

J. Kavano.

(firma)

(signature)

Mr./Mirs. JOSE LUIS NAVARRO IGLESIAS

THE GRANTOR

D./D" JOSE LUIS NAVARRO IGLESIAS EL OTORGANTE

H A N D

D E L I V

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RECEIVED BY:

10/23 DATE

12.24 TIME

Lehman Broth c/o Epiq Bank FDR Station, I New York, N		sing Center		CURITIES PROGRAMS OOF OF CLAIM
In Re: Lehman Broth	ers Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP)		- Southern District of New York Brothers Holdings Inc., Et Al.
Debtors.		(Jointly Administered)	Lenman	08-13555 (JMP) 0000045214
on Lehman P		le claims other than those based ted on http://www.lehman-		
Creditor)		and address where notices should be	sent if different from	☐ Check this box to indicate that this claim amends a previously filed claim.
Keizersgrach				Court Claim Number:
1017 DS AM	STERDAM, The Netherla	nds		Filed on:
	mber: +31 20 5276000	Email Address: tgservices@giliss	en.nl	
Name and add	ress where payment should	be sent (if different from above)		Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
Telephone nur		Email Address:		
Programs Sect and whether st dollars, using you may attack	urities as of September 15, 2 uch claim matured or becam the exchange rate as applica h a schedule with the claim	2008, whether you owned the Lehman ne fixed or liquidated before or after S able on September 15, 2008. If you ar amounts for each Lehman Programs S	Programs Securities on Septe eptember 15, 2008. The claim re filing this claim with respect	e the amount owed under your Lehman mber 15, 2008 or acquired them thereafter, a amount must be stated in United States to more than one Lehman Programs Security, lates.
	aim: See attached	(Required)		
		· · · · · · · · · · · · · · · · · · ·		due on the Lehman Programs Securities.
2. Provide the claim with res this claim rela	pect to more than one Lehm	entification Number (ISIN) for each L nan Programs Security, you may attac	ehman Programs Security to v h a schedule with the ISINs fo	which this claim relates. If you are filing this r the Lehman Programs Securities to which
International	Securities Identification	Number (ISIN): See attached	(Require	ed)
appropriate (e. your accounth Lehman Progr	ach, a "Blocking Number") older (i.e. the bank, broker ams Security, you may atta	for each Lehman Programs Security for other entity that holds such securities a schedule with the Blocking Num	for which you are filing a clain es on your behalf). If you are for bers for each Lehman Program	depository blocking reference number, as n. You must acquire a Blocking Number from filing this claim with respect to more than one as Security to which this claim relates. r other depository blocking reference
number:				
See attached	(R	equired)	· · · · · · · · · · · · · · · · · · ·	
you are filing	this claim. You must acquir	e the relevant Clearstream Bank, Euro	oclear Bank or other depositor;	our Lehman Programs Securities for which y participant account number from your ers should not provide their personal account
Accounthold	ers Euroclear Bank, Clear	stream Bank or Other Depository I	Participant Account Number	•
See attached	(R	lequired)		T
consent to, and disclose your reconciling class. Date. October 21st, 2009	d are deemed to have authoridentity and holdings of Lefaims and distributions. Signature: The person filithe creditor or other person number of different from the Mister at a large G.C.D. Verson		rint name and title, if any, of e address and telephone of power of attorney, if any.	FILED / RECEIVED OCT 2 3 7009 EPIO BANKRUPTET SILLYTONS LLC
Per	alty for presenting fraudule	ent claim: Fine of up to \$500,000 or in	nprisonment for up to 5 years,	or both. 18 U.S.C. §§ 152 and 3571

SOUTHERN DISTRICT OF NEW YORK	
In re:	Chapter 11
Lehman Brothers Holdings Inc., et al.	Case No. 08-13555 (JMP)
Debtors.	(Jointly Administered)

INITED STATES DANIEDIDTOV COLDT

ANNEX TO PROOF OF CLAIM OF THEODOOR GILISSEN GLOBAL CUSTODY N.V.

- 1. <u>Claimant</u>. Theodoor Gilissen Global Custody N.V., custodian and holder of account 51/267148/29 with KBL European Private Bankers S.A., Luxemburg, holder of account 13048 with Clearstream Luxemburg (the "Claimant"), hereby files the accompanying proof of claim (the "Proof of Claim") against Lehman Brothers Holdings Inc. (the "Debtor"), a debtor and debtor in possession in the above-referenced chapter 11 cases. The Claimant holds claims against the Debtor arising from certain transactions that occurred prior to September 15, 2008 (the "Petition Date"), as described more fully below.
- Transactions Between the Parties. The Claimant is authorized to act on behalf of the holders of certain securities issued by Lehman Brothers Treasury Co B.V. (the "Obligor") and guaranteed by the Debtor (each a "Program Security" and, together, the "Program Securities") identified on the list of Lehman Program Securities, which is available on the Debtors' website, http://www.lehman-docket.com under the heading "Key Documents." The International Securities Identification Number ("ISIN") identifying each Program Security, along with the Clearstream blocking reference number and the Clearstream account number relating to the Program Securities, is detailed on Exhibit 1 attached hereto. Evidence of the ownership of the securities described in Exhibit 1 attached hereto. Evidence of the ownership of the

have the benefit of an express, unconditional and irrevocable guarantee of the Debtor, and further, the Debtor guaranteed the payment of all liabilities, obligations and commitments of the Obligor pursuant to those certain board resolutions adopted by the Executive Committee of the Debtor's Board of Directors attached hereto as Exhibit 3, including but not limited to those certain resolutions adopted by unanimous written consent on June 9, 2005 and certain other guarantees extended to affiliates of the Debtor and/or Lehman related entities.

- 2. <u>Claim.</u> The Claimant is the holder of certain securities described more fully in Exhibit 1 hereto and on account thereof and in accordance with the terms of the documentation relating thereto, hereby asserts a claim against the Debtor in the amount of \$ 114,469,619.48 plus, to the extent provided pursuant to the underlying documents associated with each Program Security all other interest, costs, fees and expenses allowed under applicable law (the "Claim"). An itemization of certain amounts comprising the Claim is set forth on Exhibit 2 attached hereto.
- 4. <u>Security Interests and Priority Status</u>. The Claim is filed as a general unsecured claim, without any prejudice to any and all rights of the Claimant to assert that any portion of the Claim is entitled to administrative priority under Sections 503 and 507 of the Bankruptcy Code.
- 5. <u>Claims, Counterclaims, Setoffs and Defenses</u>. The Claim is not subject to any known claims, counterclaims, setoffs or defenses by the Debtor. The Claimant also reserves any and all rights of setoff and recoupment that the Claimant or any of its affiliates may have against the Debtor or its related entities.
- 6. Reservation of Rights. The execution and filing of this Proof of Claim is not and shall not be deemed: (a) a waiver or release of the Claimant's rights against any other entity or person liable for all or any part of the Claim asserted herein; (b) a consent by the Claimant to the

jurisdiction of this Court with respect to any proceeding commenced in this case against or otherwise involving the Claimant; (c) a waiver of the right to withdraw the reference with respect to the subject matter of the Claim, any objection or other proceedings commenced with respect thereto or any other proceedings commenced in this case against or otherwise involving the Claimant; (d) a waiver or release by the Claimant of its right to trial by jury, or a consent by the Claimant to a trial by jury, in this Court or any other court; (e) a waiver of any right to the subordination, in favor of the Claimant, of indebtedness or liens held by any creditors of the Debtor or any of its affiliates; (f) an election of remedies which waives or otherwise affects any other remedy; (g) waiver of the Claimant's rights to assert that no claims hereunder have been or may be discharged and to file other claims which are not covered by this Proof of Claim; or (h) a waiver of the Claimant's rights, if any, of arbitration, to the extent provided by any applicable agreements with the Debtor or its affiliates.

Amendments. The Claimant expressly reserves its right to file any separate or additional proofs of claim with respect to the Claim set forth herein or otherwise (which proofs of claim, if so filed, shall not be deemed to supersede this proof of claim unless expressly so stated therein), to amend or supplement this Proof of Claim in any respect, including with respect to the filing of an additional or amended claim for the purpose of fixing and liquidating any contingent or unliquidated claim set forth herein, or to file additional proofs of claim in respect of additional amounts or for any other reason.

EXHIBIT 1

ISIN	Clearstream blocking	Clearstream	Nominal Amount	Nominal Amount
	reference number	account number	(EUR)	(USD)*
		Account in the name of		
		KBL European Private		
		Bankers S.A., Luxemburg.		
		Securities held on behalf of		
		custodian Theodoor Gilissen	}	
		Global Custody N.V.,		
		Amsterdam, Holland		
XS0346707903	CA69354	13048	641,000.00	910,284.10
XS0295760093	CA69339	13048	1,587,000.00	2,253,698.70
XS0355133454	CA69358	13048	3,162,000.00	4,490,356.20
XS0379217184	CA69336	13048	20,000.00	28,402.00
XS0273084656	CA69337	13048	554,000.00	786,735.40
XS0332049815	CA69340	13048	1,715,000.00	2,435,471.50
XS0285922133	CA69353	13048	3,836,000.00	5,447,503.60
XS0375356648	CA69345	13048	255,000.00	362,125.50
XS0305948860	CA69344	13048	4,825,000.00	6,851,982.50
XS0314067140	CA76209	13048	1,499,000.00	2,128,729.90
XS0317240157	CA69352	13048	2,325,000.00	3,301,732.50
XS0339810078	CA69343	13048	1,177,000.00	1,671,457.70
XS0287672694	CA69355	13048	5,467,000.00	7,763,686.70
XS0296281735	CA69359	13048	8,970,000.00	12,738,297.00
XS0332050078	CA69338	13048	1,357,000.00	1,927,075.70
XS0377288450	CA69360	13048	14,000.00	19,881.40
XS0368669007	CA69357	13048	3,543,000.00	5,031,414.30
XS0263715467	CA69346	13048	10,430,000.00	14,811,643.00
XS0276441044	CA69347	13048	11,284,000.00	16,024,408.40
XS0327236757	CA69350	13048	5,483,000.00	7,786,408.30
XS0296067142	CA69356	13048	996,000.00	1,414,419.60
XS0365839835	CA69349	13048	1,030,000.00	1,462,703.00
XS0332049229	CA69342	13048	1,597,000.00	2,267,899.70
XS0373219582	CA69348	13048	755,000.00	1,072,175.50
XS0349530823	CA69341	13048	200,000.00	284,020.00
XS0346080590	CA69351	13048	6,196,000.00	8,798,939.60

TOTALS 78,918,000.00 112,071,451.80

^{*} Amounts due in EURO have been converted to US Dollars using the EUR-USD X-RATE published by Bloomberg for September 15, 2008: 1 EURO = 1.4201 USD.

EXHIBIT 2

ISIN	Nominal Amount	Accrued	Total	Nominal Amount	Accrued	Total
	(EUR)	Interest	(EUR)	(USD)*	Interest	(USD)*
		(EUR)			(USD)*	
XS0346707903	641,000.00	-	641,000.00	910,284.10	-	910,284.10
XS0295760093	1,587,000.00	-	1,587,000.00	2,253,698.70	-	2,253,698.70
XS0355133454	3,162,000.00	-	3,162,000.00	4,490,356.20	-	4,490,356.20
XS0379217184	20,000.00	-	20,000.00	28,402.00	-	28,402.00
XS0273084656	554,000.00	-	554,000.00	786,735.40	-]	786,735.40
XS0332049815	1,715,000.00	-	1,715,000.00	2,435,471.50	-	2,435,471.50
XS0285922133	3,836,000.00	-	3,836,000.00	5,447,503.60	-	5,447,503.60
XS0375356648	255,000.00	-	255,000.00	362,125.50	-	362,125.50
XS0305948860	4,825,000.00	-	4,825,000.00	6,851,982.50	-	6,851,982.50
XS0314067140	1,499,000.00	-	1,499,000.00	2,128,729.90	-	2,128,729.90
XS0317240157	2,325,000.00	-	2,325,000.00	3,301,732.50	-	3,301,732.50
XS0339810078	1,177,000.00	-	1,177,000.00	1,671,457.70	-	1,671,457.70
XS0287672694	5,467,000.00	-	5,467,000.00	7,763,686.70	-	7,763,686.70
XS0296281735	8,970,000.00	-	8,970,000.00	12,738,297.00	-	12,738,297.00
XS0332050078	1,357,000.00	-	1,357,000.00	1,927,075.70	-	1,927,075.70
XS0377288450	14,000.00	-	14,000.00	19,881.40	-	19,881.40
XS0368669007	3,543,000.00	202,457.14	3,745,457.14	5,031,414.30	287,509.39	5,318,923.69
XS0263715467	10,430,000.00	763,533.15	11,193,533.15	14,811,643.00	1,084,293.43	15,895,936.43
XS0276441044	11,284,000.00	519,373.15	11,803,373.15	16,024,408.40	737,561.81	16,761,970.21
XS0327236757	5,483,000.00	-	5,483,000.00	7,786,408.30	-	7,786,408.30
XS0296067142	996,000.00	-	996,000.00	1,414,419.60	-	1,414,419.60
XS0365839835	1,030,000.00	-	1,030,000.00	1,462,703.00	-	1,462,703.00
XS0332049229	1,597,000.00	33,843.27	1,630,843.27	2,267,899.70	48,060.83	2,315,960.53
XS0373219582	755,000.00	15,999.79	770,999.79	1,072,175.50	22,721.31	1,094,896.81
XS0349530823	200,000.00	3,463.01	203,463.01	284,020.00	4,917.83	288,937.83
XS0346080590	6,196,000.00	150,062.03	6,346,062.03	8,798,939.60	213,103.09	9,012,042.69

TOTALS 78,918,000.00 1,688,731.55 80,606,731.55 112,071,451.80 2,398,167.68 114,469,619.48

^{*} Amounts due in EURO have been converted to US Dollars using the EUR-USD X-RATE published by Bloomberg for September 15, 2008: 1 EURO = 1.4201 USD.

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EXHIBIT 3

UNANIMOUS WRITTEN CONSENT OF THE

EXECUTIVE COMMITTEE OF THE

BOARD OF DIRECTORS OF

LEHMAN BROTHERS HOLDINGS INC.

The undersigned, being both members of the Executive Committee of the Board of Directors of Lehman Brothers Holdings Inc., a Delaware corporation (the "Corporation"), do hereby adopt the following resolutions by unanimous written consent in lieu of a meeting in accordance with Section 141(f) of the General Corporation Law of the State of Delaware:

WHEREAS, the Corporation has previously authorized by specific resolution, which authority has not been revoked (the "Outstanding Guarantee Resolutions"), the guarantee of all or specified obligations and liabilities of certain direct and indirect subsidiaries of the Corporation, each of which is a "Guaranteed Subsidiary" as such term is used in the Corporation's Code of Authorities as currently in effect (the "Code"),

WHEREAS, certain of the Guaranteed Subsidiaries presently enjoy full guarantees while others have only partial guarantees, and the Corporation now wishes to expand such partial guarantees to full guarantees,

WHEREAS, due to the passage of time the names of certain of the Guaranteed Subsidiaries have changed, rendering the Outstanding Guarantee Resolutions out of date to that extent,

WHEREAS, the Corporation wishes to clarify that its guarantee of any Guaranteed Subsidiary with respect to any given transaction is not contingent upon the issuance of a signed guarantee pertaining to such transaction,

WHEREAS, Management wishes to establish additional Guaranteed Subsidiaries,

WHEREAS, Management wishes to specify that to the extent lawful and allowable, guarantees issued by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, so as to secure certain tax and accounting benefits, and

WHEREAS, Management believes that it would facilitate the conduct of the business of the Corporation to supersede and replace the various Outstanding Guarantee Resolutions in their entirety with this single document,

NOW THEREFORE BE IT,

06-09-05 11:31 JDM INVESTMENTS

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RESOLVED, that the Corporation hereby fully guarantees the payment of all liabilities, obligations and commitments of the subsidiaries set forth on Schedule A hereto, each of which shall be a Guaranteed Subsidiary for purposes of the Code;

RESOLVED, that the Outstanding Guarantee Resolutions are hereby superseded and replaced in their entirety with this single document, provided that any guarantees provided pursuant to the Outstanding Guarantee Resolutions and outstanding on the date bereof, whether in the form of a separately executed individual guarantee or otherwise, shall remain issued, outstanding and valid for all purposes;

RESOLVED, that guarantees provided by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, to the extent lawful and allowable, as specified on Schedule A hereto;

RESOLVED, that each of the persons listed in the Code (as it may be amended from time to time) as being authorized to approve individual guarantees issued by the Corporation with respect to Guaranteed Subsidiaries, or any proper delegae thereof (collectively, "Authorized Persons"), are hereby authorized, in the name and on behalf of the Corporation, to execute such guarantees in such form as is approved by an arrowney of the Corporation and such Authorized Person, subject to any limitations specified herein, his or her execution of each such guarantee to be conclusive evidence of approval thereof; and to do such other acts and things as may be advisable or necessary in order to effect the purposes and intent of these resolutions; and

FURTHER RESOLVED, that any and all actions contemplated by the foregoing resolutions and taken by such Anthorized Persons prior to the date hereof are hereby ratified, confirmed and approved in all respects.

based: June 9, 2005

Richard S Puld Jr.

John D. Macomber

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Schedule A to LBHI Unanimous Written Consent dated June 9, 2005

	Name of Subsidiary	Issue Corporation guarantee from branch located in London, England, to the extent lawful and allowable?		
1.	Lehman Brothers Asia Holdings Limited	No		
2.	Lehman Brothers Bankhaus A.G.	Yes (London branch of such subsidiary only)		
3.	Lehman Brothers Commercial Bank	No		
4.	Lehman Brothers Commercial Corporation	No		
5.	Lehman Brothers Commercial Corporation Asia Limited	No		
6.	Lehman Brothers Equity Finance (Cayman) Limited	No		
7.	Lehman Brothers Finance S.A.	No		
8.	Lehman Brothers Holdings Plc	Yes		
9.	Lehman Brothers International (Europe)	Yes		
10.	Lehman Brothers Japan Inc.	No		
11.	Lehman Brothers (Luxembourg) Equity Finance S.A.	No		
12.	Lehman Brothers (Luxembourg) S.A.	No		
13.	Lehman Brothers OTC Derivatives Inc.	No		
14.	Lehman Brothers Securities Asia Limited	No		
15.	Lehman Brothers Securities N.V.	No		
16.	Lehman Brothers Special Financing Inc.	No		
17.	Lehman Brothers Treasury Co. B.V.	No		
18.	Lehman Re Limited	No		

EXHIBIT 4



TO WHOM IT MAY CONCERN:

Kefzersgracht 617 1017 DS Amsterdinn Postbus 3325 1003 AC Amsterdam 1+31 (0)20 527 60 00 r +31 (0) 20 527 60 60 info@gilissen.nl www.gilissen.nl

DECLARATION

Theodoor Gilissen Global Custody N.V. ('TGGC') is custodian and accountholder (accountnumber 51/267148/29) with KBL European Private Bankers S.A., Luxemburg ('KBL'). KBL is holder of account 13048 with Clearstream Luxemburg. At present, all securities under the Lehman Brothers Securities Program held by TGGC, for which a blocking number is applied for, are registered on their account (51/267148/29) with KBL. These securitles are being held in the name of KBL with Clearstream on account 13048.

AMSTERDAM/LUXEMBOURG, 15th of October 2009,

Theodoor Gilissen Global Custody N.V.,

drs. ir. G.C.D. Versteeg

drs. C.A. Heijne RA CPC

director Theodoor Gilissen Global Custody N.V. director Theodoor Gilissen Global Custody N.V.

KBL European Private Bankers S.A.,

Járomá Gendarme

Head of Custody

Philippe VAN DOOREN HEAD OF OPERATIONS

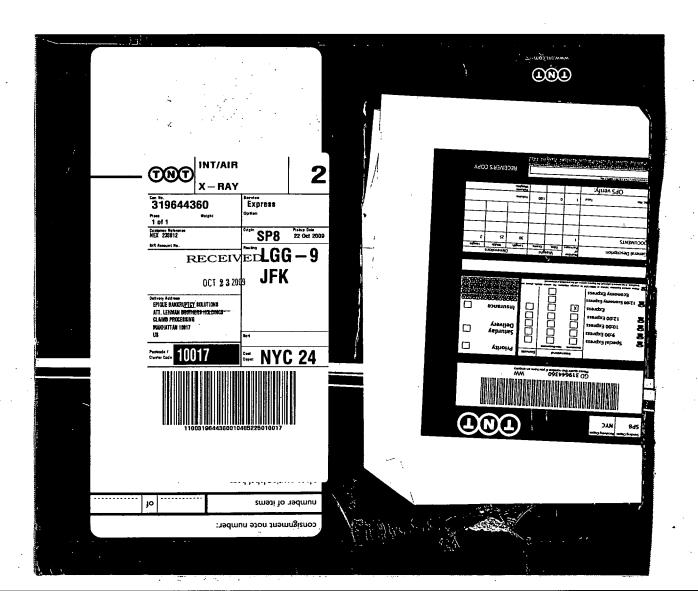
Harven Hijn

EUROPEAN PRIVATE BANKERS

THEODOGR GILLSSEN BANKIERS H.Y.

Statulate gevestigd to Amsterdara Amsterdam Handelsteglaler pr. 13004157

till van ERL European Pilvate Sankers



08-13555-mg Doc 52602-1 Filed 04/27/16 Entered 04/27/16 17:04:56 Attachment Pa 66 of 206 United States Bankruptcy Court/Southern District of New York **LEHMAN SECURITIES PROGRAMS** Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC **PROOF OF CLAIM** FDR Station, P.O. Box 5076 New York, NY 10150-5076 In Re: Chapter 11 Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al. Lehman Brothers Holdings Inc., et al., Case No. 08-13555 (JMP) 08-13555 (JMP) 0000048734 Debtors. (Jointly Administered) Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on http://www.lehman-LY docket.com as of July 17, 2009 Name and address of Creditor: (and name and address where notices should be sent if different from ☐ Check this box to indicate that this Creditor) claim amends a previously filed claim. KAS BANK N.V. Court Claim Number: Spuistraat 172 (*If known*) 1012 VT AMSTERDAM, The Netherlands Filed on: Telephone number: +31 20 5575911 Email Address: KASBANK@lehmanclaim.nl Name and address where payment should be sent (if different from above) ☐ Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars. Telephone number: Email Address: 1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates. Amount of Claim: See attached _ (Required) Check this box if the amount of claim includes interest or other charges in addition to the principal amount due on the Lehman Programs Securities. 2. Provide the International Securities Identification Number (ISIN) for each Lehman Programs Security to which this claim relates. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the ISINs for the Lehman Programs Securities to which this claim relates. International Securities Identification Number (ISIN): See attached (Required) 3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim relates. Clearstream Bank Blocking Number, Euroclear Bank Electronic Instruction Reference Number and or other depository blocking reference number: See attached (Required) 4. Provide the Clearstream Bank, Euroclear Bank or other depository participant account number related to your Lehman Programs Securities for which you are filing this claim. You must acquire the relevant Clearstream Bank, Euroclear Bank or other depository participant account number from your Accountholders Euroclear Bank, Clearstream Bank or Other Depository Participant Account Number: See attached (Required) FOR COUR

accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). Beneficial holders should not provide their personal account numbers.

5. Consent to Euroclear Bank, Clearstream Bank or Other Depository: By filing this claim, you

consent to, and are deemed to have authorized, Euroclear Bank, Clearstream Bank or other depository to disclose your identity and holdings of Lehman Programs Securities to the Debtors for the purpose of reconciling claims and distributions.

Date. October 26st, 2009 Signature: The person filing this claim must sign it. Sign and print name and title, if any, of the creditor or other person authorized to file this claim and state autress and telephone number if different from the notice address above. Attach control of actorney, if any.

Name: Mr N.E. Blom

Mr R.J. Kodingan

FILED / RECEIVED OCT 27 2009

EPIQ BANKRUPTCY SOLUTIONS, LLC

Penalty for presenting fraudule lightarm: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

SOUTHERN DISTRICT OF NEW YORK	
In re:	-X Chapter 11
Lehman Brothers Holdings Inc., et al.	Case No. 08-13555 (JMP)
Debtors.	(Jointly Administered)

ANNEX TO PROOF OF CLAIM OF KAS BANK N.V.

- 1. <u>Claimant</u>. KAS BANK N.V. (the "Claimant"), hereby files the accompanying proof of claim (the "Proof of Claim") against Lehman Brothers Holdings Inc. (the "Debtor"), a debtor and debtor in possession in the above-referenced chapter 11 cases. The Claimant holds claims against the Debtor arising from certain transactions that occurred prior to September 15, 2008 (the "Petition Date"), as described more fully below.
- 2. <u>Transactions Between the Parties.</u> The Claimant is authorized to act on behalf of the holders of certain securities issued by Lehman Brothers Treasury Co B.V. (the "Obligor") and guaranteed by the Debtor (each a "Program Security" and, together, the "Program Securities") identified on the list of Lehman Program Securities, which is available on the Debtors' website, http://www.lehman-docket.com under the heading "Key Documents." The International Securities Identification Number ("ISIN") identifying each Program Security, along with the Euroclear blocking reference number and the Euroclear account number relating to the Program Securities, is detailed on Exhibit 1 attached hereto. Evidence of the ownership of the securities described in Exhibit 1 attached hereto. Evidence of the ownership of the Securities have the benefit of an express, unconditional and irrevocable guarantee of the Debtor, and further, the Debtor guaranteed the payment of all liabilities, obligations and commitments of

the Obligor pursuant to those certain board resolutions adopted by the Executive Committee of the Debtor's Board of Directors attached hereto as Exhibit 3, including but not limited to those certain resolutions adopted by unanimous written consent on June 9, 2005 and certain other guarantees extended to affiliates of the Debtor and/or Lehman related entities.

- 3. <u>Claim</u>. The Claimant is the holder of certain securities described more fully in <u>Exhibit 1</u> hereto and on account thereof and in accordance with the terms of the documentation relating thereto, hereby asserts a claim against the Debtor in the amount of \$82,684,969.72 <u>plus</u>, to the extent provided pursuant to the underlying documents associated with each Program Security all other interest, costs, fees and expenses allowed under applicable law (the "Claim"). An itemization of certain amounts compromising the Claim is set forth on <u>Exhibit 2</u> attached hereto.
- 4. <u>Security Interests and Priority Status</u>. The Claim is filed as a general unsecured claim, without any prejudice to any and all rights of the Claimant to assert that any portion of the Claim is entitled to administrative priority under Sections 503 and 507 of the Bankruptcy Code.
- 5. <u>Claims, Counterclaims, Setoffs and Defenses</u>. The Claim is not subject to any known claims, counterclaims, setoffs or defenses by the Debtor. The Claimant also reserves any and all rights of setoff and recoupment that the Claimant or any of its affiliates may have against the Debtor or its related entities.
- 6. Reservation of Rights. The execution and filing of this Proof of Claim is not and shall not be deemed: (a) a waiver or release of the Claimant's rights against any other entity or person liable for all or any part of the Claim asserted herein; (b) a consent by the Claimant to the jurisdiction of this Court with respect to any proceeding commenced in this case against or otherwise involving the Claimant; (c) a waiver of the right to withdraw the reference with respect

to the subject matter of the Claim, any objection or other proceedings commenced with respect thereto or any other proceedings commenced in this case against or otherwise involving the Claimant; (d) a waiver or release by the Claimant of its right to trial by jury, or a consent by the Claimant to a trial by jury, in this Court or any other court; (e) a waiver of any right to the subordination, in favor of the Claimant, of indebtedness or liens held by any creditors of the Debtor or any of its affiliates; (f) an election of remedies which waives or otherwise affects any other remedy; (g) waiver of the Claimant's rights to assert that no claims hereunder have been or may be discharged and to file other claims which are not covered by this Proof of Claim; or (h) a waiver of the Claimant's rights, if any, of arbitration, to the extent provided by any applicable agreements with the Debtor or its affiliates.

Amendments. The Claimant expressly reserves its right to file any separate or additional proofs of claim with respect to the Claim set forth herein or otherwise (which proofs of claim, if so filed, shall not be deemed to supersede this proof of claim unless expressly so stated therein), to amend or supplement this Proof of Claim in any respect, including with respect to the filing of an additional or amended claim for the purpose of fixing and liquidating any contingent or unliquidated claim set forth herein, or to file additional proofs of claim in respect of additional amounts or for any other reason.

EXHIBIT 1

ISIN	Euroclear blocking reference number	Euroclear account number Account in the name of KAS BANK Effectenbewaarbedrijf N.V., Amsterdam, The Netherlands (also trading under the name of KAS Depository Trust Company)	Nominal Amount (EUR)	(USD)*
XS0263715467	6035720	90023	3,924,000.00	5,572,472.40
XS0273084656	6033589	90023	1,133,000.00	1,608,973.30
XS0276441044	6033755	90023	13,463,000.00	19,118,806.30
XS0285922133	6034019	90023	759,000.00	1,077,855.90
XS0287672694	6034022	90023	6,070,000.00	8,620,007.00
XS0295760093	6034023	90023	811,000.00	1,151,701.10
XS0296067142	6034025	90023	2,096,000.00	2,976,529.60
XS0296281735	6034028	90023	4,431,000.00	6,292,463.10
XS0305948860	6034029	90023	8,100,000.00	11,502,810.00
XS0314067140	6034030	90023	1,808,000.00	2,567,540.80
XS0317240157	6034032	90023	4,026,000.00	5,717,322.60
XS0327236757	6034033	90023	2,053,000.00	2,915,465.30
XS0332049229	6034042	90023	2,326,000.00	3,303,152.60
XS0332049815	6034043	90023	2,180,000.00	3,095,818.00
XS0332050078	6034044	90023	58,000.00	82,365.80
XS0339810078	6034047	90023	321,000.00	455,852.10
XS0346080590	6034048	90023	25,000.00	35,502.50
XS0346707903	6034061	90023	476,000.00	675,967.60
XS0349530823	6034062	90023	798,000.00	1,133,239.80
XS0368669007	6034063	90023	2,255,000.00	3,202,325.50
XS0373219582	6034065	90023	12,000.00	17,041.20

Total 57,125,000.00 81,123,212.50

^{*} Amounts due in EUR have been converted to US Dollars using the EUR-USD X-RATE published by Bloomberg for September 15, 2008: 1 EUR = 1.4201 USD.

EXHIBIT 2

ISIN	Nominal Amount	Accrued	Total	Nominal Amount	Accrued	Total
	(EUR)	Interest	(EUR)	(USD)*	Interest	(USD)*
		(EUR)			(USD)*	
XS0263715467	3,924,000.00	287,258.30	4,211,258.30	5,572,472.40	407,935.51	5,980,407.91
XS0273084656	1,133,000.00	-	1,133,000.00	1,608,973.30	-	1,608,973.30
XS0276441044	13,463,000.00	619,666.85	14,082,666.85	19,118,806.30	879,988.89	19,998,795.19
XS0285922133	759,000.00	-	759,000.00	1,077,855.90	-	1,077,855.90
XS0287672694	6,070,000.00	-	6,070,000.00	8,620,007.00	-	8,620,007.00
XS0295760093	811,000.00	-	811,000.00	1,151,701.10	-	1,151,701.10
XS0296067142	2,096,000.00	-	2,096,000.00	2,976,529.60	-	2,976,529.60
XS0296281735	4,431,000.00	-	4,431,000.00	6,292,463.10	-	6,292,463.10
XS0305948860	8,100,000.00	-	8,100,000.00	11,502,810.00	-	11,502,810.00
XS0314067140	1,808,000.00	-	1,808,000.00	2,567,540.80	-	2,567,540.80
XS0317240157	4,026,000.00	-	4,026,000.00	5,717,322.60	-	5,717,322.60
XS0327236757	2,053,000.00	-	2,053,000.00	2,915,465.30	-	2,915,465.30
XS0332049229	2,326,000.00	49,292.08	2,375,292.08	3,303,152.60	69,999.69	3,373,152.29
XS0332049815	2,180,000.00	-	2,180,000.00	3,095,818.00	-	3,095,818.00
XS0332050078	58,000.00	-	58,000.00	82,365.80	-	82,365.80
XS0339810078	321,000.00	-	321,000.00	455,852.10	-	455,852.10
XS0346080590	25,000.00	605.48	25,605.48	35,502.50	859.84	36,362.34
XS0346707903	476,000.00	-	476,000.00	675,967.60	-	675,967.60
XS0349530823	798,000.00	13,817.42	811,817.42	1,133,239.80	19,622.12	1,152,861.92
XS0368669007	2,255,000.00	128,857.14	2,383,857.14	3,202,325.50	182,990.03	3,385,315.53
XS0373219582	12,000.00	254.30	12,254.30	17,041.20	361.13	17,402.33

Total

57,125,000.00

1,099,751.58

58,224,751.58

81,123,212.50

1,561,757.22

82,684,969.72

^{*} Amounts due in EUR have been converted to US Dollars using the EUR-USD X-RATE published by Bloomberg for September 15, 2008: 1 EUR = 1.4201 USD.

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NO.504

EXHIBIT 3

UNANIMOUS WRITTEN CONSENT OF THE

EXECUTIVE COMMITTEE OF THE

BOARD OF DIRECTORS OF

LEHMAN BROTHERS HOLDINGS INC.

The undersigned, being both members of the Executive Committee of the Board of Directors of Lehman Brothers Holdings Inc., a Delaware corporation (the "Corporation"), do hereby adopt the following resolutions by unanimous written consent in lieu of a meeting in accordance with Section 141(f) of the General Corporation Law of the State of Delaware:

WHEREAS, the Corporation has previously authorized by specific resolution, which authority has not been revoked (the "Outstanding Guarantee Resolutions"), the guarantee of all or specified obligations and liabilities of certain direct and indirect subsidiaries of the Corporation, each of which is a "Guaranteed Subsidiary" as such term is used in the Corporation's Code of Authorities as currently in effect (the "Code"),

WHEREAS, certain of the Guaranteed Subsidiaries presently enjoy full guarantees while others have only partial guarantees, and the Corporation now wishes to expand such partial guarantees to full guarantees.

WHEREAS, due to the passage of time the names of certain of the Guaranteed Subsidiaries have changed, rendering the Outstanding Guarantee Resolutions out of date to that extent,

WHEREAS, the Corporation wishes to clarify that its guarantee of any Guaranteed Subsidiary with respect to any given transaction is not contingent upon the issuance of a signed guarantee pertaining to such transaction,

WHEREAS, Management wishes to establish additional Guaranteed Subsidiaries,

WHEREAS, Management wishes to specify that to the extent lawful and allowable, guarantees issued by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, so as to secure certain tax and accounting benefits, and

WHEREAS, Management believes that it would facilitate the conduct of the business of the Corporation to supersede and replace the various Outstanding Guarantee Resolutions in their entirety with this single document,

NOW THEREFORE BE IT.

JDM INVESTMENTS

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RESOLVED, that the Corporation hereby fully guarantees the payment of all liabilities, obligations and commitments of the subsidiaries set forth on Schedule A hereto, each of which shall be a Guaranteed Subsidiary for purposes of the Code;

RESOLVED, that the Outstanding Guarantee Resolutions are hereby superseded and replaced in their entirety with this single document, provided that any guarantees provided pursuant to the Outstanding Guarantee Resolutions and outstanding on the date bereof, whether in the form of a separately executed individual guarantee or otherwise, shall remain issued, ourstanding and valid for all purposes;

RESOLVED, that guarantees provided by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, to the extent lawful and allowable, as specified on Schedule A hereto;

RESOLVED, that each of the persons listed in the Code (as it may be amended from time to time) as being authorized to approve individual guarantees issued by the Corporation with respect to Guaranteed Subsidiaries, or any proper delegee thereof (collectively, "Authorized Persons"), are hereby authorized, in the name and on behalf of the Corporation, to execute such guarantees in such form as is approved by an attorney of the Corporation and such Authorized Person, subject to any limitations specified herein, his or her execution of each such guarantee to be conclusive evidence of approval thereof; and to do such other acts and things as may be advisable or necessary in order to effect the purposes and intent of these resolutions; and

FURTHER RESOLVED, that any and all actions contemplated by the foregoing resolutions and taken by such Authorized Persons prior to the date hereof are hereby ratified, confirmed and approved in all respects.

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Schedule A to LBHI Unanimous Written Consent dated June 9, 2005

	Name of Subsidiary	Issue Corporation guarantee from branch located in London, England, to the extent lawful and allowable?
1,	Lehman Brothers Asia Holdings Limited	No
2.	Lehman Brothers Bankhaus A.G.	Yes (London branch of such subsidiary only)
3.	Lehman Brothers Commercial Bank	No
4.	Lehman Brothers Commercial Corporation	No
5.	Lehman Brothers Commercial Corporation Asia Limited	No
6.	Lehman Brothers Equity Finance (Cayman) Limited	No
7.	Lehman Brothers Finance S.A.	No
8.	Lehman Brothers Holdings Plc	Yes
9.	Lehman Brothers International (Europe)	Yes
10.	Lehman Brothers Japan Inc.	No
11.	Lehman Brothers (Luxembourg) Equity Finance S.A.	No
12.	Lehman Brothers (Luxembourg) S.A.	No
13.	Lehman Brothers OTC Derivatives Inc.	No
14.	Lehman Brothers Securities Asia Limited	No
15.	Lehman Brothers Securities N.V.	No
16.	Lehman Brothers Special Financing Inc.	No
17.	Lehman Brothers Treasury Co. B.V.	No
18.	Lehman Re Limited	No

EXHIBIT 4

To whom it may concern:

DECLARATION

KAS BANK Effectenbewaarbedrijf N.V. (also trading under the name KAS Depository Trust Company and hereinafter referred to as KDTC) is custodian and holder of account 90023 with Euroclear. KAS BANK N.V. (the Claimant) owns 100% of the shares in KDTC.

The Claimant is authorised to act on behalf of KDTC pursuant to the Rules Concerning Custody of Securities held by KDTC (the KDTC Rules).

The Claimant uses the services of KDTC for the custody of certain types of securities, in fulfilment of the obligation imposed on the Claimant by Netherlands law and regulations to protect the proprietary interests of its clients in respect of securities placed in custody with it. Since the Anglo-American legal concept of ownership of property by way of trust (or any other similar equitable interest) is not known under Netherlands law, the general position is that securities held by the Claimant for its clients but in its name will form a part of the assets of the Claimant and will therefore fall into its bankruptcy estate unless specific measures to protect the proprietary interests of its clients are taken. This is why such securities are held by KDTC. KDTC's sole object is the custody of securities on behalf of the Claimant's clients and it is prohibited by its Articles of Association from incurring any commercial risk in the conduct of its business. Custody of securities that are held by KDTC for the client's protection is subject to the KDTC Rules. According to Clause 2 of the KDTC Rules the Claimant shall act with respect to the securities held by KDTC on behalf of the clients in accordance with the instructions given by those clients. Under the KDTC Rules, the client has a direct claim on KDTC in respect of the securities held by KDTC on the client's behalf. Since this direct claim would not be affected by bankruptcy of the Claimant under Dutch bankruptcy law, the client's position with regard to securities held by KDTC is safeguarded.

Amsterdam, 26 October 2009

Name: drs. N.E. Blom

KAS BANK N.V.

le: R.J. Kooijman RA

AS BANK N.V.

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				Sending Depot Receiving Depot	000062508	1. Sender's Account Number	

Lehman Brothers		-		URITIES PROGRAMS OF OF CLAIM	
In Re: Lehman Brothers Debtors.	Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)	Lehman B	Southern District of New York rothers Holdings Inc., Et Al. 08-13555 (JMP) 0000048817	
based on Lehr	Note: This form may not be used to file claims other than those based on Lehman Programs Securities as listed on http://www.lehman-docket.com as of July 17, 2009				
Creditor)	s of Creditor: (and name	and address where notices should be	sent if different from	Check this box to indicate that this claim amends a previously filed claim.	
2	.uchtenburgst	0007 7		Court Claim Number:(If known)	
	- 2630 AART	_		,	
			0.7. h.	Filed on:	
Telephone number Name and addres	er: 003238704400 Er s where payment should	nail Address: Marc. Aloams be sent (if different from above)	e Znu-be	Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.	
Telephone number		mail Address:			
1. Provide the total amount of your claim based on Lehman Programs Securities. Your claim amount must be the amount owed under your Lehman Programs Securities as of September 15, 2008, whether you owned the Lehman Programs Securities on September 15, 2008 or acquired them thereafter, and whether such claim matured or became fixed or liquidated before or after September 15, 2008. The claim amount must be stated in United States dollars, using the exchange rate as applicable on September 15, 2008. If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the claim amounts for each Lehman Programs Security to which this claim relates. Amount of Claim: \$					
this claim with re which this claim					
appropriate (each from your account	3. Provide the Clearstream Bank Blocking Number, a Euroclear Bank Electronic Reference Number, or other depository blocking reference number, as appropriate (each, a "Blocking Number") for each Lehman Programs Security for which you are filing a claim. You must acquire a Blocking Number from your accountholder (i.e. the bank, broker or other entity that holds such securities on your behalf). If you are filing this claim with respect to more than one Lehman Programs Security, you may attach a schedule with the Blocking Numbers for each Lehman Programs Security to which this claim				
number:	-	uroclear Bank Electronic Instruction	on Reference Number and or o	other depository blocking reference	
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you are filing this	claim. You must acquir	e the relevant Clearstream Bank, Eur	oclear Bank or other depository	r Lehman Programs Securities for which participant account number from your s should not provide their personal account	
Accountholders	Euroclear Bank, Clears	stream Bank or Other Depository P	Participant Account Number:		
	16624	(Required		FOR COURT USE ONLY	
consent to, and ar disclose your iden	re deemed to have author ntity and holdings of Leh s and distributions.	eam Bank or Other Depository: By ized, Euroclear Bank, Clearstream Barman Programs Securities to the Debto	ank or other depository to ors for the purpose of	FILED / RECEIVED	
Date. 16/10/2003	of the creditor or other number if different from	filing this claim must sign it. Sign ar person authorized to file this claim ar in the notice address above. Attach co	nd state address and telephone	OCT 2.7 2009 EPIQ BANKRUPTCY SOLUTIONS, LLC	
Penalty		t claim. Fine of up to \$500,000 or in	nprisonment for up to 5 years, or	r both. 18 U.S.C. §§ 152 and 3571	
		/			

KBC Bank Head Office



Clientsupport / Custody

VBN - Havenlaan 2 - 1080 Brussels

AFFIDAVIT OF OWNERSHIP **LEHMAN BROTHERS**

September 11th 2009

KBC BANK NV, Havenlaan 2, 1080 BRUSSELS, declares that our client

ZNU **VLUCHTENBURGSTRAAT 7** B 2630 AARTSELAAR

had a position of 1,000,000 EUR with isin-code XS0348560524

on his accountnumber 727-9197736-61 on 11 September 2009.

Bart Bal **VBN / Clientsupport** Paul Hendrix Head of VBN / Clientsupport

Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076 USA



Zuidnederlandse Uitgeverij N.V. Vluchtenburgstraat 7, B-2630 Aartselaar (Belgium)

2630 22.10.09-10

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08-13555-mg Doc 52602-1 Filed 04/27/16 Entered 04/27/16 17:04:56 Attachment A Pg 81 of 206

United States Bankruptcy Court	t/Southern District of New York	LEHMAN	SECURITIES PROGRAMS
Lehman Brothers Holdings Clair			DROOF OF CLASS
c/o Epiq Bankruptcy Solutions, I FDR Station, P.O. Box 5076	alc .	Filed: USBC - S	outhern District of New York
New York, NY 10150-5076		Lahman Bro	thers Holdings Inc., Et Al. -13555 (JMP) 0000049740
In Re:	Chapter 11 et al	80	-13555 (JWF)
Lehman Brothers Holdings Inc., Debtors.	(Jointly Administered)	10 11 11111111	
Note: This form may not be used	I to file claims other than those based on Lehman http://www.lehman-docket.com as of July 17, 2009		
	and name and address where notices should be sent it	different from C. Canton	Check this box to indicate that this claim amends a previously filed claim.
Banca Aletti & C. S.p.A.			Court Claim Number:
Via Santo Spirito, 14			(If known)
20121 Milano Italy			
Attention: Mr. Massimo Zerbino			
			Filed on:
Telephone number: +39 024335		alettibank.it	
Name and address where payme	nt should be sent (if different from above)		Check this box if you are aware that anyone
			else has filed a proof of claim relating to your claim. Attach copy of statement giving
			particulars.
Telephone number:	Email Address:		
Centember 15, 2008, whether yo	our claim based on Lehman Programs Securities. You owned the Lehman Programs Securities on Septem	ber 15, 2008 or acquired them thereaf	ter, and whether such claim matured or became
Gyad or liquidated before or afte	er Sentember 15, 2008. The claim amount must be sta	ated in United States dollars, using the	e exchange rate as applicable on September 15,
2008. If you are filing this claim Security to which this claim rela	n with respect to more than one Lehman Programs Se	curity, you may attach a schedule wit	if the claim amounts for each Lemman Frograms
'			
Amount of Claim: See attached			l B
	t of claim includes interest or other charges in additio		
2. Provide the International Sec	surities Identification Number (ISIN) for each Lehmans Security, you may attach a schedule with the ISINs	n Programs Security to which this clai	im relates. If you are filing this claim with respect to
more than one Lehman Program	s Security, you may attach a schedule with the islins	to the Lemman Flograms Securities	to which this claim relates.
International Securities Identi	fication Number (ISIN): See attached	(Required)	
2 Provide the Clearstream Rank	Blocking Number a Euroclear Bank Electronic Ref	erence Number, or other depository bl	locking reference number, as appropriate (each, a
"Planking Number") for each I a	shman Programs Security for which you are filing a c	laim. You must acquire a Blocking N	umber from your accountholder (i.e. the bank,
broker or other entity that holds	such securities on your behalf). If you are filing this nbers for each Lehman Programs Security to which the	claim with respect to more than one L	ehman Programs Security, you may attach a
Clearstream Bank Blocking N	umber, Euroclear Bank Electronic Instruction Re	ference Number and or other depos	sitory blocking reference number:
	(D. 1.1)		
See attached	(Required)		Programs Securities for which you are filing this
4. Provide the Clearstream Bank	k, Euroclear Bank or other depository participant acco	ount number related to your Lennian rootitory participant account number from	om your accountholder (i.e. the bank, broker or other
entity that holds such securities	on your behalf). Beneficial holders should not provide	e their personal account numbers.	•
1	nk, Clearstream Bank or Other Depository Partic		
See attached	(Required)		
5 Consent to Euroclear Bank	, Clearstream Bank or Other Depository: By filir	g this claim, you consent to, and are	FOR COURT USE ONLY
deemed to have authorized, Eur	oclear Bank, Clearstream Bank or other depository to	disclose your identity and holdings	FILED / RECEIVED
	to the Debtors for the purpose of reconciling claims		↓ [
Date Signature: The	e person filing this claim must sign it. Sign and print authorized to file this claim and state address and tel	name and title, if any, of the creditor	007.07.000
October or other person notice address	above. Attach copy of power of attorney, if any.	-p	OCT 27 2009
	io Zancanaro Title: General Manager		
			EPIQ BANKRUPTCY SOLUTIONS, LLC
	5		EFIN DATATION TO SOLUTIONS, LLC
Penalty for	presenting fraudulent claim: Fine of up to \$500,000	or imprisonment for up to 5 years, or	both, 18 U.S.C. §§ 152 and 3571

SOUTHERN DISTRICT OF NEW YORK	
In re:	Chapter 11
Lehman Brothers Holdings Inc., et al.	Case No. 08-13555 (JMP)
Debtors.	(Jointly Administered)

ANNEX TO PROOF OF CLAIM OF BANCA ALETTI & C. S.P.A.

- 1. <u>Claimant</u>. Banca Aletti & C. S.p.A. (the "Claimant"), hereby files the accompanying proof of claim (the "Proof of Claim") against Lehman Brothers Holdings Inc. (the "Debtor"), a debtor and debtor in possession in the above-referenced chapter 11 cases. The Claimant holds claims against the Debtor arising from certain transactions that occurred prior to September 15, 2008 (the "Petition Date"), as described more fully below.
- Transactions Between the Parties. The Claimant is authorized to act on behalf of the holders of certain securities issued by Lehman Brothers Treasury Co. B.V. and Lehman Brothers UK Capital Funding II LP (the "Obligors") and guaranteed by the Debtor (each a "Program Security" and, together, the "Program Securities") identified on the list of Lehman Program Securities, which is available on the Debtors' website, http://www.lehman-docket.com under the heading "Key Documents." The International Securities Identification Number ("ISIN") identifying each Program Security, along with the respective Clearstream Bank blocking reference number and the Clearstream Bank account number relating to the Program Securities, is detailed on Exhibit 1 attached hereto. Evidence of the ownership of the securities described in Exhibit 1 attached hereto. The Program Securities issued by

Lehman Brothers Treasury Co. B.V. have also the benefit of an express, unconditional and irrevocable guarantee of the Debtor, and further, the Debtor guaranteed the payment of all liabilities, obligations and commitments of Lehman Brothers Treasury Co. B.V. pursuant to those certain board resolutions adopted by the Executive Committee of the Debtor's Board of Directors attached hereto as Exhibit 4, including but not limited to those certain resolutions adopted by unanimous written consent on June 9, 2005 and certain other guarantees extended to affiliates of the Debtor and/or Lehman related entities.

- 3. <u>Claim</u>. The Claimant is authorized to act on behalf of the holders of certain securities described more fully in <u>Exhibit 1</u> hereto and on account thereof and in accordance with the terms of the documentation relating thereto, hereby asserts a claim against the Debtor in the amount of \$ 68,411,014.91 <u>plus</u>, to the extent provided pursuant to the underlying documents associated with each Program Security all other interest, costs, fees and expenses allowed under applicable law (the "Claim"). An itemization of certain amounts comprising the Claim is set forth on Exhibit 2 attached hereto.
- 4. <u>Security Interests and Priority Status</u>. The Claim is filed as a general unsecured claim, without any prejudice to any and all rights of the Claimant to assert that any portion of the Claim is entitled to administrative priority under Sections 503 and 507 of the Bankruptcy Code.
- 5. <u>Claims, Counterclaims, Setoffs and Defenses</u>. The Claim is not subject to any known claims, counterclaims, setoffs or defenses by the Debtor. The Claimant also reserves any and all rights of setoff and recoupment that the Claimant or any of its affiliates may have against the Debtor or its related entities.
- 6. Reservation of Rights. The execution and filing of this Proof of Claim is not and shall not be deemed: (a) a waiver or release of the Claimant's rights against any other entity or

person liable for all or any part of the Claim asserted herein; (b) a consent by the Claimant to the jurisdiction of this Court with respect to any proceeding commenced in this case against or otherwise involving the Claimant; (c) a waiver of the right to withdraw the reference with respect to the subject matter of the Claim, any objection or other proceedings commenced with respect thereto or any other proceedings commenced in this case against or otherwise involving the Claimant; (d) a waiver or release by the Claimant of its right to trial by jury, or a consent by the Claimant to a trial by jury, in this Court or any other court; (e) a waiver of any right to the subordination, in favor of the Claimant, of indebtedness or liens held by any creditors of the Debtor or any of its affiliates; (f) an election of remedies which waives or otherwise affects any other remedy; (g) waiver of the Claimant's rights to assert that no claims hereunder have been or may be discharged and to file other claims which are not covered by this Proof of Claim; or (h) a waiver of the Claimant's rights, if any, of arbitration, to the extent provided by any applicable agreements with the Debtor or its affiliates.

Amendments. The Claimant expressly reserves its right to file any separate or additional proofs of claim with respect to the Claim set forth herein or otherwise (which proofs of claim, if so filed, shall not be deemed to supersede this proof of claim unless expressly so stated therein), to amend or supplement this Proof of Claim in any respect, including with respect to the filing of an additional or amended claim for the purpose of fixing and liquidating any contingent or unliquidated claim set forth herein, or to file additional proofs of claim in respect of additional amounts or for any other reason.

EXHIBIT 1

ISIN Code	Clearstream blocking reference #	Clearstream account #	issuer	Nominal Amount
VC0162550941	CA18387	83611	LEHMAN BROTHERS TREASURY CO. BV	€ 797,000.00
XS0163559841 XS0176153350	CA18388	83611	LEHMAN BROTHERS TREASURY CO. BV	€ 7,939,000.00
XS0181945972	CA18389	83611	LEHMAN BROTHERS TREASURY CO. BV	€ 9,241,000.00
XS0185655445	CA18385	83611	LEHMAN BROTHERS TREASURY CO. BV	€ 934,000.00
XS0302315386	CA18386	83603	LEHMAN BROTHERS TREASURY CO. BV	€ 625,000.00
XS0307348234	CA18384	83603	LEHMAN BROTHERS TREASURY CO. BV	€ 8,000.00
XS0162289663	CA18379	83611	LEHMAN BROTHERS TREASURY CO. BV	€ 542,000.00
XS0178969209	CA18375	83611	TREASURY CO. BV	€ 7,029,000.00
XS0189294225	CA18370	83611	LEHMAN BROTHERS TREASURY CO. BV LEHMAN BROTHERS	€ 336,000.00
XS0195431613	CA18377	83611	TREASURY CO. BV	€ 488,000.00
XS0200284247	CA18373	83611	TREASURY CO. BV	€ 10,499,000.00
XS0202417050	CA18372	83611	TREASURY CO. BV	€ 170,000.00
XS0197173643	CA18368	83611	TREASURY CO. BV	€ 20,000.00
XS0208459023	CA18371	83611	TREASURY CO. BV LEHMAN BROTHERS	€ 1,845,000.00
XS0210782552	CA18363	83611	TREASURY CO. BV LEHMAN BROTHERS	€ 1,289,000.00
XS0211814123	CA18364	83611	LEHMAN BROTHERS	€ 1,319,000.00
XS0211093041	CA18367	83611	LEHMAN BROTHERS	€ 2,027,000.00
XS0213971210	CA18365	83611	TREASURY CO. BV	€ 733,000.00

			LEHMAN BROTHERS	€ 5,000.00
XS0213416141	CA18358	83611	TREASURY CO. BV	€ 5,000.00
			LEHMAN BROTHERS	
			UK CAPITAL FUNDING	
XS0229269856	CA18359	83611	II LP	€ 15,000.00
			LEHMAN BROTHERS	
XS0220704109	CA18357	83611	TREASURY CO. BV	€ 65,000.00
X00220101100			LEHMAN BROTHERS	
IT0006578600	CA18361	83611	TREASURY CO. BV	€ 140,000.00
			LEHMAN BROTHERS	
XS0276162327	CA18349	83611	TREASURY CO. BV	€ 102,000.00
7,002,0,0202.			LEHMAN BROTHERS	
XS0229584296	CA18348	83611	TREASURY CO. BV	€ 25,000.00
			LEHMAN BROTHERS	
XS0210433206	CA18351	83611	TREASURY CO. BV	€ 8,000.00
7.002.07.00.00			LEHMAN BROTHERS	
XS0232364868	CA18347	83611	TREASURY CO. BV	€ 2,000.00
			LEHMAN BROTHERS	
XS0301086475	CA18343	83611	TREASURY CO. BV	€ 651.00

EXHIBIT 2

[ISIN Code]	Nominal Amount	Interest **	Total	Nominal Amount	Interest	Total
listiv Codel	(FOREIGN CURRENCY)	(FOREIGN CURRENCY)	(FOREIGN CURRENCY)	(USD) *	(USD) *	(USD) *
XS0163559841	EUR 797,000.00	15,379.09	812,379.09	1,127,834.70	21,762.95	1,149,597.65
XS0176153350	EUR 7,939,000.00	225,680.26	8,164,680.26	11,234,478.90	319,360.14	11,553,839.04
XS0181945972	EUR 9,241,000.00	221,061.35	9,462,061.35	13,076,939.10	312,823.92	13,389,763.02
XS0185655445	EUR 934,000.00	23,283.24	957,283.24	1,321,703.40	32,948.12	1,354,651.52
XS0302315386	EUR 625,000.00	-	625,000.00	884,437.50		884,437.50
XS0307348234	EUR 8,000.00		8,000.00	11,320.80	-	11,320.80
XS0162289663	EUR 542,000.00	5,871.67	547,871.67	766,984.20	8,309.00	775,293.20
XS0178969209	EUR 7,029,000.00	193,483.55	7,222,483.55	9,946,737.90	273,798.58	10,220,536.48
XS0189294225	EUR 336,000.00	5,709.77	341,709.77	475,473.60	8,079.90	483,553.50
XS0195431613	EUR 488,000.00	4,111.04	492,111.04	690,568.80	5,817.53	696,386.33
XS0200284247	EUR 10,499,000.00	313,224.27	10,812,224.27	14,857,134.90	443,243.67	15,300,378.57
XS0202417050	EUR 170,000.00	4,506.04	174,506.04	240,567.00	6,376.50	246,943.50
XS0197173643	EUR 20,000.00	82.50	20,082.50	28,302.00	116.75	28,418.75
XS0208459023	EUR 1,845,000.00	41,243.59	1,886,243.59	2,610,859.50	58,363.80	2,669,223.30
XS0210782552	GBP 1,289,000.00	28,176.27	1,317,176.27	2,297,454.37	50,220.09	2,347,674.47
XS0211814123	EUR 1,319,000.00	31,702.51	1,350,702.51	1,866,516.90	44,862.23	1,911,379.13
XS0211093041	EUR 2,027,000.00	23,371.42	2,050,371.42	2,868,407.70	33,072.90	2,901,480.60
XS0213971210	EUR 733,000.00	7,209.84	740,209.84	1,037,268.30	10,202.64	1,047,470.94
XS0213416141	EUR 5,000.00	49.73	5,049.73	7,075.50	70.37	7,145.87
XS0229269856	EUR 15,000.00	754.05	15,754.05	21,226.50	1,067.05	22,293.55
XS0220704109	EUR 65,000.00	759.43	65,759.43	91,981.50	1,074.67	93,056.17
IT0006578600	EUR 140,000.00		140,000.00	198,114.00	-	198,114.00
XS0276162327	EUR 102,000.00	-	102,000.00	144,340.20	•	144,340.20
XS0229584296	EUR 25,000.00	1,708.50	26,708.50	35,377.50	2,417.70	37,795.20
XS0210433206	EUR 8,000.00	278.03	8,278.03	11,320.80	393.44	11,714.24
XS0232364868	EUR 2,000.00	103.93	2,103.93	2,830.20	147.08	2,977.28
XS0301086475	UNIT 651.00	_	651,000.00	921,230.10	-	921,230.10

Total Claim Amount USD 68,411,014.91

^{*} Amounts due in EUR have been converted to US Dollars using the exchange reference rate published by the European Central Bank for September 15, 2008: 1 EUR = 1.4151 USD

Amounts due in GBP have been converted to US Dollars using the exchange reference rate GBP/EUR and USD/EUR published by the European Central Bank for September 15, 2008: 1 GBP = 1.259525 EUR = 1.782354 USD

Each 1 UNIT of ISIN Code XS0301086475 represents EUR 1.000 of Nominal Amount

** Interest accrued until September 14, 2008 (included)

EXHIBIT 3

Evidence of Ownership

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References
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19/10/09 Page 62/80

Clearstream Banking - CreationOnline 16.36 CET **BSMauro Security Post Release** Trade Details Jype of Settlement Trasaction Pool Reference Securities Blocking Poolikeference
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Financial Instrument Description
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Quantity of Financial Instrument.
Quantity Type New Message Receive Free Settlement-Settled XS0302315386 030231538 EUR 0,00 LEHMAN BROS TREAS 07-2013 IRVTGB2XGPT Single NONREF 19/10/09 16:06 83603 - BANCA ALETTI + C SPA Quantily of Financial Instrument
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References

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Types of Settlement Trasaction.

Pool Reference

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Instruction Type
Activity Status
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Financial Instrument Description

Place of Safekeeping Securities Blocking New Message Receive Free Settlement-Settled XS0307348234 030734823 EUR 0,00 LEHMAN BROS TREAS 07-2013 Race of Safekeeping Confinion Code Type IRVTGB2XGPT Common Code Type Reason Narrative Single Sender's Reference Last Update NONREF 19/10/09 16 06 Cast opene Previous Referênce Deal Reference Deal Reference Common Reference Safèkeeping Account Safekeeping Account Quantity of Financial Instrument Quantity Typeⁿ 83603 - BANCA ALETTI + C SPA 8 000 Face Amount quantity type
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BSMauro Clearstream Banking - CreationOnline 16.36 CET

References

 Type
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16.40 CET Clearstream Banking - CreationOnline **BSMauro Security Post Release** Trade Details
Type of Settlement Trasaction
Pool Reference
Function of Message
Instruction Type
Activity Status
ISIN Code
Common Code

Common Code Trade Details Securities Blocking New Message Receive Free Settlement-Settled XS0162289663 016228966 Financial Instrument Description EUR 5 LEHMAN BROS TREAS CO.BV 03-2010 Financial Instrument Description
Place of Safekeeping
Common Code Type
Reas on Narrative.
Sender's Reference.
Last Update
Previous Reference
Common Reference
Safekeeping Account.
Output Vol Financial Instrument IRVTGB2XGPT Single NONREF 19/10/09 16:06 Safekeeping Account,
Quantity of Financial Instrument,
Quantity Type,
Processing Indicator
Requested Settlement, Date
Trade Date/Time
Closing Date
Priority,
Settlement Amount
Currency
Guaranteed Delivery
Deal Price 83611 - BANCA ALETTI + C. SPA-CLIENT A/C 542 000 Face Amount 19/10/09 19/10/09 0.00 Normal Priority No Deat Price
Deat Price
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Transaction Indicator

Actual Settled Amount

Explicit Settlement Date

Explicit Value Date

Best Matching Instruct Yes CA 18379 Settlement Activity 19/10/09 Best Matching Instruction on the large to the grant of the entire terms of the contract Settlement Parties Settlement Parties
Clearstream Depository
BIC Address
Place of Settlement
Physical Transaction
Immediate Release
Delivering Agent
Party:
Description [6B] BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX - Clearstream No No Clearstream 83611 BANCA ALETTI + C. SPA-CLIENT A/C Settlement Processing Narrativ CONSBLOK XS0162289663 OCE CONSENT YOUR INX **Settlement Parties** Type Formal Party Account

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Additional information	蓝色 经经验证券 化二甲基苯酚		
	Internal		
			
19/10/09			Page 63/80

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16.40 CET Clearstream Banking - CreationOnline **BSMauro** References 02MB9A0005BZ Clearstream CA Notification 0000000065486201 History Status Reason Narrative CET Timestamp 19/10/09 16.06 Settlement 19/10/09 16.06 Processing Accepted Receipt 19/10/09 16.06 Processing **Current Activity-Status**

CET Timestamp User Activity Status Reason Narrative 19/10/09 16 06 Settled

Page 64/80 19/10/09

16.40 CET Clearstream Banking - CreationOnline **BSMauro Security Post Release** ·中国的自身是"美国国民共和国的基础的发展"自身中国的"国际国际和国民"的基础中的基础的形式。 Trade Details Trade Details
Type of Settlement Trasaction
Pool Reference
Function of Message
Instruction Type
Activity Status
ISIN Code
Common Code Securities Blocking New Message Receive Free Settlement-Settled XS0178969209 017896920 Financial Instrument Description: EUR 7 LEHMAN BROS TREAS.CO.BV (1-2) 03-2013 Place of Safekeeping Common Code Type Reason Narrative RVTGB2XGPT Single Reason Narrange Sender's Raference L'ast Undate Previous Reference Deal Reference NONREF 19/10/09 16:06 Common Reference Salekeeping Account 83611 - BANCA ALETTI + C SPA-CLIENT A/C . Quantity of Financial instrument. 7.029.000 Quantity Type Processing indicatora Requested Sattlement Date Trade Date/Time Face Amount 19/10/09 19/10/09 0.00 Closing Date Priority Normal Priority Settlement Amount Currency Guarante ed De liverý No Guaranteed Delivery
Deal Price
Deal, Price Currency
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Taxable Income Per Share
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Jaxable Income, Per Share Format
FX Currency
Delivery Without Matching Yes Deal Trano Trano
Common:
Transaction indicator
Actual Settled Amount
Explied Settlement Date
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Best Matching Instruction CA 18375 Settlement Activity 19/10/09 。如果你们的人们,我就是我的特别的证明,你是那么的事情的,我们就是不是不知识的。" Settlement Parties Clcarstream Depository BIC Address [6B] BKNY MELLON, LDN IRVTGB2XGPT Place of Settlement Physical Transaction Immediate Release CEDELULLXXX - Clearstream No Мо Delivering Agent Clearstream arty. Description 83611 BANCA ALETTI + C. SPA-CLIENT A/C Settlement Processing CONSBLOK XS0178969209 OCE CONSENT YOUR INX **Settlement Parties**

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 Clearstream Banking - CreationOnline
 16.40 CET

 References

 Type
 Clearstream
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 CA Notification
 0000000065486203

History

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 User
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 19/10/09 16.06
 Settlement
 Settled

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 Accepted

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Current Activity-Status

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19/10/09 16.06 Settlement Settled

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16.40 CET Clearstream Banking - CreationOnline BSMauro Security Post Release 大大支持 医环己氏试验检尿管抗性囊性抗性病 化氯化二甲基甲酚 计通过控制 计图像 Trade Details Trade Details

Type of Sattlement Trascellon

Pool (Sacretics)

Type of Sattlement Trascellon

Type of Sattlement Type

Activity Status

ISIN Code

Common Code

Financial instrument Description

Place of Safe Keeping

Common Code Type

Common Code Type

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Charactic and Depositiony
BIC Address
Place of Settlement
Physical Transaction
Immediate Release
Delivering Agent
Party [6B] BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX - Clearstream Ю No Clearstream 83611 BANCA ALETTI + C SPA-CLIENT A/C CONSBLOK XS0189294225 OCE CONSENT YOUR INX

Settlement Parties

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Receiving Agent	CLEARSTREAM	83611	, we are the second of the second
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16.40 CET Clearstream Banking - CreationOnline BSMauro References Type Reference: 77 02M89A0005B2 Clearstream 0000000065486206 CA Notification History Status Reason Narretive 93/1/m 03/1/m Settlement 19/10/09 16.06 Processing Accepted 19/10/09 16:06 Receipt Processing 19/10/09 16 06

Current Activity-Status

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19/10/09 Page 40/80

16.40 CET **BSMauro** Clearstream Banking - CreationOnline **Security Post Release Trade Details** Type or Settle ment Tresection i Poblikaterence Function of Message Instruction Type Activity Status Securities Blocking New Message struction.Type
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Processing | Indicator Receive Free Settlement-Settled XS0195431613 019543161 EUR 7,00 LEHMAN BROS.(W8 S)/TREAS 04-2014 IRVTGB2XGPT NONREE 19/10/09 16 06 83611 - BANCA ALETTI + C SPA-CLIENT A/C 488 000 Face Amount ocessing (ndicator quested Settlement Date ade Date/Time 19/10/09 opingipate fority attement/Amount facility 19/10/09 0 00 Normal Priority No panting

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axable income Per Share Currency,

axable income Per Share Format

courrency Yes CA 18377 rano ommon ransaction indicator ctual Settled Amount cp/Eff.Settlement Date cp/Eff.Value Date assumaching Instruction Settlement Activity 19/10/09 全国的企业,在1960年,1960年,1960年,1960年,1960年,1960年,1960年,1960年,1960年,1960年,1960年,1960年,1960年,1960年,1960年,1960年,1960年,1 Settlement Parties Clears tream Depository
BIC:Address
Place of Settlement
Physical Transaction
Immediate Release
Delivering Agent [6B] BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX - Clearstream No No Clearstream BANCA ALETTI + C SPA-CLIENT A/C

Settlement Parties

Type	Formal	Party Acc	ount .
Receiving Agent	CLEARSTREAM	83611	and the second s
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input Media	internal		

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16.40 CET Clearstream Banking - CreationOnline **BSMauro** References Reference Typer 02M89A0005BV Clearstream 0000000065486263 **CA Notification** History Reason Narrative CET Timestan Settled 19/10/09 16.06 Settlement Processing Accepted 19/10/09 16:06 Processing Receipt 19/10/09 16.06 **Current Activity-Status** : Slatus Reason Narrative CET/Timestamp User Activity

Settlement

19/10/09 16.06

Settled

19/10/09 Page 70/80

16.40 CET Clearstream Banking - CreationOnline **BSMauro Security Post Release** Trade Details Trade Details

Invite of Settlement Trasaction

Pool Reference

Function of Message

Instruction Type

Activity Status

ISIN Gode

Common Code Securities Blocking New Message Receive Free Settlement-Settled XS0200284247 020028424 commonicore Financial/Instrument.Description Placerof/Safekceping Common Code Type ReasonNarrative Sender's Refetence Cast/Update EUR 7,00 LEHMAN BROS.TREAS.04-2014 IRVTGB2XGPT Single NONREF 19/10/09 16.06 evious Reference al Reference immon Reference afekeeping Account nantity of Financial Institutiont sauth Type 83611 - BANCA ALETTI + C SPA-CLIENT A/C 10 499.000 Face Amount aquested Settlement Date ade Date (Times Osing Date Josins 19/10/09 19/10/09 0 00 Closing Date
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Settement Amount
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Delivery Without Matching
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Jiransaction Indicato
Actual Settlement Date
Exp /Er Value Date
Best Matching Instruction
Settlement Parties Normal Priority No CA 18373 Settlement Activity 19/10/09 Settlement Parties Clearstream Depository
BIC Address
Place of Settlemente
Physical Transaction
Immodiate Release [6B] BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX - Clearstream No No elivering Agent Clearstream 83611 BANCA ALETTI + C. SPA-CLIENT A/C

Settlement Parties

Type	Format	Party Accounts
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Additional Information	n gasag Risk trisk olin birilling obj	
input/Media	Internal	

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16.40 CET Clearstream Banking - CreationOnline **BSMauro** References Type: 02M89A0005BN Clearstream 0000000065486266 CA Notification History Status Reason Narrative : CELTImestamp User Activity, Settlement Settled 19/10/09 16 06 Accepted 19/10/09 16:06 Processing Receipt Processing 19/10/09 16:06

Settled

Settlement 19/10/09 16 06

Current Activity-Status

Page 54/80 19/10/09

BSMauro Clearstream Banking - CreationOnline 16.40 CET

Security Post Release

Trade Details

Type of Settlement Transaction

Roof Reference
Fillnetton of Messege
Instruction Type
Activity status
ISIN Code
Common Code
Fillnettalinstrument Description
Place of Safekeeping
Common Code Type
Réason Narrative
Senderis Roterence
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Safekeeping
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Safekeeping Account

Buantity of Fihancial Instrument
Committed Type

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Financial Instrument

Bravious Reference
Safekeeping Account

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New Message Receive Free Settlement-Settled XS0202417050 020241705

EUR 7,50 LEHMAN BROS HOLD PLC 04-2014

IRVTGB2XGPT Single

NONREF 19/10/09 16.06

83611 - BANCA ALETTI + C SPA-CLIENT A/C

170 000 Face Amount

19/10/09 19/10/09 0.00

Normal Priority

No

Yes

CA 18372

Settlement Activity

19/10/09

Deal Price Format
Taxable Income Per Share
Taxable Income Per Share
Taxable Income Per Share Currenc
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Taxable Income Per Share Format
FXCurrency
Delivery Without Matching
Deal
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Common
Transaction Indicator
Actual Settled Amount
Experies Settlement Patte
Best Matching in struction
Settlement Partles

Settlement Parties

Clearstream, Depository

BIC, Address

Place of Sottlement

Physical Transaction

Immediate, Release

Delivering Agent

Party

[6B] BKNY MELLON, LDN

IRVTGB2XGPT

CEDELULLXXX - Clearstream

No No Clearstream 83611

BANCA ALETTI + C SPA-CLIENT A/C

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Settlement Parties

Type	Format	Party Co.	Account
Receiving Agent	CLEARSTREAM	83611 Currenty and the Edward All Day (1975) (2010) (1976) (1977)	en en la propriation de la companyación de la companyación de la companyación de la companyación de la company
Additional Information	·	and the state of the product of the state of	A TOUR STORY OF THE STORY OF TH
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16.40 CET Clearstream Banking - CreationOnline **BSMauro** References DVPC - 1 Pelevence - Preference 02M89A0005BL Clearstream 0000000065486265 CA Notification History CIPTINE stamp Settlement 19/10/09 16.06 Processing Accepted 19/10/09 16.06 Processing Receipt 19/10/09 16:06 **Current Activity-Status** Activity Status Reason:Narrative since CET Timestamp

Settlement

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Page 50/80

19/10/09 16.06

BSMauro	Clearstream Banking - CreationOnline	16.40 CET
Security Post Release		
Trade Details	त्रात्र । एत्याच्या कृत्य पुरान वर्षे स्थान एत्या प्राप्त प्राप्त प्राप्त प्राप्त प्रदेश स्थानिक प्राप्त प्राप	
Type of Settlement Trasaction	Securities Blocking	
Pool Reference:		
Function of Message	New Message	
Instruction type	Receive Free	
Activity-Status	Settlement-Settled	
ISIN.Code	XS0197173643	
Common Code	019717364	
Financial Instrument Description	EUR FL R LEHMAN BROS.TREAS.CO BV(W8 S)04-2011	
Place of Safekeeping Common Code Type	IRVTGB2XGPT	
Common Code Type	Single	
Reason Narrative		
Sender's Reference	NONREF	
Last Update	19/10/09 16 06	
Previous Reference		
Deal Reference		
Common Reference		
Safekeeping Account	83611 - BANCA ALETTI + C SPA-CLIENT A/C	
Guantity of Financial instrument	20 000	
Quantity Type () () ()	Face Amount	
Processingilndicator		
Requested Settlement Date	19/10/09	
Trade Date/Time	19/10/09 0.00	
Closing Pate	Named Diagity	
Priority Sattlement Amount	Normal Priority	
Settlement Amount		
Currency	No.	
Guaranteed Delivery		
Deal Price Deal Price Currency		
Deal Price Format		
Taxable income Per Share		
Taxable Income Per Share Currency		
Taxable Income Per Share Format		
FX:Currency A		
Delivery, Without Matching	Yes	
Deal		
Trano	CA18368	
Common		
Transaction Indicator	Settlement Activity	
Actual Settled Amount		
Exp./Eff. Settlement Date	19/10/09	
Exp./Eff:Value/Date		
Best Matching Instruction		ngamas m
Settlement Parties	MARKETHINI	
Clearstream Depository	[6B] BKNY MELLON, LDN	
BIC Address Place of Settlement	RVTGB2XGPT CEDELULLXXX - Clearstream	
	No	
Physical Transaction	S No	
immediate Release	Clearstream	
Delivering:Agent Party	83611	
Description	BANCA ALETTI + C. SPA-CLIENT A/C	
Settlement Processing Narrative	CONSBLOK XS0197173643 OCE CONSENT YOUR INX	

Settlement Parties

Type ()	Formula	Pertype de la Contraction de l
Receiving Agent	CLEARSTREAM	83611 Here was to the transfer extractly they by whose details of examination of the control of the artifactor of the
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BSMauro	Clearstream Bankin	g - CreationOnline	16.40 CET
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Clearstream	021	/89A0005AW	
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19/10/09 16.06	Settlement	Settled	
19/10/09 16.06	Processing	Accepted	
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Current Activity-Status			
CET-Timestamp User	Activity	Sialus	easoniNarrative
19/10/09 16 06	Settlement	Settled	

16.40 CET Clearstream Banking - CreationOnline **BSMauro** Security Post Release Trade Details ype of Sattlement-Trasaction,
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unction of Message
istruction Type
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faxable income Per Share Format No Yes CA 18371 ans action Indicator. tual Settled Amount Settlement Activity Stp.(E): Settlement Date Stp.(E): Value Date Bast Matching Instruction: 19/10/09 Settlement Parties Clearistream Depository BC:Address Place of Settlement Physical Transaction Immediate Release [6B] BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX - Clearstream No No Clearstream 83611 BANCA ALETTI + C. SPA-CLIENT A/C CONSBLOK XS0208459023 OCE CONSENT YOUR INX Settlement Parties

Type - C	ACT TO THE PERSON OF THE PERSO	ASSEND	Aucounts	
Receiving Agent	CLEARSTREAM	83611		
Additional Information				
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08-13555-mg Doc 52602-1 Filed 04/27/16 Entered 04/27/16 17:04:56 Attachment A Pg 117 of 206

16.40 CET Clearstream Banking - CreationOnline BSMauro References Typet 02M89A0005BJ Clearstream 0000000065486264 CA Notification History Status V Reason Narrative **CELTIMES**tam Settled 19/10/09 16:06 Settlement Processing Accepted 19/10/09 16.06 Receipt 19/10/09 16:06 Processing **Current Activity-Status**

Settled

Settlement

CETATIM estamp User Activity

Status Reason/Narrative

19/10/09

19/10/09 16.06

16.40 CET Clearstream Banking - CreationOnline **BSMauro** Security Post Release Trade Details Trade Details
Trype of Sattlement Trasaction
Profile farence
Function of Message
Instruction Type
Activity Status
ISINCode
Common Code Securities Blocking New Message Receive Free Settlement-Settled XS0210782552 Common Code
Financial Instrument Description
Place of Selekceping
Common Code Type
Reason(Narrative
Senders Reference
Last Update
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Common Reference
Safekceping Account
Quantity of Financial Instrument
Quantity of 021078255 EUR FL.R LEHMAN BROS TREAS CO BV 05-2013 IRVTGB2XGPT Single NONREF 19/10/09 16 06 83611 - BANCA ALETTI + C SPA-CLIENT A/C 1 289.000 Face Amount 19/10/09 19/10/09 0.00 osing Date Normal Priority ettjement Amount . urrenty
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Settlement Parties

Type	Format	Party	Accounts
Peceiving Agent	CLEARSTREAM	83611	s Albananii yo Bir Markino dha dh
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16.40 CET Clearstream Banking - CreationOnline **BSMauro** References Reference: Type 02M89A0005B8 Clearstream 0000000065486563 **CA Notification** History CET/Timestam Settlement 19/10/09 16.06 Processing Accepted 19/10/09 16.06 Receipt 19/10/09 16:06 Processing

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16.40 CET Clearstream Banking - CreationOnline BSMauro Security Post Release Trade Details Type of Settlem on Trasaction Pool Reference Function of Message Instruction Type Securities Blocking New Message netruction Type
\(\) \(Receive Free Settlement-Settled XS0211814123 021181412 EUR 7,00 LEHMAN BROS TREAS CO.BV 05-2017 IRVTGB2XGPT Single NONREF 19/10/09 16:06 83611 - BANCA ALETTI + C SPA-CLIENT A/C 1.319.000 Face Amount ocessing indicator eques (ed Sattlem ent Date rade Date//ime los ing/Date rority 19/10/09 19/10/09 0 00 Normal Priority inforthy
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Place of Settlement
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Immediate Release. IRVTGB2XGPT CEDELULLXXX - Clearstream Clearstream BANCA ALETTI + C SPA-CLIENT A/C CONSBLOK XS0211814123 OCE CONSENT YOUR INX

Settlement Parties

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Receiving Agent	CLEARSTREAM	83611	and the second of the second o	in the state of the state of
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BSMauro Clearstream Banking - CreationOnline 16.40 CET

References

 Type
 Reference

 Clearstream
 02M89A0005AN

 CA Notification
 000000065486547

History

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 Reason Variative

 19/10/09 16.06
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 Settled

 19/10/09 16.06
 Processing
 Accepted

 19/10/09 16.06
 Processing
 Receipt

Current Activity-Status

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19/10/09 Page 6/80

16.40 CET Clearstream Banking - CreationOnline **BSMauro**

Security Post Release

Trade Details

Type of Settlement Trasaction Profitcherence
Function of the stage
Instruction Type
Activity Status
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Instruction Type ommon code:
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fevious Reference
ad Reference
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at the sping Account
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Securities Blocking

New Message Receive Free Settlement-Settled XS0211093041 021109304

EUR 7,50 LEHMAN BROS TREAS CO.BV. 05-2015

IRVTGB2XGPT Single

NONREF 19/10/09 16 06

83611 - BANCA ALETTI + C SPA-CLIENT A/C

2.027 000 Face Amount

19/10/09 19/10/09 0 00

Normal Priority

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Fano
Common
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Actual Settled Amount:
Express Settlement Data
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Best Matching Instruction
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Settlement Activity

19/10/09

Clearstream Depository
EIC Address
Place or Settlement
Physical Transaction
Immediate Release
Delivering Agent

[6B] BKNY MELLON, LDN

IRVTGB2XGPT

CEDELULLXXX - Clearstream

No Clearstream 83611

BANCA ALETTI + C. SPA-CLIENT A/C

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Settlement Parties

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Input Media Internal

Page 13/80 19/10/09

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16.40 CET Clearstream Banking - CreationOnline **BSMauro**

References

Reference. Type 02M89A0005AT Clearstream 0000000065486556 CA Notification

History

ReasoniNarrative CELETIMESIAM P Settlement 19/10/09 16.06 Processing Accepted

19/10/09 16.06 Receipt Processing 19/10/09 16 06

Current Activity-Status

Status Reason Narrative: CEI Timestamp. User

Settlement Settled 19/10/09 16:06

19/10/09

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BSMauro Clearstream Banking - CreationOnline 16.40 CET **Security Post Release** Trade Details

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Quantity of Financial Instrument.

Quantity Type.

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Settlement Parties

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Receiving Agent	CLEARSTREAM	83611	
Additional Information			
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19/10/09 Page 7/80

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16.40 CET Clearstream Banking - CreationOnline BSMauro References Type Reference 02M89A0005AK Clearstream 0000000065486555 CA Notification History Status Reason/Narrative CET Timestamp User Activity 19/10/09 16:06 Settled 19/10/09 16:06 Processing Accepted 19/10/09 16 06 Processing Receipt

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Security Post Release

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Function of Message
Instruction Type
Activity Status
ISIN Code Securities Blocking New Message Receive Free Settlement-Settled XS0213416141 ommon Gode inancial linstrument Description Place of Safeke epind common Code Trype Respon Narretive Sander's Reservice, 021341614 EUR 8,25 LEHMAN BROS.TREAS.CO.BV(W8 S)05-2035 IRVTGB2XGPT Single Senderts Reference
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Processing Indicator
Reguested Settlement
Closing Date
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Settlement Amount
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Settlement Parties

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Description [6B] BKNY MELLON, LDN IRVTGB2XGPT CEDELULLXXX · Clearstream No Clearstream 83611 BANCA ALETTI + C. SPA-CLIENT A/C ettlement Processing Narrati CONSBLOK XS0213416141 OCE CONSENT YOUR INX

Settlement Parties

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Receiving Agent	CLEARSTREAM	83611
Additional Information		
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16.40 CET Clearstream Banking - CreationOnline BSMauro

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Type 02M89A0005AS Clearstream

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Current Activity-Status

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Clearstream Banking - CreationOnline 16.40 CET **BSMauro**

Security Post Release

Trade Details Securities Blocking

Type of SettlementsTrasaction
Pool Reference
Function of Message
Instruction Type
Activity Status
ISIN Code New Message Receive Free Settlement-Settled XS0229269856

Common Code EUR 5,125 LEHMAN BROS. UK CAP.FUND.II(1)05-XX

022926985

Financial Instrument Description Place of Safe keeping Common Code Type Reason Narrative Sender's Reference Last Update **IRVTGB2XGPT** Single

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Previous Reference Deal Reference Deal Reference
Common Reference
Safekeeping Account
Quantity of Financial Instrument
Quantity Type
Processing Indicator
Requested Settlement Date
Trade Date/Time
Closing Date
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Yes

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Exp./Eff: Settlement Date 19/10/09 Exp./Eff. Value Date

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BIC Address: IRVTGB2XGPT Place of Settlement.... CEDELULLXXX - Clearstream

Physical Transaction : No linmediate Release Delivering Agent No Clearstream Party 83611

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Settlement Parties

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16.40 CET Clearstream Banking - CreationOnline BSMauro References Type : Reference 02M89A0005AV Clearstream 0000000065486638 CA Notification History Status Reason Narrative CET Time stamp Settled 19/10/09 16:06 Settlement Accepted Processing 19/10/09 16:06 Receipt 19/10/09 16:06 Processing

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16.40 CET Clearstream Banking - CreationOnline **BSMauro**

Security Post Release

Trade Details Type of Settlement Trasaction Pool Reference Function of Message Instruction Type Securities Blocking New Message Receive Free Instruction type Activity-Status Settlement-Settled ISIN Code XS0220704109 Common Code Financial Instrument Description 022070410 EUR 7 LEHMAN BROS.TREAS.CO.BV 05-2017 Place of Safekeeping. IRVTGB2XGPT Common Code Type Reason Narrative Single Sender's Reference Last Update NONREF 19/10/09 16:06 Last: Update
Previous Reference
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Common Reference
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Processing Indicator
Requested Settlement Date
Trade Date/Time 83611 - BANCA ALETTI + C SPA-CLIENT A/C 65.000 Face Amount 19/10/09 19/10/09 0.00 Trade Date/Time
Closing Date
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Settlement Amount
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Settlement Activity

Actual Settled Amount Exp./Eff: Settlement Date

Exp:/Eff. Value Date

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Settlement Parties [6B] BKNY MELLON, LDN

IRVTGB2XGPT

Clearstream Depository BIC Address Place of Settlement CEDELULLXXX - Clearstream

Physical Transaction Immediate Release No

No Délivering Agent Clearstream Party 83611

Déscription BANCA ALETTI + C. SPA-CLIENT A/C

CONSBLOK XS0220704109 OCE CONSENT YOUR INX Settlement Processing Narrative

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Current Activity-Status

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Page 38/80

16.40 CET Clearstream Banking - CreationOnline **BSMauro Security Post Release** THE STATE OF THE PROPERTY OF THE PROPERTY OF THE PROPERTY OF THE PARTY OF THE PROPERTY OF THE **Trade Details** Type of Settlement Trasaction Securities Blocking Pool Reference
Function of Message
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Activity-Status:
ISIN Code New Message Receive Free Settlement-Settled IT0006578600 Common Code Financial Instrument Description 023985071 EUR 7 LEHMAN BROTHERS (FXR-FLR)05-2017 Riace of Safekeeping Common Code Type Reason Narrative Sender is Reference Last Update MOTIFIMMXXX Single NONREF 19/10/09 16 06 Last Update
Previous Reference
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Trade Date/Time
Closing Date 19/10/09 19/10/09 0.00 Priority
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Deal Price Currency.

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Taxable Income Per Share Currency

Taxable Income Per Share Format Delivery Without Matching: Yes Deal CA 18361 Common Transaction indicator Actual Settled Amount Settlement Activity Exp./Eff. Settlement Date, Exp./Eff. Value Date Best Matching Instruction 19/10/09 en la grandiga terratikan di langga berangga kangga penggan berangga berangga berangga berangga berangga berang Settlement Parties Clearstream Depository BIC Address Place of Settlement Physical Transaction [80] INTESABCI BANK SPA BCITITMMXXX CEDELULLXXX - Clearstream No Immediate Release No Clearstream Delivering Agent Party 83611 BANCA ALETTI + C. SPA-CLIENT A/C Description.

Settlement Parties

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16.40 CET Clearstream Banking - CreationOnline **BSMauro** References Type Reference 02M89A0005B4 Clearstream 0000000065487145 **CA Notification** History Status Reason Narrative Settled 19/10/09 16 06 Settlement Accepted 19/10/09 16.06 Processing Receipt 19/10/09 16.06 Processing

Current Activity-Status

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19/10/09 Page 44/80

16.40 CET Clearstream Banking - CreationOnline **BSMauro**

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New Message Receive Free Settlement-Settled XS0276162327 027616232

EUR 0,00 LEHMAN BROS TREAS CO.BV 06-2010

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CA 18349

Settlement Activity

19/10/09

Settlement Parties

Člearstřeám Depository BIČ Address

Place of Settlement. Physical Transaction Immediate Release Delivering Agent? Party Description

Settlement Processing Narrat

[6B] BKNY MELLON, LDN IRVTGB2XGPT

CEDELULLXXX - Clearstream No

Clearstream 83611

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Settlement Parties

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BSMauro Clearstream Banking - CreationOnline 16.40 CET

References

Type Reference:
Clearstream 02M89A0005B3

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Current Activity-Status

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19/10/09 16 06 Settlement Settled

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16.40 CET Clearstream Banking - CreationOnline **BSMauro** Security Post Release Trade Details 4. 4. 6. Type of Settlement Trasaction Pool Reference Securities Blocking Function of Message New Message Instruction Type Activity Status ISIN Code Receive Free Settlement-Settled XS0229584296 Common Code Financia!\instrument\Description 022958429 EUR 7,25 LEHMAN BROS TREAS (3171) 05-2035 Place of Safekeeping IRVTGB2XGPT Common Gode Type Reason Narrallye Sender's Relejence Last Update Single NONREF 19/10/09 16:06 Previous Reference Deal Reference Common Reference CommoniReference
Salekeeping Account
Quantity of Financial Instruments
Quantity Type
Processing Indicator
Requested Settlement Date
Trade Date/Time
Closing/Date
Priority
Settlement Amount 83611 - BANCA ALETTI + C. SPA-CLIENT A/C 25 000 Face Amount 19/10/09 19/10/09 0.00 Normal Priority Guarante ed Delivery No Deal Price Deal Price Currency Deal-Price Currency
Deal-Price Format
Taxable Income Per Share
Taxable Income Per Share
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Delivery Without Matching CA 18348 Transaction Indicator Settlement Activity Actual Settled Am ount Exp. Eff. Settlement Date Exp. Eff. Value Date Best Matching Instruction 19/10/09 Settlement Parties Clearstream Depository BIC Address [6B] BKNY MELLON, LDN RVTGB2XGPT Place of Settlement CEDELULLXXX - Clearstream Physical Transaction Immediate Release No No Delivering Agent Parity Description Clearstream 83611 BANCA ALETTI + C. SPA-CLIENT A/C CONSBLOK XS0229584296 OCE CONSENT YOUR INX

Sottlement Parties

Settlement Processing Narrative

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Receiving Agent	CLEARSTREAM	83611
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16.40 CET Clearstream Banking - CreationOnline **BSMauro** References Type 02M89A0005B0 Clearstream CA Notification 0000000065486643 History CET Timestamp Settled Settlement 19/10/09 16:06 Accepted 19/10/09 16.06 Processing Receipt 19/10/09 16.06 Processing **Current Activity-Status** Status Reason Narrative CET Timestamp

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16.40 CET Clearstream Banking - CreationOnline **BSMauro Security Post Release** 2000年代的1980年代的1980年代,1980年代的19 **Trade Details** Type of Settlement Trasaction, Pool Reference Securities Blocking Function of Message Instruction Type Activity-Status New Message Receive Free Settlement-Settled Activity-Status
ISIN Code
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Financial Instrument Description
Place of Safekeeping
Common Code Type
Reason Narrative
Sender's Reference
Last Update
Common Reference XS0210433206 021043320 EUR 6,00 LEHMAN BROS.TREAS.CO.BV05-2035 **IRVTGB2XGPT** Single NONREF 19/10/09 16 06 Last Update Previous Reference Deal:Reference Deal Reference

Common Reference

Safekeeping Account

Quantity of Financial Instrument

Quantity Type

Processing Indicator

Requested Settlement Date

Trade Date/Time

Closing Date

Priority.

Settlement Amount

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Deal Price Format
Taxable Income Per Share
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Taxable Income Per Share Format FX Currency Delivery Without Matching Deal Yes CA18351 Trano. Common Transaction Indicator Settlement Activity Fainsaction of Count Actual Settled Amount Exp./Eff. Settlement Date Exp./Eff. Value Date Best Matching Instruction 19/10/09 Settlement Parties Clearstream Depository BIC Address [6B] BKNY MELLON, LDN IRVTGB2XGPT BIC Address
Place of Settlement
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Delivering Agent
Party
Description CEDELULLXXX - Clearstream No No Clearstream 83611 BANCA ALETTI + C. SPA-CLIENT A/C

Sottlement Parties

Settlement Processing Narrative

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Receiving Agent	CLEARSTREAM	83611	
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16.40 CET Clearstream Banking - CreationOnline **BSMauro** References Type 02M89A0005B7 Clearstream **CA Notification** 0000000065486549 History Status Reason: Narrative CET Timestamp. User Settlement Settled 19/10/09 16.06 19/10/09 16:06 Processing Accepted Processing Receipt 19/10/09 16:06

Current Activity-Status

19/10/09 Page 26/80

BSMauro	Clearstream Banking - CreationOnline	6.40 CET
Security Post Release		
Trade Details		
Type of Settlêment Trasaction 💝 🚁	Securities Blocking	
Pool Reference		
Function of Message	New Message	
Instruction Type	Receive Free	
Activity-Status ISIN Code	Settlement-Settled	
ISIN Code	XS0232364868 228 023236486	
Common Code	UZ3230400 EUR 6,00 LEHMAN BROS.TREAS.CO.BV 05-2035	
Financial Instrument Description	EOR O, DU LES MARIO BIOGO. INCLAGOSO. SV 00-2000	
Place of Safekeeping	Single	
Common Code Type Reason Narrative		
Sender's Reference	NONREF	
Last Update	19/10/09 16:06	
Previous Reference:		
Deal Reference		
Common Reference		
Safekeeping Account	83611 - BANCA ALETTI + C. SPA-CLIENT A/C	
Quantity of Financial Instrument	2 000	
Quantity:Type	Face Amount	
Processing Indicator	19/10/09	
Requested Settlement Date Trade Date/Time	19/10/09 0 00	
Closing Date		
Priority	Normal Priority	
Settlement Amount		
Currency		
Currency Guaranteed Delivery	No.	
Deal Price		
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Deal Price Format Taxable Income Per Share		
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FX Currency Delivery Without Matching:	Yes	
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Transaction Indicator	Settlement Activity	
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Clearstream Depository	[68] BKNY MELLON, LDN	
BIC Address	RVTGB2XGPT	
Place of Settlement	CEDELULLXXX - Clearstream	
Physical Transaction	No	
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Settlement Parties

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BSMauro Clearstream Banking - CreationOnline 16.40 CET

References

 Type
 Reference

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 02M89A0005AX

 Clearstream
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16.40 CET Clearstream Banking - CreationOnline **BSMauro**

Security Post Release

Trade Details Type of Sattlement Tresaction Pool Reference Function of Message Securities Blocking New Message Instruction Type Activity Status Receive Free Settlement-Settled SIN Code Common Code Financial Instrument Description XS0301086475 030108647 UNT LEHMAN BROS.TREAS 040711 Place of Safekeeping Common Code Type Reason Narrative IRVTGB2XGPT Single Sender's Reference NONREF Last Update 19/10/09 16 06 Previous Réference Deal Réference Common(Réference Salekeeping Accounts
Quantity of Financial Instrument
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Processing Indicator
Requested Settlement Date
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Priority 83611 - BANCA ALETTI + C SPA-CLIENT A/C 651 19/10/09 19/10/09 0 00 Priority Settlement Amount Currency Normal Priority Guaranteed Delivery
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Deal Price Format
Taxable Income Per Share
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Deal Guaranteed Delivery No Yes Deal Trano Common CA18343 Transaction Indicator Settlement Activity Actual Settled Amount Exp:/Eff. Settlement Date 19/10/09 Exp./Ef; Value Date Best Matching Instr 化环环 电气电流 经国际基础 医环腺性性 医甲基酚 医毒素 医耳氏性毒素 医隐隐虫虫 医克克特氏管 医阴道性管

Settlement Parties

Clearstream Depos BIC Address [6B] BKNY MELLON, LDN **IRVTGB2XGPT** eic Aquiess Place of Settlement Physical Transaction Immediate Release Delivering Agent CEDELULLXXX - Clearstream No No Clearstream Party :-83611 BANCA ALETTI + C. SPA-CLIENT A/C

CONSBLOK XS0301086475 OCE CONSENT YOUR INX

Settlement Parties

Type	Format	Party Account	
Receiving Agent	CLEARSTREAM	83611 সংস্কৃতি পাত্ৰৰ প্ৰকল্প কৰিছিল, উত্তৰিক প্ৰকল্প কৰিছিল কৰিছিল কৰিছিল কৰিছিল। কৰিছিল কৰিছিল কৰিছিল কৰিছিল কৰিছিল	3
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Input Media;	Internal		

Page 3/80 19/10/09

08-13555-mg Doc 52602-1 Filed 04/27/16 Entered 04/27/16 17:04:56 Attachment A Pg 143 of 206

BSMauro : Clearstream Banking - CreationOnline 16.40 CET

References

 Type
 Reference

 Clearstream
 02M89A0005AL

CA Notification

0000000065488554

History

CET Time stamp User Activity Status Reason Narrative.

19/10/09 16 06 Settlement Settled

19/10/09 16.06 19/10/09 16.06

Processing Accepted

Processing

Receipt

Current Activity-Status

CET Timestamp, User Activity Status Reason Narrative

19/10/09 16 06

Settlement

Settled

19/10/09

Page 4/80

EXHIBIT 4

Written Consent June 9, 2005

06/0B/2005

16:41

LEHMAN + 916467582653

NO.504

P01

UNANIMOUS WRITTEN CONSENT OF THE

EXECUTIVE COMMITTEE OF THE

BOARD OF DIRECTORS OF

LEHMAN BROTHERS HOLDINGS INC.

The undersigned, being both members of the Executive Committee of the Board of Directors of Lehman Brothers Holdings Inc., a Delaware corporation (the "Corporation"), do hereby adopt the following resolutions by unanimous written consent in lieu of a meeting in accordance with Section 141(f) of the General Corporation Law of the State of Delaware:

WHEREAS, the Corporation has previously authorized by specific resolution, which authority has not been revoked (the "Outstanding Guarantee Resolutions"), the guarantee of all or specified obligations and liabilities of certain direct and indirect subsidiaries of the Corporation, each of which is a "Guaranteed Subsidiary" as such term is used in the Corporation's Code of Authorities as currently in effect (the "Code"),

WHEREAS, certain of the Guaranteed Subsidiaries presently enjoy full guarantees while others have only partial guarantees, and the Corporation now wishes to expand such partial guarantees to full guarantees,

WHEREAS, due to the passage of time the names of certain of the Guaranteed Subsidiaries have changed, rendering the Outstanding Guarantee Resolutions out of date to that extent,

WHEREAS, the Corporation wishes to clarify that its guarantee of any Guaranteed Subsidiary with respect to any given transaction is not contingent upon the issuance of a signed guarantee pertaining to such transaction,

WHEREAS, Management wishes to establish additional Guaranteed Subsidiaries,

WHEREAS, Management wishes to specify that to the extent lawful and allowable, guarantees issued by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, so as to secure certain tax and accounting benefits, and

WHEREAS, Management believes that it would facilitate the conduct of the business of the Corporation to supersede and replace the various Outstanding Guarantee Resolutions in their entirety with this single document,

NOW THEREFORE BE IT.

06-09-05 11:31 JDM INVESTMENTS

ID=2023380294

P.02

DE. 255. 2005 26/9E/2005

16:41

 HD.290 004 ND.584 082

RESOLVED, that the Corporation hereby fully guarantees the payment of all liabilities, obligations and commitments of the subsidiaries set forth on Schedule A hereto, each of which shall be a Guaranteed Subsidiary for purposes of the Code;

RESOLVED, that the Outstanding Guarantee Resolutions are hereby superseded and replaced in their entirety with this single document, provided that any guarantees provided pursuant to the Outstanding Guarantee Resolutions and ourstanding on the determined, whether in the form of a separately executed individual guarantee or otherwise, shall remain issued, ourstanding and valid for all purposes;

RESOLVED, that guarantees provided by the Corporation concerning certain of the Grananteed Subsidiaries should originate with the branch of the Corporation located in London, England, to the extent lawful and allowable, as specified on Schedule A hereto;

RESOLVED, that each of the persons listed in the Code (as it may be amended from time to time) as being sutherized to approve individual guarantees issued by the Corporation with respect to Guaranteed Subsidiaries, or any proper delegae thereof (collectively, "Authorized Persons"), are hereby sutherized, in the name and on behalf of the Corporation, to execute such guarantees in such form as is approved by an attorney of the Corporation and such Authorized Person, subject to any limitations specified herein, his or her execution of each such guarantee to be conclusive evidence of approval thereof; and to do such other acts and things as may be advisable or necessary in order to effect the purposes and intern of these resolutions; and

FURTHER RESOLVED, that any and all actions contemplated by the foregoing resolutions and taken by such Authorized Persons prior to the date hereof are hereby ratified, confirmed and approved in all respects.

Dated: June 9, 2005

Richard S. Puld, Jr.

John D. Macomber

06/08/2005

16:41

LEHMAN → 916467582653

ND.504

D03

Schedule A to LBHI Unanimous Written Consent dated June 9, 2005

	Name of Subsidiary	issue Corporation guarantee from branch located in London, England, to the extent lawful and allowable?
<u> </u>	Andreas and an including 1	
1.	Lehman Brothers Asia Holdings Limited	No
2.	Lehman Brothers Bankhaus A.G.	Yes (London branch of such subsidiary only)
3.	Lehman Brothers Commercial Bank	No
4.	Lehman Brothers Commercial Corporation	No
5.	Lehman Brothers Commercial Corporation Asia Limited	No
6.	Lehman Brothers Equity Finance (Cayman) Limited	No
7.	Lehman Brothers Finance S.A.	No
8.	Lehman Brothers Holdings Plc	Yes
9.	Lehman Brothers International (Europe)	Yes
10.	Lehman Brothers Japan Inc.	No
11.	Lehman Brothers (Luxembourg) Equity Finance S.A.	No
12.	Lehman Brothers (Luxembourg) S.A.	No
13.	Lehman Brothers OTC Derivatives Inc.	No
14.	Lehman Brothers Securities Asia Limited	No
15.	Lehman Brothers Securities N.V.	No
16.	Lehman Brothers Special Financing Inc.	No
17.	Lehman Brothers Treasury Co. B.V.	No
18.	Lehman Re Limited	No

MBANCA ALETTI

United States Bankruptcy Court/Southern District of New York

Epiq Bankruptcy Solutions, LLC Attn: Lehman Brothers Holdings Claims Processing 757 Third Avenue, 3rd Floor New York, NY 10017

Banca Aletti & C. S.p.a.
Tel. +390243358107
Fax +390243358364
E-mail:
massimo.zerbino@alettibank.it

Ocotber 23, 2009

BANCA ALETTI & C. S.P.A. - PROOF OF CLAIM AGAINST LEHMAN BROTHERS HOLDINGS INC.

To: United States Bankruptcy Court/Southern District of New York

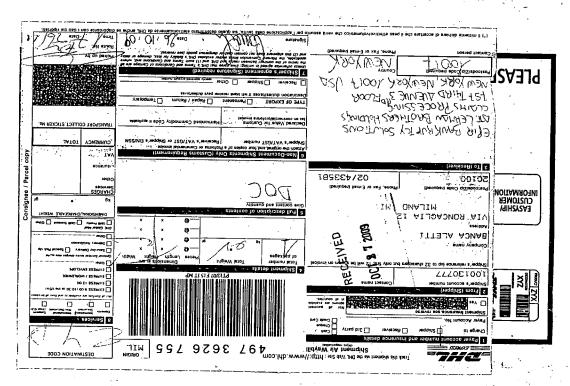
Enclosed please find two original copies of the proofs of claim hereby filed by Banca Aletti & C. S.p.a. against Lehman Brothers Holdings Inc., together with photocopies of the original proofs of claims. Please retain and time-stamp the original proofs of claim and please time-stamp and return the photocopies (time-stamped as "RECEIVED") by using the enclosed prepaid envelop.

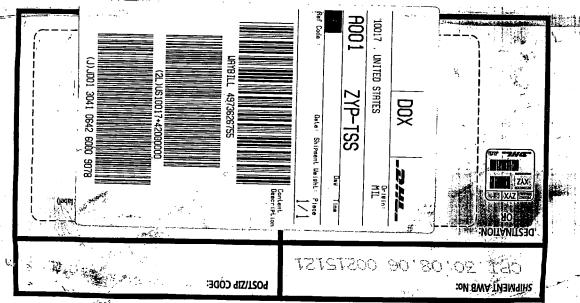
Kind regards,

Banca Aletti & C. S.p.A.

General Manager

Mr. Maurizio Zancanaro





08-13555-mg Doc 52602-1 Filed 04/27/16 Entered 04/27/16 17:04:56 Attachment A Pg 150 of 206

United States B	ankruptcy Court/Southern Dis	trict of New York	I ELINANI	SECURITIES PROGRAMS
	s Holdings Claims Processing	Center		
c/o Epiq Bankru FDR Station, P.0	ptcy Solutions, LLC O. Box 5076		Filed: USBC	Southern District of New York rothers Holdings Inc., Et Al.
New York, NY			Lennand	08-13555 (JMP) 0000049792
In Re:	s Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP)	214 1 4 5 12 26 5	2 40 6 7 1 4 30 1
Debtors.	3 Holdings Mo., or an,	(Jointly Administered)		
Note: This form Programs Secur	may not be used to file claims ities as listed on http://www.leh	other than those based on Lehman man-docket.com as of July 17, 2009		
		ddress where notices should be sent if diff	ferent from Creditor)	☐ Check this box to indicate that this claim
				amends a previously filed claim.
Banca Agricola Via Oddone Sca	Commerciale della Repubblica	di San Marino S.p.A.		Court Claim Number:(If known)
47893 Borgo M	aggiore			(i) known)
Repubblica di S Attention: Mr. S	an Marino Stefano Cataldo			
Attention, with c	iteratio Camido			Filed on:
Telephone numi	ber: +378 871286	Email Address: legale@bac.sm		
	ess where payment should be se			☐ Check this box if you are aware that anyone
	• •			else has filed a proof of claim relating to your claim. Attach copy of statement giving
				particulars.
Telephone num	ber:	Email Address:		
1. Provide the t	otal amount of your claim base	d on Lehman Programs Securities. Your o	claim amount must be the amount	owed under your Lehman Programs Securities as of
September 15, 2	2008, whether you owned the Lu	chman Programs Securities on September	15, 2008 or acquired them thereaft in United States dollars, using the	ter, and whether such claim matured or became exchange rate as applicable on September 15,
2008. If you are	e filing this claim with respect t	o more than one Lehman Programs Securi	ity, you may attach a schedule with	the claim amounts for each Lehman Programs
	ch this claim relates.			
	im: See attached	(Required)		
		les interest or other charges in addition to		
2. Provide the I more than one I	nternational Securities Identific Lehman Programs Security, you	ation Number (ISIN) for each Lehman Pr may attach a schedule with the ISINs for	ograms Security to which this clain the Lehman Programs Securities to	m relates. If you are filing this claim with respect to o which this claim relates.
International S	Securities Identification Numb	er (ISIN): See attached	(Required)	
3. Provide the C	Clearstream Bank Blocking Nun	nber, a Euroclear Bank Electronic Referen	ce Number, or other depository bl	ocking reference number, as appropriate (each, a
"Blocking Num	her") for each Lehman Program	is Security for which you are filing a clain	n. You must acquire a Blocking Ni	umber from your accountholder (i.e. the bank, chman Programs Security, you may attach a
schedule with the	entity that holds such securities ne Blocking Numbers for each I	Lehman Programs Security to which this c	laim relates	
		lear Bank Electronic Instruction Refero		itory blocking reference number:
See attached	(Requi	red)		
4. Provide the C	Clearstream Bank, Euroclear Ba	nk or other depository participant account	number related to your Lehman P	rograms Securities for which you are filing this
claim. You mus	t acquire the relevant Clearstrea	am Bank, Euroclear Bank or other deposit Beneficial holders should not provide th	ory participant account number fro	om your accountholder (i.e. the bank, broker or other
Accountholder	s Euroclear Bank, Clearstrea	m Bank or Other Depository Participar	nt Account Number:	
See attached	(Requi	red)		P
5. Consent to	Euroclear Bank, Clearstream	Bank or Other Depository: By filing th	is claim, you consent to, and are	FILED TRECEIVED
deemed to have	authorized, Euroclear Bank, C	learstream Bank or other depository to dis for the purpose of reconciling claims and	ciose your identity and noidings distributions.	THE THE THE THE
Date.		this claim must sign it. Sign and print nam		
October	or other person authorized to	ile this claim and state address and teleph	one number if different from the	
<u>23</u> , 2009		copy of power of attorney if any.	D	
ļ	Name: Luigi Lonfernini Title	: President	lerwi-	EPIQ BANKRUPTCY SOLUTIONS, LLC
	Penalty for presenting frag	idulent claim: Fine of up to \$500,000 of it	mprisonment for up to 5 years, or t	ooth, 18 U.S.C. §§ 152 and 3571

	X
Debtors.	(Jointly Administered)
Lehman Brothers Holdings Inc., et al.	Case No. 08-13555 (JMP)
In re:	Chapter 11
UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK	X

ANNEX TO PROOF OF CLAIM OF <u>BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO</u> S.P.A.

- 1. <u>Claimant</u>. Banca Agricola Commerciale della Repubblica di San Marino S.p.A. (the "Claimant"), hereby files the accompanying proof of claim (the "Proof of Claim") against Lehman Brothers Holdings Inc. (the "Debtor"), a debtor and debtor in possession in the above-referenced chapter 11 cases. The Claimant holds claims against the Debtor arising from certain transactions that occurred prior to September 15, 2008 (the "Petition Date"), as described more fully below.
- Transactions Between the Parties. The Claimant is authorized to act on behalf of the holders of certain securities issued by Lehman Brothers Treasury Co. B.V. (the "Obligor") and guaranteed by the Debtor (each a "Program Security" and, together, the "Program Securities") identified on the list of Lehman Program Securities, which is available on the Debtors' website, http://www.lehman-docket.com under the heading "Key Documents." The International Securities Identification Number ("ISIN") identifying each Program Security, along with the respective Euroclear Bank electronic instruction reference number and the Euroclear Bank account number relating to the Program Securities, is detailed on Exhibit 1 attached hereto. Evidence of the ownership of the securities described in Exhibit 1 is provided in Exhibit 1 attached hereto.

attached hereto. The Program Securities have the benefit of an express, unconditional and irrevocable guarantee of the Debtor, and further, the Debtor guaranteed the payment of all liabilities, obligations and commitments of the Obligor pursuant to those certain board resolutions adopted by the Executive Committee of the Debtor's Board of Directors attached hereto as Exhibit 4, including but not limited to those certain resolutions adopted by unanimous written consent on June 9, 2005 and certain other guarantees extended to affiliates of the Debtor and/or Lehman related entities.

- 3. <u>Claim.</u> The Claimant is authorized to act on behalf of the holders of certain securities described more fully in <u>Exhibit 1</u> hereto and on account thereof and in accordance with the terms of the documentation relating thereto, hereby asserts a claim against the Debtor in the amount of \$ 1,931,973.42, <u>plus</u>, to the extent provided pursuant to the underlying documents associated with each Program Security all other interest, costs, fees and expenses allowed under applicable law (the "Claim"). An itemization of certain amounts comprising the Claim is set forth on Exhibit 2 attached hereto.
- 4. <u>Security Interests and Priority Status</u>. The Claim is filed as a general unsecured claim, without any prejudice to any and all rights of the Claimant to assert that any portion of the Claim is entitled to administrative priority under Sections 503 and 507 of the Bankruptcy Code.
- 5. <u>Claims, Counterclaims, Setoffs and Defenses</u>. The Claim is not subject to any known claims, counterclaims, setoffs or defenses by the Debtor. The Claimant also reserves any and all rights of setoff and recoupment that the Claimant or any of its affiliates may have against the Debtor or its related entities.
- 6. Reservation of Rights. The execution and filing of this Proof of Claim is not and shall not be deemed: (a) a waiver or release of the Claimant's rights against any other entity or

person liable for all or any part of the Claim asserted herein; (b) a consent by the Claimant to the jurisdiction of this Court with respect to any proceeding commenced in this case against or otherwise involving the Claimant; (c) a waiver of the right to withdraw the reference with respect to the subject matter of the Claim, any objection or other proceedings commenced with respect thereto or any other proceedings commenced in this case against or otherwise involving the Claimant; (d) a waiver or release by the Claimant of its right to trial by jury, or a consent by the Claimant to a trial by jury, in this Court or any other court; (e) a waiver of any right to the subordination, in favor of the Claimant, of indebtedness or liens held by any creditors of the Debtor or any of its affiliates; (f) an election of remedies which waives or otherwise affects any other remedy; (g) waiver of the Claimant's rights to assert that no claims hereunder have been or may be discharged and to file other claims which are not covered by this Proof of Claim; or (h) a waiver of the Claimant's rights, if any, of arbitration, to the extent provided by any applicable agreements with the Debtor or its affiliates.

Amendments. The Claimant expressly reserves its right to file any separate or additional proofs of claim with respect to the Claim set forth herein or otherwise (which proofs of claim, if so filed, shall not be deemed to supersede this proof of claim unless expressly so stated therein), to amend or supplement this Proof of Claim in any respect, including with respect to the filing of an additional or amended claim for the purpose of fixing and liquidating any contingent or unliquidated claim set forth herein, or to file additional proofs of claim in respect of additional amounts or for any other reason.

ISIN Code	Euroclear electronic instruction reference #	Euroclear account #	Sub- custodian account #	Issuer	Nominal Amount
XS0176153350	6034125	Euroclear 12462	200301	Lehman Brothers Treasury Co. B.V.	€ 40,000.00
XS0181945972	6034129	Euroclear 12462	200301	Lehman Brothers Treasury Co. B.V.	€ 300,000.00
XS0208459023	6034137	Euroclear 12462	200301	Lehman Brothers Treasury Co. B.V.	€ 500,000.00
XS0211093041	6034139	Euroclear 12462	200301	Lehman Brothers Treasury Co. B.V.	€ 500,000.00

ISIN Code	Nominal Amount (EUR)	Interest** (EUR)	Total (EUR)	Nominal Amount (USD) *	Interest (USD) *	Total (USD) *
XS0176153350	40,000.00	1,137.07	41,137.07	56,604.00	1,609.07	58,213.07
XS0181945972	300,000.00	7,176.54	307,176.54	424,530.00	10,155.52	434,685.52
XS0208459023	500,000.00	11,177.10	511,177.10	707,550.00	15,816.71	723,366.71
XS0211093041	500,000.00	5,765.05	505,765.05	707,550.00	8,158.12	715,708.12
Total Claim Am	ount			<u> </u>	USD 1,93	1,973.42

^{*}Amounts due in EUR have been converted to US Dollars using the exchange reference rate published on Web Site of Banca D'Italia, section "Euro Foreign Exchange references rates as of 15 Sep 2008" (www.bancaditalia.it/banca_ centrale/cambi/rif/2008/09/cambi_rif_1509_en.htm) for September 15, 2008: 1 EUR = 1.4151 USD

^{**} Interest accrued until September 14, 2008 (included).

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EXHIBIT 3

Evidence of Ownership



BANCA AGRICOLA COMMERCIALE Della Repubblica di San Marino Via Oddone Scarito 13 Borgo Maggiore **RSM**

Lugano, 08.10.2009

LEHMAN BROTHERS BONDS

Dear Sirs,

we hereby confirm you that, at present date, we have deposited on your behalf, on our 12462 account with Euroclear Bank, the securities of the attached list.

Best regards.

UNICREDIT (SUISSE) BANK SA Vado h

MAILEUNICREDITSUISSE.CH

XS0208459023

XS0254171191

LEHMAN BROS 2011 FLR LEHMAN BROS 2016 FLR LEHMAN BROS 2016 FLR

XS0252835110

XS0211093041 XS0181945972 XS0268648952 XS0183944643

XS0189741001 XS0252834576 XS0179304869 XS0176153350

XS0128857413

6 3/8 LEHMAN BROS 2011

LEHMAN BROS 2008 FLR LEHMAN BROS 2013 FLR

4 LEHMAN BROS 2011

4.75 LEHMAN BROS 2014

LEHAMAN BROS 2016 FLR

300'000 250'000 220'000 220'000 170'000 56'000 40'000 20'000

12462 12462 12462 12462 12462 12462 12462 12462 12462 12462

200301 200301 200301 200301 200301 200301 200301 200301 200301 200301 200301

LEHMAN BROS 2011 FLR

LEHMAN BROS 2015 FLR LEHMAN BROS 2014 FLR ISIN CODE

CURR

AMOUNT

ACC. AT EUROCLEAR BAC ACC. WITH UNICREDIT SUISSE

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niCredit

Lugano, 12.10.2009

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VALIDATED INSTRUCTIONS ACCOUNT 12462 08/10/09 06:1B EUCLID VALIDATION DATE: 06/10/2009 CUSTODY SERVICE 79:TYP-SUBT-CPN 35A:NOMINAL...AMOUNT 30:SET.DAT 72:P-N.DAT (E/C REF) 20:YOUR...REFERENCE USER....NBR TIMESTAMP SECURITY..... DESCRIPTION CUR EUCLID.STAT PARTSTAT 35B: 77D: REGISTRATION (RG) 87F: CORRESPONDENT (CO) 88D: BENEFICIARY (BE) 77R:CERTIFICATION (CE) 80B:PROXY (PR) 79: REC: REMARKS TO RECIPIENT (RR) 72:EOC:REMARKS TO EOC MESSAGES FROM EOC 35A: 20000 30:051009 72: (6030507) 79: 37-

79: 37- - 35A: 20000 30:051009 72: (6030507 20:LEHMAN 1 17:18:18 35B:XS0128857413 LEHMAN BROTHERS HOL 6.37500 10/05/11 EUR IPRO (FUT) 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA CONTACT SIMONA PACI 00378 871254 AMM.TITOLI AT BAC.SM 72:EOC:P. ALESSANDRINI 0041 91 9109559

Custody: Valid Instructions - 08/10/09 13:21:44

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ACCOUNT 12462
VALIDATED INSTRUCTIONS
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                                                     06:18
          EUCLID VALIDATION DATE: 08/10/2009
CUSTODY SERVICE
79:TYP-SUBT-CPN 35A:NOMINAL...AMOUNT 30:SET.DAT 72:P-N.DAT (E/C REF)
  20:YOUR...REFERENCE USER....NBR TIMESTAMP
                SECURITY..... DESCRIPTION CUR EUCLID.STAT PARTSTAT
 77D: REGISTRATION (RG)
 87F: CORRESPONDENT (CO)
 88D: BENEFICIARY (BE)
 77R:CERTIFICATION (CE)
 80B:PROXY (PR)
 79: REC: REMARKS TO RECIPIENT (RR)
 72:EOC:REMARKS TO EOC
MESSAGES FROM EOC
                          250000 30:071009 72:
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  20:LEHMAN 12
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35B:XS0268648952 LEHMAN BROTHERS HOL 4.25000 00/09/16 EUR IPRO (FUT )
 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
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                                          0041 91 910 9536
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35B:XS0254171191 LEHMAN BROTHERS HOL VAR
 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
                                       STEFANO CATALDO 00378 871286
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35B:XS0252835110 LEHMAN BROTHERS HOL VAR
 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
                                      STEFANO CATALDO 00378 871286
     EMAIL LEGALE AT BAC.SM
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  20:LEHMAN 9
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35B:XS0252834576 LEHMAN BROTHERS HOL 4.00000 04/05/11 EUR IPRO (FUT )
 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
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35B:XS0211093041 LEHMAN BROTHERS TRE 7.50000 16/02/15 EUR IPRO (FUT )
 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
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 35B:XS0208459023 LEHMAN BROTHERS TRE 4.00000 30/12/16 EUR IPRO (FUT )
 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
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     EMAIL LEGALE AT BAC.SM
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 35B:XS0189741001 LEHMAN BROTHERS HOL VAR
 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA
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Custody: Valid Instructions - 08/10/09 13:21:44

EMAIL LEGALE AT BAC.SM STEFANO CATALDO 00378 871286 72:EOC:WEBER SACHA 0041 91 910 9536 30:071009 72: 79: 37-35A: 220000 (6034131) 20:LEHMAN 5 16:11:59 35B:XS0183944643 LEHMAN BROTHERS HOL 4.75000 16/01/14 EUR IPRO (FUT) 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA EMAIL LEGALE AT BAC.SM STEFANO CATALDO 00378 871286 0041 91 910 9536 72:EOC:WEBER SACHA 300000 30:071009 72: 79: 37-35A: (6034129)20: LEHMAN 4 16:11:33 35B:XS0181945972 LEHMAN BROTHERS TRE 7.00000 00/01/14 EUR IPRO (FUT) 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA EMAIL LEGALE AT BAC.SM STEFANO CATALDO 00378 871286 72:EOC:WEBER SACHA 0041 91 910 9536 56000 79: 37-35A: 30:071009 72: (6034127) 20: LEHMAN 3 16:11:06 35B:XS0179304869 LEHMAN BROTHERS HOL VAR 03/11/08 EUR IPRO (FUT) 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA EMAIL LEGALE AT BAC.SM STEFANO CATALDO 00378 871286 0041 91 910 9536 72:EOC:WEBER SACHA 79: 37-35A: 40000 30:071009 72: (6034125)20:LEHMAN 2 16:10:28 35B:XS0176153350 LEHMAN BROTHERS TRE 7.00000 10/10/13 EUR IPRO (FUT) 88D:BANCA AGRICOLA COMMERCIALE DELLA REPUBBLICA DI SAN MARINO SPA EMAIL LEGALE AT BAC.SM STEFANO CATALDO 00378 871286

0041 91 910 9536

72:EOC:WEBER SACHA

Written Consent June 9, 2005

06/08/2005

16:41

LEHMAN → 916467582653

NO.504 P01

UNANIMOUS WRITTEN CONSENT OF THE

EXECUTIVE COMMITTEE OF THE

BOARD OF DIRECTORS OF

LEHMAN BROTHERS HOLDINGS INC.

The undersigned, being both members of the Executive Committee of the Board of Directors of Lehman Brothers Holdings Inc., a Delaware corporation (the "Corporation"), do hereby adopt the following resolutions by unanimous written consent in lieu of a meeting in accordance with Section 141(f) of the General Corporation Law of the State of Delaware:

WHEREAS, the Corporation has previously authorized by specific resolution, which authority has not been revoked (the "Outstanding Guarantee Resolutions"), the guarantee of all or specified obligations and liabilities of certain direct and indirect subsidiaries of the Corporation, each of which is a "Guaranteed Subsidiary" as such term is used in the Corporation's Code of Authorities as currently in effect (the "Code"),

WHEREAS, certain of the Guaranteed Subsidiaries presently enjoy full guarantees while others have only partial guarantees, and the Corporation now wishes to expand such partial guarantees to full guarantees.

WHEREAS, due to the passage of time the names of certain of the Guaranteed Subsidiaries have changed, rendering the Outstanding Guarantee Resolutions out of date to that extent.

WHEREAS, the Corporation wishes to clarify that its guarantee of any Guaranteed Subsidiary with respect to any given transaction is not contingent upon the issuance of a signed guarantee pertaining to such transaction,

WHEREAS, Management wishes to establish additional Guaranteed Subsidiaries.

WHEREAS, Management wishes to specify that to the extent lawful and allowable, guarantees issued by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, so as to secure certain tax and accounting benefits, and

WHEREAS, Management believes that it would facilitate the conduct of the business of the Corporation to supersede and replace the various Outstanding Guarantee Resolutions in their entirety with this single document,

NOW THEREFORE BE IT,

06-09-05 11:31 JDM INVESTMENTS

ID=2023380294

P.02

36. 85. 2305 36/86/2005 29:11 1**6:4**1 NO.290 004 NO.504 082

RESOLVED, that the Corporation hereby fully guarantees the payment of all liabilities, obligations and commitments of the subsidiaries set forth on Schedule A hereto, each of which shall be a Guaranteed Subsidiary for purposes of the Code;

RESOLVED, that the Outstanding Guarantee Resolutions are hereby superseded and replaced in their entirety with this single document, provided that any guarantees provided pursuant to the Outstanding Guarantee Resolutions and outstanding on the date bereof, whether in the form of a separately executed individual guarantee or otherwise, shall remain issued, outstanding and valid for all purposes;

RESOLVED, that guarantees provided by the Corporation concerning cartain of the Grammteed Subsidiaries should originate with the branch of the Corporation located in London, England, to the extent lawful and allowable, as specified on Schedule A hereto:

RESOLVED, that each of the persons listed in the Code (as it may be amended from time to time) as being authorized to approve individual guarantees issued by the Corporation with respect to Guaranteed Subsidiaries, or any proper delegae thereof (collectively, "Authorized Persons"), are hereby authorized, in the name and on behalf of the Corporation, to execute such guarantees in such form as is approved by an antorney of the Corporation and such Authorized Person, subject to any limitations specified herein, his or her execution of each such guarantee to be conclusive evidence of approval thereof; and to do such other acts and things as may be advisable or necessary in order to affect the purposes and intent of these resolutions; and

FURTHER RESOLVED, that any and all actions contemplated by the foregoing resolutions and taken by such Authorized Persons prior to the date hereof are hereby ratified, confirmed and approved in all respects.

Dated: June 7, 2005

Richard S. Puld, Jr.

John D. Macomber

06/08/2005

16:41

LEHMAN → 916467582653

ND.504

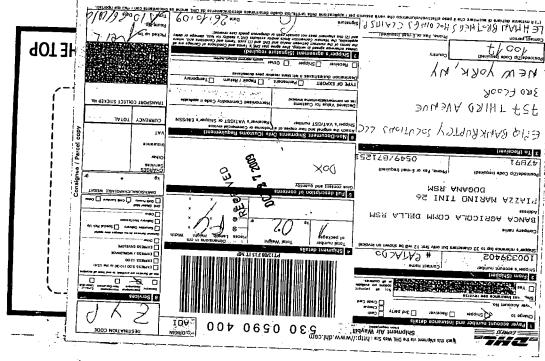
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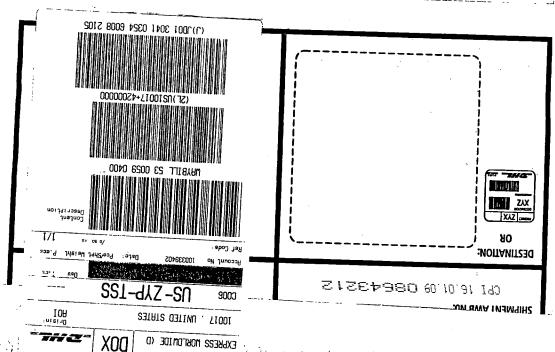
Schedule A to LBHI Unanimous Written Consent dated June 9, 2005

	Name of Subsidiary	Issue Corporation guarantee from branch located in London, England, to the extent lawful and allowable?	
1.	Lehman Brothers Asia Holdings Limited	No	
2.	Lehman Brothers Bankhaus A.G.	Yes (London branch of such subsidiary only)	
3.	Lehman Brothers Commercial Bank	No	
4.	Lehman Brothers Commercial Corporation	No	
5.	Lehman Brothers Commercial Corporation Asia Limited	No	
6.	Lehman Brothers Equity Finance (Cayman)	No	
7.	Lehman Brothers Finance S.A.	No	
8.	Lehman Brothers Holdings Plc	Yes	
9.	Lehman Brothers International (Europe)	Yes	
10.	Lehman Brothers Japan Inc.	No	
11.	Lehman Brothers (Luxembourg) Equity Finance S.A.	No	
12.	Lehman Brothers (Luxembourg) S.A.	No	
13.	Lehman Brothers OTC Derivatives Inc.	No	
14.	Lehman Brothers Securities Asia Limited	No	
15.	Lehman Brothers Securities N.V.	No	
16.	Lehman Brothers Special Financing Inc.	No	
17.	Lehman Brothers Treasury Co. B.V.	No	
18.	Lehman Re Limited	No	

EXTREMELY URGENT DO NOT SEND CASH, CASH EQUIVALENT OR JEWELLERY.

DHL's liability shall not exceed US\$ 100 for any shipment. See conditions of carriage on the airbill.





08-13555-mg Doc 52602-1 Filed 04/27/16 Entered 04/27/16 17:04:56 Attachment A Pg 167 of 206

	5076		Filed: USBC - Southern District of New York Lehman Brothers Holdings Inc., Et Al.
	y not be used to file claim:	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered) s other than those based on Lehman man-docket.com as of July 17, 2009	08-13555 (JMP) 0000050309
Name and address of C	Creditor: (and name and address with	ess where notices should be sent if different from Control of the copy to:	Check this box to indicate that this claim amends a previously filed claim.
UBS AG 677 Washington Blvd Stamford, CT 06901	l. 399 Nev	gham McCutchen LLP Park Avenue w York, NY 10022	Court Claim Number:(if known)
Att'n: Bert Fuqua, I Tel.: 203.719.4038 Email:bert.fuqua@u	bs.com jo	tt'n: Joshua Dorchak, Esq. 12.705.7784 shua.dorchak@bingham.com	Filed on:
Name and address whe	re payment should be sent (if	different from above)	☐ Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
Telephone number:		Email Address:	
Securities as of Septem claim matured or becar as applicable on Septer claim amounts for each	ther 15, 2008, whether you over the fixed or liquidated before an or 15, 2008. If you are filing Lehman Programs Security to To be determined - See attached	when the Lehman Programs Securities on September or after September 15, 2008. The claim amount mung this claim with respect to more than one Lehman to which this claim relates. Ached Appendix and Schedule	nust be the amount owed under your Lehman Programs r 15, 2008 or acquired them thereafter, and whether such ist be stated in United States dollars, using the exchange rate a Programs Security, you may attach a schedule with the cipal amount due on the Lehman Programs Securities.
			ty to which this claim relates. If you are filing this claim the Lehman Programs Securities to which this claim
3. Provide the Clearstr (each, a "Blocking Nur (i.e., the bank, broker of Security, you may attack	eam Bank Blocking Number, nber") for each Lehman Prog or other entity that holds such the a schedule with the Blockin ocking Number, Euroclear I	rams Security for which you are filing a claim. You securities on your behalf). If you are filing this claing Numbers for each Lehman Programs Security to	r other depository blocking reference number, as appropriate u must acquire a Blocking Number from your accountholder im with respect to more than one Lehman Programs which this claim relates. and or other depository blocking reference number:
4. Provide the Clearstr filing this claim. You bank, broker or other en Accountholders Euro	eam Bank, Euroclear Bank or must acquire the relevant Clea ntity that holds such securities clear Bank, Clearstream Ba		•
See attached Appendi 5. Consent to Eurocle		or Other Depository: By filing this claim, you co	onsent to, FOR COURT USE ONLY
	n Programs Securities to the l Signature: The person fili of the creditor or other pers	Clearstream Bank or other depository to disclose your Debtors for the purpose of reconciling claims and ding this claim must sign it. Sign and print name and on authorized to file this claim and state address and enotice address above. Attach copy of power of att	our identity istributions. title, if any, d telephone

Penalty for presenting fraudulent claim: Fine of up to \$500,000 or interisbility for up to 5 years, or both. 18 U.S.C. §§ 152 and 3571

James B. Fuqua
Managing Director and Counsel
Region Americas Legal

Region Americas Legal

INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim.

DEFINITIONS

INFORMATION

Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

Creditor

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy filing.

Claim

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable.

Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

Lehman Brothers Holdings Claims Processing c/o Epiq Bankruptcy Solutions, LLC FDR Station, PO Box 5076 New York, NY 10150-5076

Lehman Programs Security

Any security included on the list designated "Lehman Programs Securities" available on http://www.lehman-docket.com as of July 17, 2009.

Acknowledgment of Filing of Claim

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (http://www.lehman-docket.com) to view your filed proof of claim.

Claims to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 et seq.), and any applicable orders of the bankruptcy court.

Appendix

This Proof of Claim (this "Claim") is filed by UBS AG ("Claimant") against Lehman Brothers Holdings Inc. ("Debtor").

This Claim is based on Debtor's issuance or guarantee, as applicable, of the Program Securities listed on the attached Schedule.

The precise amount of this Claim cannot be determined at this time, as it may depend on factors outside Claimant's knowledge and beyond Claimant's control. In each case, the Claim includes principal, accrued interest, any enhanced return on principal, and expenses to the extent permitted by the governing documents and applicable law.

Accordingly, the aggregate amount of this Claim is to be determined.

Because these securities are Lehman Program Securities, as defined in the Court's July 2, 2009 order, Claimant is not required to complete a Guarantee Questionnaire or to provide any information other that that provided herein in support of this Claim.

Reservation of Rights

This Claim is filed under the compulsion of the bar date established in these chapter 11 proceedings and is filed to protect Claimant from forfeiture of claims by reason of said bar date. Claimant reserves its right to amend and/or supplement this Claim for the purposes and to the extent permitted by applicable law.

Claimant reserves all of its rights and defenses, whether under title 11 of the United States Code or other applicable law, as to any claims that may be asserted against Claimant by Debtor, including, without limitation, any rights of setoff and/or recoupment not expressly asserted above. Claimant further reserves all of its rights as against the other debtors in these chapter 11 proceedings.

Claimant further reserves all rights accruing to it, and the filing of this Claim is not and shall not be deemed or construed as (i) a waiver, release, or limitation of Claimant's rights against any person, entity, or property (including, without limitation, Debtor or any other person or entity that is or may become a debtor in a case pending in this Court); (ii) a consent by Claimant to the jurisdiction or venue of this Court or any other court with respect to proceedings, if any, commenced in any case against or otherwise involving Claimant; (iii) a waiver, release, or limitation of Claimant's right to trial by jury in this Court or any other court in any proceeding as to any and all matters so triable herein, whether or not the same be designated legal or private rights or in any case, controversy, or proceeding related hereto, notwithstanding the designation or not of such matters as "core proceedings" pursuant to 28 U.S.C. § 157(b)(2), and whether such jury trial right is pursuant to statute or the U.S. Constitution; (iv) a consent by Claimant to a jury trial in this Court or any other court in any proceeding as to any and all matters so triable herein or in any case, controversy, or proceeding related hereto, pursuant to 28 U.S.C. § 157(e) or otherwise; (v) a waiver, release, or limitation of Claimant's right to have any and all final orders in any and all non-core matters or proceedings entered only after de novo review by a U.S. District Court Judge; (vi) a waiver of the right to move to withdraw the reference with respect to the subject matter of this Claim, any objection thereto or other proceeding which may be commenced in this case against or otherwise involving Claimant; (vii) an election of remedies; or (viii) a consent to the final determination or adjudication of any claim or right pursuant to 28 U.S.C. § 157(c).

Schedule

Program Securities held by Claimant:

ISIN Number	Face Amount (Orig Currency)	Orig Currency	Face Amount (USD)	Euroclear Bank Electronic Instruction Reference Number	Euroclear Bank Account Number
CH0026915527	6755000	CHF	\$6,019,259.82	6056973	91672
CH0026985082	255000	CHF	\$227,225.94	6056972	91672
XS0128857413	1233000	EUR	\$1,740,910.89	6056971	91672
XS0176153350	110000	EUR	\$155,312.41	6056970	91672
XS0179304869	545000	EUR	\$769,502.38	6056969	91672
XS0183944643	6052000	EUR	\$8,545,006.25	6056968	91672
XS0205185456	1079000	EUR	\$1,523,473.52	6054005	91672
XS0213416141	2634000	EUR	\$3,719,026.18	6056967	91672
XS0213899510	258000	EUR	\$364,278.19	6056966	91672
XS0213971210	100000	EUR	\$141,193.10	6056965	91672
XS0215349357	9000	EUR	\$12,707.38	6056964	91672
XS0216921741	1000000	EUR	\$1,411,930.97	6056963	91672
XS0218304458	1000000	EUR	\$1,411,930.97	6056962	91672
XS0220152069	35000	EUR	\$49,417.58	6056961	91672
XS0224346592	460000	EUR	\$649,488.25	6056960	91672
XS0232364868	1000000	EUR	\$1,411,930.97	6056959	91672
XS0243852562	1500000	EUR	\$2,117,896.46	6056958	91672
XS0257022714	2000000	EUR	\$2,823,861.95	6056957	91672
XS0268648952	13050000	EUR	\$18,425,699.21	6056956	91672
XS0272543900	2000000	EUR	\$2,823,861.95	6056955	91672
XS0282937985	100000	EUR	\$141,193.10	6056954	91672
XS0282978666	270000	EUR	\$381,221.36	6056953	91672
XS0283497005	5256000	EUR	\$7,421,109.20	6056952	91672
XS0287044969	50000	EUR	\$70,596.55	6056951	91672
XS0293166517	3000000	EUR	\$4,235,792.92	6056950	91672
XS0307745744	300000	EUR	\$423,579.29	6056948	91672
XS0326006540	5550000	EUR	\$7,836,216.90	6056947	91672
XS0360242910	1500000	EUR	\$2,117,896.46	6056946	91672
XS0361644098	500000	EUR	\$705,965.49	6056945	91672
XS0252834576	200000	EUR	\$282,386.19	6056944	91672
XS0281520352	2754000	EUR	\$3,888,457.90	6056943	91672
XS0210414750	152000	GBP	\$269,648.15	6056942	91672
XS0251195847	5000000	GBP	\$8,870,004.80	6056941	91672
XS0259010022	500000	USD	\$500,000.00	6056908	91672
XS0342095881	1900000	USD	\$1,900,000.00	6056498	91672
XS0346438657	1000000	USD	\$1,000,000.00	6054490	91672

Amount of Claim: <u>TO BE DETERMINED</u>, including principal, accrued interest, any enhanced returns on principal, and expenses to the extent permitted by the governing documents and applicable law. For securities denominated in currency other than U.S. dollars, the amount of the claim, once determined, will be converted to U.S. dollars at the applicable exchange rate.

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08-13555-mg Doc 52602-1 Filed 04/27/16 Entered 04/27/16 17:04:56 Attachment A Pg 172 of 206

United States I	ankruptcy Court/Southern Dist	rict of New York		
	rs Holdings Claims Processing C	Center		SECURITIES PROGRAMS PROOF OF CLAIM
FDR Station, P	aptcy Solutions, LLC O. Box 5076			
New York, NY In Re:	10150-5076	Chantan II		- Southern District of New York Brothers Holdings Inc., Et Al.
	rs Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)		08-13555 (JMP) 0000050827
Note: This form		other than those based on Lehman nan-docket.com as of July 17, 2009		
Name and addr	ess of Creditor: (and name and a	ddress where notices should be sent if diff	Ferent from Cicunoi)	u cneck this box to indicate that this claim amends a previously filed claim.
Banca Popolare Via Ottavio Se	e di Puglia e Basilicata S.C.p.A.			Court Claim Number:
70022 Altamur	•			(If known)
Italy Attention: Mr.	Renato Quaranta			
				Filed on:
Telephone num	ber: +39 080 8710342	Email Address: tesoreria.integrata@ban	capopolaredipugliaebasilicata.it	
Name and addr	ess where payment should be sen	t (if different from above)		☐ Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
Telephone num	ber:	Email Address:]		
September 15, fixed or liquida 2008. If you ar	2008, whether you owned the Le ted before or after September 15	hman Programs Securities on September, 2008. The claim amount must be stated	15, 2008 or acquired them thereaft in United States dollars, using the	owed under your Lehman Programs Securities as of ter, and whether such claim matured or became exchange rate as applicable on September 15, in the claim amounts for each Lehman Programs
Amount of Cla	im: See attached	(Required)		
Check this	oox if the amount of claim includ	les interest or other charges in addition to	the principal amount due on the L	ehman Programs Securities.
		ation Number (ISIN) for each Lehman Promay attach a schedule with the ISINs for		nn relates. If you are filing this claim with respect to o which this claim relates.
International	Securities Identification Numbe	er (ISIN): See attached	(Required)	
"Blocking Nun broker or other schedule with t	ber") for each Lehman Programs entity that holds such securities of the Blocking Numbers for each L	s Security for which you are filing a claim on your behalf). If you are filing this clair ehman Programs Security to which this c	 You must acquire a Blocking None with respect to more than one Localizations. 	ocking reference number, as appropriate (each, a umber from your accountholder (i.e. the bank, ehman Programs Security, you may attach a
Clearstream I	ank Blocking Number, Eurocl	ear Bank Electronic Instruction Refere	nce Number and or other depos	itory blocking reference number:
See attached	(Requir			
claim. You mu	st acquire the relevant Clearstrea	ik or other depository participant account m Bank, Euroclear Bank or other deposite Beneficial holders should not provide th	ory participant account number fro	rograms Securities for which you are filing this om your accountholder (i.e. the bank, broker or other
Accountholde	rs Euroclear Bank, Clearstrear	n Bank or Other Depository Participan	t Account Number:	
See attached	(Requir	ed)		
deemed to have	e authorized, Euroclear Bank, Clo grams Securities to the Debtors f	Bank or Other Depository: By filing the carstream Bank or other depository to discort the purpose of reconciling claims and of the purpose of	close your identity and holdings distributions.	FRED / RECEIVED
Date. October 27 th, 2009	or other person authorized to fi	nis claim must sign it. Sign and print nam le this claim and state address and telepho opy of power of attorney, if any	one number if different from the	OCT 2 8 2009
! 	Name: Raffaele D'Ecclesiis	Title: President W Au	,	EPIO BANKRUPTCY SOLUTIONS, LLC
	Penalty for presenting frau	dulent claim: Fine of up to \$500,000 or in	nonsonment for up to 5 years, or b	ootn. 18 U.S.C. §§ 152 and 3571

UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK	
In re:	Chapter 11
Lehman Brothers Holdings Inc., et al.	Case No. 08-13555 (JMP
Debtors.	(Jointly Administered)

ANNEX TO PROOF OF CLAIM OF BANCA POPOLARE DI PUGLIA E BASILICATA S.C.P.A.

- 1. <u>Claimant</u>. Banca Popolare di Puglia e Basilicata S.C.p.A. (the "Claimant"), hereby files the accompanying proof of claim (the "Proof of Claim") against Lehman Brothers Holdings Inc. (the "Debtor"), a debtor and debtor in possession in the above-referenced chapter 11 cases. The Claimant holds claims against the Debtor arising from certain transactions that occurred prior to September 15, 2008 (the "Petition Date"), as described more fully below.
- 2. <u>Transactions Between the Parties.</u> The Claimant is the holder and is authorized to act on behalf of the holders of certain securities issued by Lehman Brothers Treasury Co. B.V. (the "Obligor") and guaranteed by the Debtor (each a "Program Security" and, together, the "Program Securities") identified on the list of Lehman Program Securities, which is available on the Debtors' website, http://www.lehman-docket.com under the heading "Key Documents." The International Securities Identification Number ("ISIN") identifying each Program Security, along with the respective Clearstream Bank blocking reference number and the Clearstream Bank, account number relating to the Program Securities, is detailed on Exhibit 1 attached hereto. Evidence of the ownership of the securities described in Exhibit 1 is provided in Exhibit 1 attached hereto. The Program Securities have the benefit of an express, unconditional and

irrevocable guarantee of the Debtor, and further, the Debtor guaranteed the payment of all liabilities, obligations and commitments of the Obligor pursuant to those certain board resolutions adopted by the Executive Committee of the Debtor's Board of Directors attached hereto as Exhibit 4, including but not limited to those certain resolutions adopted by unanimous written consent on June 9, 2005 and certain other guarantees extended to affiliates of the Debtor and/or Lehman related entities.

- 3. <u>Claim.</u> The Claimant is the holder and is authorized to act on behalf of the holders of certain securities described more fully in <u>Exhibit 1</u> hereto and on account thereof and in accordance with the terms of the documentation relating thereto, hereby asserts a claim against the Debtor in the amount of \$ 1,228,803.16, <u>plus</u>, to the extent provided pursuant to the underlying documents associated with each Program Security all other interest, costs, fees and expenses allowed under applicable law (the "Claim"). An itemization of certain amounts comprising the Claim is set forth on <u>Exhibit 2</u> attached hereto.
- 4. <u>Security Interests and Priority Status</u>. The Claim is filed as a general unsecured claim, without any prejudice to any and all rights of the Claimant to assert that any portion of the Claim is entitled to administrative priority under Sections 503 and 507 of the Bankruptcy Code.
- 5. <u>Claims, Counterclaims, Setoffs and Defenses</u>. The Claim is not subject to any known claims, counterclaims, setoffs or defenses by the Debtor. The Claimant also reserves any and all rights of setoff and recoupment that the Claimant or any of its affiliates may have against the Debtor or its related entities.
- 6. Reservation of Rights. The execution and filing of this Proof of Claim is not and shall not be deemed: (a) a waiver or release of the Claimant's rights against any other entity or person liable for all or any part of the Claim asserted herein; (b) a consent by the Claimant to the

jurisdiction of this Court with respect to any proceeding commenced in this case against or otherwise involving the Claimant; (c) a waiver of the right to withdraw the reference with respect to the subject matter of the Claim, any objection or other proceedings commenced with respect thereto or any other proceedings commenced in this case against or otherwise involving the Claimant; (d) a waiver or release by the Claimant of its right to trial by jury, or a consent by the Claimant to a trial by jury, in this Court or any other court; (e) a waiver of any right to the subordination, in favor of the Claimant, of indebtedness or liens held by any creditors of the Debtor or any of its affiliates; (f) an election of remedies which waives or otherwise affects any other remedy; (g) waiver of the Claimant's rights to assert that no claims hereunder have been or may be discharged and to file other claims which are not covered by this Proof of Claim; or (h) a waiver of the Claimant's rights, if any, of arbitration, to the extent provided by any applicable agreements with the Debtor or its affiliates.

Amendments. The Claimant expressly reserves its right to file any separate or additional proofs of claim with respect to the Claim set forth herein or otherwise (which proofs of claim, if so filed, shall not be deemed to supersede this proof of claim unless expressly so stated therein), to amend or supplement this Proof of Claim in any respect, including with respect to the filing of an additional or amended claim for the purpose of fixing and liquidating any contingent or unliquidated claim set forth herein, or to file additional proofs of claim in respect of additional amounts or for any other reason.

ISIN Code	Clearstream blocking reference #	Clearstream Account #	Sub-custodian account #	Iusser	Nominal Amount
XS0176153350	CA81609	81999	99/1/5385/23	Lehman Brothers Treasury Co. B.V	€ 60,000.00
XS0195431613	CA81618	81999	99/1/5385/23	Lehman Brothers Treasury Co. B.V	€ 25,000.00
XS0211093041	CA81648	81999	99/1/5385/22	Lehman Brothers Treasury Co. B.V	€ 400,000.00
XS0213971210	CA81657	81999	99/1/5385/22	Lehman Brothers Treasury Co. B.V	€ 360,000.00

	Monte Titoli blocking			Nominal
ISIN Code	reference #	Monte Titoli Account #	Iusser	Amount
IT0006578600	82032999	5385.00	Lehman Brothers Treasury Co. B.V	€ 10,000.00

ISIN CODE	Nominal Amount (FOREIGN CURRENCY)	Interest ** (FOREIGN CURRENCY)	Total (FOREIGN CURRENCY)	Nominal Amount (USD) *	Interest (USD) *	Total (USD) *
IT0006578600	€ 10,000	€ 196.63	€ 10,196.63	14,201.00	279.23	14,480.23
XS0176153350	€ 60,000	€ 1,710.25	€ 61,710.25	85,206.00	2428.73	87,634.73
XS0195431613	€ 25,000	€211.18	€ 25,211.18	35,502.50	299.90	35,802.40
XS0211093041	€ 400,000	€ 4,624.66	€ 404,624.66	568,040.00	6,567.48	574,607.48
XS0213971210	€ 360,000	€ 3,550.68	€ 363,550.68	511,236.00	5,042.32	516,278.32
Total Claim Amount					USD \$	1,228,803.16

^{*} Amounts due in EUR have been converted to US Dollars using the exchange reference rate published by the Bloomberg for September 15, 2008: $1 \, \text{Eur} = 1.4201 \, \text{USD}$

^{**} Interest accrued until September 14, 2008 (included)

Evidence of Ownership

23. Ott. 2009 16:00

Nr. 2947 P. 1



Lehman Brothers Holdings Inc. Chapter 11, Case No. 08-13555 (JMP) (Jointly Administered)

STATEMENT

Istituto Centrale delle Bauche Popolari S.p.A., represented by Mr. LUCIANO MEROSO, in his capacity as Deputy Manager, having its registered office in Milan, Corso Europa 18, in order to allow its depositor holding Lehman Programs Securities, as defined by the order entered on July 2, 2009 by the U.S. Bankruptcy Court for Southern District of New York, to file a proof of claim against Lehman Brothers Holdings Inc.

CERTIFIES

That, at the date of October 23, 2009, Banca Popolare di Puglia e Basilicata s.c.p.a., having its registered office in Altamura (BA), via Ottavio Serena 13 is the depositor of the Lehman Programs Securities listed below and that Clearstream Bank, at our request, assigned to each Lehman Programs Security a blocking reference number, as stated below.

Lssuer	ISIN Code	Currency	Nominal Amount	Clearstrc um Blocking #	Clearstream Account #	ICBP1 Account #
LEHMAN 10/10/13 LKD	XS0176153350	EUR	60,000.00	CA81609	81999	99/1/5385/23
LEHMAN 03/11/08 TV	XS0179304869	EUR	80,000.00	CA81611	81999	99/1/5385/23
LEHMAN 5/4/11	XS0189741001	EUR	25,000.00	CA81613	81999	99/1/5385/23
LEHMAN 21/05/09 TV	XS0193035358	EUR	45,000.00	CA81614	81999	99/1/5385/23
LEHMAN 14/7/14 7%	XS0195431613	EUR	25,000.00	CA81618	81999	99/1/5385/23
LEHMAN 09/11/09 TV	XS0205185456	EUR	20,000.00	CA81642	81999	99/1/5385/23
LEHMAN 09/03/15 4%	XS0213899510	EUR	60,000.00	CA81655	81999	99/1/5385/23
LEHMAN 20/07/12 TV	XS0224346592	EUR	660,000.00	CA81663	81999	99/1/5385/23
LEHMAN 10/12 5.375%	XS0326006540	EUR	50,000.00	CA81669	81999	99/1/5385/23
LEHM TSY 2/15 S/D TM	XS0211093041	EUR	400,000.00	CA81648	81999	99/1/5385/22
LEHMAN 18/03/15 TV	X\$0213971210	EUR	360,000.00	CA81657	81999	99/1/5385/22

ISTITUTO CENTRALE DELLE BANCHE POPOLARI 5pA...

Capogruppo del Gruppo ICBPI

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23. Ott. 2009 16:01

Nr. 2947 P. 2

-IX ICBPI

Yours faithfully, Istituto Centrale, delle Banche Popolari S.p.A.

Luciano Meroso Deputy Manager

Milan, 23 October 2009

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Messaggio/i 717 Pagina 1 di 1



MONTE TITOLI S.p.A. CENTRO ELETTRONICO

[Disclaimer] [Copyright]

EXHIBIT 4

Written Consent June 9, 2005

06/08/2005

16:41

LEHMAN → 916467582653

NO.504 P01

UNANIMOUS WRITTEN CONSENT OF THE

EXECUTIVE COMMITTEE OF THE

BOARD OF DIRECTORS OF

LEHMAN BROTHERS HOLDINGS INC.

The undersigned, being both members of the Executive Committee of the Board of Directors of Lehman Brothers Holdings Inc., a Delaware corporation (the "Corporation"), do hereby adopt the following resolutions by unanimous written consent in lieu of a meeting in accordance with Section 141(f) of the General Corporation Law of the State of Delaware:

WHEREAS, the Corporation has previously authorized by specific resolution, which authority has not been revoked (the "Outstanding Guarantee Resolutions"), the guarantee of all or specified obligations and liabilities of certain direct and indirect subsidiaries of the Corporation, each of which is a "Guaranteed Subsidiary" as such term is used in the Corporation's Code of Authorities as currently in effect (the "Code"),

WHEREAS, certain of the Guaranteed Subsidiaries presently enjoy full guarantees while others have only partial guarantees, and the Corporation now wishes to expand such partial guarantees to full guarantees,

WHEREAS, due to the passage of time the names of certain of the Guaranteed Subsidiaries have changed, rendering the Outstanding Guarantee Resolutions out of date to that extent,

WHEREAS, the Corporation wishes to clarify that its guarantee of any Guaranteed Subsidiary with respect to any given transaction is not contingent upon the issuance of a signed guarantee pertaining to such transaction,

WHEREAS, Management wishes to establish additional Guaranteed Subsidiaries,

WHEREAS, Management wishes to specify that to the extent lawful and allowable, guarantees issued by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, so as to secure certain tax and accounting benefits, and

WHEREAS, Management believes that it would facilitate the conduct of the business of the Corporation to supersede and replace the various Outstanding Guarantee Resolutions in their entirety with this single document,

NOW THEREFORE BE IT.

06-09-05 11:31 JDM INVESTMENTS

ID=2023380294

P.02

HD. 290 004 ND. 504 002

RESOLVED, that the Corporation hereby fully guarantees the payment of all liabilities, obligations and commitments of the subsidiaries set forth on Schedule A hereto, each of which shall be a Guaranteed Subsidiary for purposes of the Code;

RESOLVED, that the Outstanding Guarantee Resolutions are hereby superseded and replaced in their entirety with this single document, provided that any guarantees provided pursuant to the Outstanding Guarantee Resolutions and outstanding on the deve bereof, whether in the form of a separately executed individual guarantee or otherwise, shall remain issued, outstanding and valid for all purposes;

RESOLVED, that guarantees provided by the Corporation concerning certain of the Guaranteed Subsidiaries should originate with the branch of the Corporation located in London, England, to the extent lawful and allowable, as specified on Schedule A hereto:

RESOLVED, that each of the persons listed in the Code (as it may be amended from time to time) as being authorized to approve individual guarantees issued by the Corporation with respect to Guaranteed Subsidiaries, or any proper delegae thereof (collectively, "Authorized Persons"), are hereby authorized, in the name and on behalf of the Corporation, to execute such guarantees in such form as is approved by an antorney of the Corporation and such Authorized Person, subject to any limitations specified herein, his or her execution of each such guarantee to be conclusive evidence of approval thereof; and to do such other acts and things as may be advisable or necessary in order to effect the purposes and intern of these resolutions; and

FURTHER RESOLVED, that any and all actions contemplated by the foregoing resolutions and taken by such Authorized Persons prior to the date hereof are hereby ratified, confirmed and approved in all respects.

Dated: June 9, 2005

Dichard C Enld Is

John D. Macomber

06/08/2005

16:41

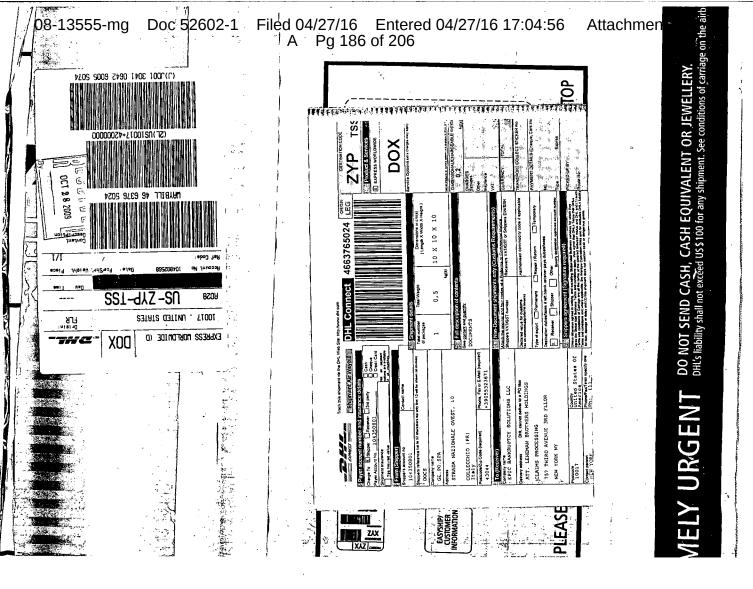
LEHMAN → 916467582653

NO.504

003

Schedule A to LBHI Unanimous Written Consent dated June 9, 2005

	Name of Subsidiary	Issue Corporation guarantee from branch located in London, England, to the extent lawful and allowable?
1.	Lehman Brothers Asia Holdings Limited	No
2.	Lehman Brothers Bankhaus A.G.	Yes (London branch of such subsidiary only)
3.	Lehman Brothers Commercial Bank	No
4.	Lehman Brothers Commercial Corporation	No
5.	Lehman Brothers Commercial Corporation Asia Limited	No
6.	Lehman Brothers Equity Finance (Cayman) Limited	No
7.	Lehman Brothers Finance S.A.	No
8.	Lehman Brothers Holdings Plc	Yes
9.	Lehman Brothers International (Europe)	Yes
10.	Lehman Brothers Japan Inc.	No
11.	Lehman Brothers (Luxembourg) Equity Finance S.A.	No
12.	Lehman Brothers (Luxembourg) S.A.	No
13.	Lehman Brothers OTC Derivatives Inc.	No
14.	Lehman Brothers Securities Asia Limited	No
15.	Lehman Brothers Securities N.V.	No
16.	Lehman Brothers Special Financing Inc.	No
17.	Lehman Brothers Treasury Co. B.V.	No
18.	Lehman Re Limited	No



Lehman Brothers c/o Epiq Bankrup FDR Station, P.O New York, NY 1		sing Center		URITIES PROGRAMS OF OF CLAIM
In Re:	Holdings Inc., et al.,	Chapter 11 Case No. 08-13555 (JMP)	Lehman Brothers Hold	dings Inc., Et Al.
Debtors.	Holdings Inc., et al.,	(Jointly Administered)	08-13555 (JI	MP) 0000050861
based on Lehr	m may not be used t nan Programs Secur <u>nman-docket.com</u> as			
Name and addres Creditor)	s of Creditor: (and name	and address where notices should be	sent if different from	Check this box to indicate that this claim amends a previously filed claim.
		Funds Management Company	S.A.	Court Claim Number:
	de Metz, L-1930 L			(If known)
		69 route d'Esch, L-1470 Luxe	_	Filed on: 10/28/39
	er: +41 58 344 49 00E	nail Address: compliance@s be sent (if different from above)	wisscanto.ch	
i	s where payment should	oe sent (ii different from above)		Check this box if you are aware that anyone else has filed a proof of claim relating to your claim. Attach copy of statement giving particulars.
Telephone number	er: E1	nail Address:	<u> </u>	
Programs Securit and whether such dollars, using the you may attach a	ies as of September 15, 2 claim matured or becam exchange rate as applica schedule with the claim	2008, whether you owned the Lehman e fixed or liquidated before or after S ble on September 15, 2008. If you are amounts for each Lehman Programs S	Programs Securities on Septen eptember 15, 2008. The claim filing this claim with respect to Security to which this claim relationships.	the amount owed under your Lehman nber 15, 2008 or acquired them thereafter, amount must be stated in United States o more than one Lehman Programs Security, ates.
Amount of Clair	n: \$ <u>at least 47.</u>	<u> 808,109.49 (Required(see a</u>	ttached Annex)	
Check this b	ox if the amount of clair	n includes interest or other charges in	addition to the principal amoun	nt due on the Lehman Programs Securities.
this claim with re which this claim	spect to more than one L relates.	ehman Programs Security, you may a		which this claim relates. If you are filing for the Lehman Programs Securities to
International Se	curities Identification N	Number (ISIN): XS0292528311	(Required)	
3. Provide the Cleappropriate (each from your account	earstream Bank Blocking , a "Blocking Number") htholder (i.e. the bank, br	Number, a Euroclear Bank Electroni for each Lehman Programs Security to oker or other entity that holds such se	for which you are filing a claim curities on your behalf). If you	depository blocking reference number, as You must acquire a Blocking Number are filing this claim with respect to more Programs Security to which this claim
number		- \ 6 \	on Reference Number and or	other depository blocking reference
see	enclosed list (attach	· · ·	_	
		(Require		
you are filing this	claim. You must acquii	re the relevant Clearstream Bank, Eur	oclear Bank or other depository	ur Lehman Programs Securities for which participant account number from your rs should not provide their personal account
		stream Bank or Other Depository P	articipant Account Number:	
	16316	(Require		FOR COURT USE ONLY
consent to, and ar disclose your idea	e deemed to have author	eam Bank or Other Depository: By ized, Euroclear Bank, Clearstream Ba man Programs Securities to the Debte	ank or other depository to	FILED / RECEIVED
Date. 21-10-09	of the creditor or other	filing this claim must sign it. Sign at person authorized to file this claim ar in the notice address above. Attach co	d state address and telephone	OCT 2 8 2009
Dancis.	<u> </u>	d alaim. Fina of un es \$500.000 :-	annicomment for up to 5	EPIQ BANKRUPTCY SOLUTIONS, LLC
гепану		t claim: Fine of up to \$500,000 or in	lf C Pranda	p-total. 10 U.S.C. 99 132 and 33/1

or. Gerard Fischer Chairman

Ralf C. Branda Member Board of Directors

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INSTRUCTIONS FOR PROOF OF CLAIM FORM

The questions on the Proof of Claim form include instructions for completing each question. The instructions and definitions below are general explanations of the law. In certain circumstances, such as bankruptcy cases not filed voluntarily by the debtor, there may be exceptions to these general rules.

Creditor's Name and Address:

Fill in the name of the person or entity asserting a claim and the name and address of the person who should receive notices issued during the bankruptcy case. A separate space is provided for the payment address if it differs from the notice address. The creditor has a continuing obligation to keep the court informed of its current address. See Federal Rule of Bankruptcy Procedure (FRBP) 2002(g).

Date and Signature:

The person filing this proof of claim must sign and date it. FRBP 9011. Print the name and title, if any, of the creditor or other person authorized to file this claim. State the filer's address and telephone number if it differs from the address given on the top of the form for purposes of receiving notices. Attach a complete copy of any power of attorney. Criminal penalties apply for making a false statement on a proof of claim.

DEFINITIONS_

Debtor

A debtor is the person, corporation, or other entity that has filed a bankruptcy case.

Creditor

A creditor is the person, corporation, or other entity owed a debt by the debtor on the date of the bankruptcy

filing.

Claim

A claim is the creditor's right to receive payment on a debt that was owed by the debtor on the date of the bankruptcy filing. See 11 U.S.C. §101 (5). A claim may be secured or unsecured, reduced to judgment or not, liquidated or unliquidated, fixed, contingent, matured, unmatured, disputed, undisputed, legal or equitable

Proof of Claim

A proof of claim is a form used by the creditor to indicate the amount of the debt owed by the debtor on the date of the bankruptcy filing. The creditor must file the form with the Claims Agent at the following address:

Lehman Brothers Holdings Claims Processing c/o Epiq Bankruptcy Solutions, LLC FDR Station, PO Box 5076 New York, NY 10150-5076

Lehman Programs Security

Any security included on the list designated "Lehman Programs Securities" available on http://www.lehman-docket.com as of July 17, 2009

_INFORMATION

Acknowledgment of Filing of Claim

To receive acknowledgment of your filing, you may either enclose a stamped self-addressed envelope and a copy of this proof of claim, or you may access the Claims Agent's system (http://www.lehman-docket.com) to view your filed proof of claim.

Offers to Purchase a Claim

Certain entities are in the business of purchasing claims for an amount less than the face value of the claims. One or more of these entities may contact the creditor and offer to purchase the claim. Some of the written communications from these entities may easily be confused with official court documentation or communications from the debtor. These entities do not represent the bankruptcy court or the debtor. The creditor has no obligation to sell its claim. However, if the creditor decides to sell its claim, any transfer of such claim is subject to FRBP 3001(e), any applicable provisions of the Bankruptcy Code (11 U.S.C. § 101 et seq.), and any applicable orders of the bankruptcy court.

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Annex to Proof of Claim Form of Swisscanto (LU) Portfolio Funds Management Company S.A.

In addition to the statements set forth on its proof of claim form and in the documents attached hereto and/or submitted in accordance with the applicable procedures, Swisscanto (LU) Portfolio Funds Management Company S.A. ("Swisscanto") states as follows in support of its claim against the Debtor:

- 1. In addition to the amount of its claim, Swisscanto asserts a claim for any and all interest (including default interest), costs, fees, charges and expenses (including attorneys' fees) due and owing or that may become due and owing or otherwise allowable in connection with its clam.
- 2. Swisscanto is filing this proof of claim in anticipation of the claims bar date (the "Bar Date"), which has been set as November 2, 2009, pursuant to the Court's July 2, 2009 Order pursuant to Section 502(b)(9) of the Bankruptcy Code and Bankruptcy Rule 3003(c)(3) Establishing the Deadline for Filing Proofs of Claim, Approving the Form and Manner of Notice Thereof and Approving the Proof of Claim Form. Swisscanto reserves the right to amend, modify, and/or supplement this proof of claim at any time, including, without limitation, for the purpose of asserting additional claims, whether arising from the transactions or documents described in Swisscanto's proof of claim, this attachment, or otherwise. Swisscanto also reserves its rights to assert any and all rights of setoff that it may have against the Debtor in respect of its claims, including, without limitation, the right to set off its claims against any claims that the Debtor (or any successor, assignee or person claiming through the Debtor, as the case may be) may assert against Swisscanto or its successors or assigns, whether or not arising under the transactions set forth in this proof of claim. Swisscanto also reserves its right to pursue claims (including, but not limited to, the claims described herein) against the Debtor based upon additional or alternative legal theories. Swisscanto also reserves the right to assert administrative expense claims.
- 3. Swisscanto reserves its right to seek to have the reference withdrawn with respect to the subject matter of these claims, any objection or other proceedings commenced with respect thereto, or any other proceedings commenced in this case or otherwise involving Swisscanto. By filing this proof of claim, Swisscanto does not intend to submit to the jurisdiction of the Bankruptcy Court for any purpose other than the determination and allowance of this claim.
 - 4. All notices and any distribution with respect to this proof of claim should be sent to:

ARNOLD & PORTER LLP
399 Park Ave, 34th Fl.
New York, NY 10022
Attn: Anthony Boccanfuso
Telephone number: (212) 715-1000
Facsimile: (212) 715-1399

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EUR WMCO Curncy CHF WMCO Curncy
Date PX_LAST Date PX_LAST
15.09.2008 1.4189 15.09.2008

Attachement 1 Claim (incl. nominal and interests) Lehman Exposure of Portfolio Funds Management Company S.A. as of 15.09.08

Claims in USD						
Fund		ISIN	Nominal	Intrest	Interest E	Exposure Total
SW (LU) Portfolio Fund Growth (EUR)		XS0292528311 U	USD 567'560.00	6.301%	35'761.83	603'321.83
SW (LU) Portfolio Fund Balanced (EUR)		XS0292528311 USD			125'166.40	2'111'626.40
SW (LU) Portfolio Fund Yield (EUR)		XS0292528311 USD	SD 993'230.00	6.301%	62'583.20	1'055'813.20
SW (LU) Portfolio Fund Yield	•	XS0292542494 USD 18'467'303.06	SD 18'467'303.06	3.483%	643'244.21	19'110'547.27
SW (LU) Portfolio Fund Balanced		XS0292542494 USD 17:396'734.77	SD 17'396'734.77	3.483%	605'954.69	18'002'689.46
SW (LU) Portfolio Fund Growth		XS0292542494 USD 6'691'051.83	SD 6'691'051.83	3.483%	233'059.50	6'924'111.33
Total Claims in USD		11 JUSD 46:102339:66	SD 4610Z33996		7,1705769,83	47'808'109.49

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SF0001M Inc			Term Sheet: 1m Libor	-	
		Index	EE0001M In		Index
30.03.2007	PX_LAST 2.21833	4 00000	Date	PX_LAST	
02.04.2007		1.00000	30.03.2007	3.86613	1.00000
02.04.2007	2.20750	1.00012	02.04.2007	3.86613	1.00021
04.04.2007	2.21000 2.20917	1.00018	03.04.2007	3.86625	1.00031
04.04.2007		1.00023	04.04.2007	3.86538	1.00042
06.04.2007	2.21000 2.21000	1.00029	05.04.2007	3.86275	1.00052
09.04.2007	2.21000	1.00035	06.04.2007	3.86275	1.00063
10.04.2007	2.21000	1.00053 1.00059	09.04.2007	3.86275	1.00094
11.04.2007	2.21667	1.00059	10.04.2007	3.85913	1.00105
12.04.2007	2.22000	1.00000	11.04.2007	3.85775	1.00115
13.04.2007	2.21000	1.00076	12.04.2007 13.04.2007	3.85788	1.00126
16.04.2007	2.20833	1.00076		3.85388	1.00136
17.04.2007	2.21000	1.00100	16.04.2007	3.85638	1.00167
18.04.2007	2.21000	1.00106	17.04.2007 18.04.2007	3.85638	1.00178
19.04.2007	2.21000	1.00100		3.85838	1.00188
20.04.2007	2.21000	1.00111	19.04.2007	3.85838	1.00199
23.04.2007	2.21000	1.00117	20.04.2007	3.85900	1.00209
24.04.2007	2.21000	1.00135	23.04.2007 24.04.2007	3.85975	1.00241
25.04.2007	2.20083	1.00141	25.04.2007 25.04.2007	3.85975	1.00251
26.04.2007	2.20000	1.00153	26.04.2007 26.04.2007	3.85975 3.85988	1.00261
27.04.2007	2.20417	1.00158	27.04.2007	3.86075	1.00272 1.00282
30.04.2007	2.20667	1.00136	30.04.2007	3.86013	1.00262
01.05.2007	2.20583	1.00173	01.05.2007	3.86013	1.00314
02.05.2007	2.20750	1.00188	02.05.2007	3.86413	1.00324
03.05.2007	2.22000	1.00194	03.05.2007	3.86713	1.00335
04.05.2007	2.22083	1.00199	04.05.2007	3.86413	1.00356
07.05.2007	2.22083	1.00217	07.05.2007	3.86750	1.00387
08.05.2007	2.22583	1.00223	08.05.2007	3.86613	1.00398
09.05.2007	2.22000	1.00229	09.05.2007	3.86088	1.00408
10.05.2007	2.22000	1.00235	10.05.2007	3.86538	1.00419
11.05.2007	2.21167	1.00241	11.05.2007	3.87475	1.00410
14.05.2007	2.23000	1.00258	14.05.2007	3.89188	1.00461
15.05.2007	2.24000	1.00264	15.05.2007	3.89288	1.00471
16.05.2007	2.26083	1.00270	16.05.2007	3.89488	1.00482
17.05.2007	2.26667	1.00276	17.05.2007	3.91625	1.00493
18.05.2007	2.28000	1.00282	18.05.2007	3.92163	1.00503
21.05.2007	2.29000	1.00301	21.05.2007	3.94163	1.00535
22.05.2007	2.29000	1.00307	22.05.2007	3.94413	1.00546
23.05.2007	2.30000	1.00313	23.05.2007	3.94813	1.00557
24.05.2007	2.32500	1.00319	24.05.2007	3.97413	1.00567
25.05.2007	2.32000	1.00325	25.05.2007	3.97875	1.00578
28.05.2007	2.32000	1.00344	28.05.2007	3.97938	1.00611
29.05.2007	2.32583	1.00350	29.05.2007	3.98963	1.00622
30.05.2007	2.33500	1.00356	30.05.2007	4.00650	1.00633
31.05.2007	2.35417	1.00356	31.05.2007	4.02813	1.00633
01.06.2007	2.36000	1.00362	01.06.2007	4.03425	1.00643
04.06.2007	2.36333	1.00381	04.06.2007	4.04263	1.00676
05.06.2007	2.36250	1.00388	05.06.2007	4.05338	1.00688
06.06.2007	2.36833	1.00394	06.06.2007	4.05750	1.00699
07.06.2007	2.39000	1.00400	07.06.2007	4.08813	1.00710
08.06.2007	2.38500	1.00407	08.06.2007	4.09963	1.00721
11.06.2007	2.38000	1.00426	11.06.2007	4.10963	1.00754

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Interest Calculation (according to Term Sheet: 1m Libor Rates)					
SF0001M Inc		Index	EE0001M inc	•	index
	PX_LAST	····uox		PX_LAST	IIIGEA
12.06.2007	2.39500	1.00432	12.06.2007	4.11188	1.00766
13.06.2007	2.42000		13.06.2007	4.11463	1.00777
14.06.2007	2.41833		14.06.2007	4.11800	1.00777
15.06.2007	2.42500		15.06.2007	4.11600	1.00700
18.06.2007	2.41917		18.06.2007	4.11275	1.00733
19.06.2007	2.41917		19.06.2007	4.11413	1.00844
20.06.2007	2.47583		20.06.2007	4.11425	1.00856
21.06.2007	2.52000		21.06.2007	4.11625	1.00867
22.06.2007	2.62250		22.06.2007	4.11688	1.00878
25.06.2007	2.59167		25.06.2007	4.11363	1.00912
26.06.2007	2.60083		26.06.2007	4.11613	1.00923
27.06.2007	2.62083		27.06.2007	4.12000	1.00934
28.06.2007	2.62000		28.06.2007	4.11363	1.00946
29.06.2007	2.61500		29.06.2007	4.11438	1.00957
02.07.2007	2.59500		02.07.2007	4.11225	1.00991
03.07.2007	2.58583		03.07.2007	4.10900	1.01002
04.07.2007	2.60333		04.07.2007	4.10375	1.01013
05.07.2007	2.60750		05.07.2007	4.10725	1.01024
06.07.2007	2.60750		06.07.2007	4.10825	1.01036
09.07.2007	2.60833		09.07.2007	4.10813	1.01069
10.07.2007	2.61000		10.07.2007	4.10688	1.01081
11.07.2007	2.59750		11.07.2007	4.10688	1.01092
12.07.2007	2.61000		12.07.2007	4.10813	1.01103
13.07.2007	2.60500		13.07.2007	4.10813	1.01114
16.07.2007	2.59333		16.07.2007	4.10563	1.01148
17.07.2007	2.55667		17.07.2007	4.10550	1.01159
18.07.2007	2.58000		18.07.2007	4.10413	1.01171
19.07.2007	2.57667		19.07.2007	4.10538	1.01182
20.07.2007	2.57750	1.00693	20.07.2007	4.10550	1.01193
23.07.2007	2.57667	1.00714	23.07.2007	4.10613	1.01227
24.07.2007	2.59000	1.00721	24.07.2007	4.10613	1.01238
25.07.2007	2.58500	1.00728	25.07.2007	4.10613	1.01249
26.07.2007	2.59167	1.00735	26.07.2007	4.10625	1.01261
27.07.2007	2.56167	1.00742	27.07.2007	4.10813	1.01272
30.07.2007	2.56000		30.07.2007	4.10575	1.01306
31.07.2007	2.56167	1.00762	31.07.2007	4.10613	1.01306
01.08.2007	2.55167		01.08.2007	4.10813	1.01317
02.08.2007	2.55667	1.00776	02.08.2007	4.10938	1.01328
03.08.2007	2.58167	1.00783	03.08.2007	4.11388	1.01340
06.08.2007	2.58833	1.00804	06.08.2007	4.11463	1.01374
07.08.2007	2.59333	1.00811	07.08.2007	4.11750	1.01385
08.08.2007	2.59750	1.00818	08.08.2007	4.13000	1.01396
09.08.2007	2.65000	1.00825	09.08.2007	4.20000	1.01408
10.08.2007	2.64500	1.00832	10.08.2007	4.23875	1.01419
13.08.2007	2.65167	1.00853	13.08.2007	4.25250	1.01454
14.08.2007	2.64167	1.00860	14.08.2007	4.27688	1.01466
15.08.2007	2.63500	1.00868	15.08.2007	4.28875	1.01478
16.08.2007	2.73167	1.00875	16.08.2007	4.35688	1.01489
17.08.2007	2.76000		17.08.2007	4.43250	
20.08.2007	2.77000	1.00904	20.08.2007	4.43875	1.01538
21.08.2007	2.78000	1.00912	21.08.2007	4.44125	1.01550
22.08.2007	2.76167	1.00919	22.08.2007	4.45250	1.01562

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		cording to T	erm Sheet: 1m Libor	Rates)	
SF0001M Ind	ex (ndex	EE0001M Inc	dex i	ndex
Date I	PX_LAST		Date F	X_LAST	
23.08.2007	2.74000	1.00927	23.08.2007	4.45500	1.01575
24.08.2007	2.71500	1.00934	24.08.2007	4.45125	1.01587
27.08.2007	2.71500	1.00956	27.08.2007	4.44750	1.01624
28.08.2007	2.70000	1.00964	28.08.2007	4.42125	1.01636
29.08.2007	2.72000	1.00971	29.08.2007	4.43000	1.01648
30.08.2007	2.73500	1.00978	30.08.2007	4.44750	1.01661
31.08.2007	2.73750	1.00978	31.08.2007	4.45938	1.01661
03.09.2007	2.73333	1.01000	03.09.2007	4.45875	1.01697
04.09.2007	2.73167	1.01008	04.09.2007	4.47063	1.01710
05.09,2007	2.73333	1.01015	05.09.2007	4.50000	1.01722
06.09.2007	2.73167	1.01023	06.09.2007	4.48250	1.01735
07.09.2007	2.73000	1.01030	07.09.2007	4.44813	1.01747
10.09.2007	2.72833	1.01052	10.09.2007	4.44500	1.01784
11.09.2007	2.71583	1.01060	11.09.2007	4.45000	1.01796
12.09.2007	2.70833	1.01067	12.09.2007	4.44438	1.01808
13.09.2007	2.71000	1.01074	13.09.2007	4.43063	1.01821
14.09.2007	2.59667	1.01082	14.09.2007	4.42500	1.01833
17.09.2007	2.58500	1.01103	17.09.2007	4.42625	1.01870
18.09.2007	2.57833	1.01110	18.09.2007	4.43000	1.01882
19.09.2007	2.55000	1.01116	19.09.2007	4.41813	1.01894
20.09.2007	2.55333	1.01123	20.09.2007	4.41438	1.01996
21.09.2007	2.55333	1.01130	21.09.2007	4.41500	1.01900
24.09.2007	2.53333	1.01151	24.09.2007	4.41000	1.01915
25.09.2007	2.52833	1.01158	25.09.2007	4.40875	1.01967
26.09.2007	2.52500	1.01165	26.09.2007	4.40875	1.01980
27.09.2007	2.52833	1.01171	27.09.2007	4.40688	1.01992
28.09.2007	2.53167	1.01178	28.09.2007	4.40688	1.02004
01.10.2007	2.53167	1.01199	01.10.2007	4.39000	1.02004
02.10.2007	2.54167	1.01206	02.10.2007	4.38250	1.02041
03.10.2007	2.54500	1.01212	03.10.2007	4.37063	
04.10.2007	2.54833	1.01212	04.10.2007		1.02065
05.10.2007	2.53667	1.01218	05.10.2007	4.35375 4.33625	1.02077
08.10.2007	2.52833	1.01247	08.10.2007		1.02089
09.10.2007	2.52167	1.01254		4.32875	1.02125
10.10.2007	2.50833	1.01254	09.10.2007 10.10.2007	4.30938	1.02137
11.10.2007	2.49167	1.01267	11.10.2007	4.28000 4.25000	1.02149 1.02161
12.10.2007	2.47583	1.01274	12.10.2007	4.20000	1.02173
15.10.2007	2.46500	1.01274	15.10.2007	4.18438	1.02173
16.10.2007	2.44500	1.01301	16.10.2007	4.18000	1.02208
17.10.2007	2.42167	1.01307	17.10.2007	4.18000	
18.10.2007	2.42000	1.01314	18.10.2007		1.02231
19.10.2007	2.39833	1.01314		4.17375	1.02242
22.10.2007	2.38000	1.01340	19.10.2007	4.17000	1.02254
23.10.2007	2.38000		22.10.2007	4.17313	1.02289
24.10.2007		1.01346	23.10.2007	4.17000	1.02300
24.10.2007 25.10.2007	2.35667	1.01352	24.10.2007	4.16375	1.02312
	2.34333	1.01359	25.10.2007	4.15375	1.02323
26.10.2007	2.33833	1.01365	26.10.2007	4.15625	1.02335
29.10.2007	2.30667	1.01384	29.10.2007	4.15750	1.02369
30.10.2007	2.29167	1.01390	30.10.2007	4.15875	1.02381
31.10.2007	2.28333	1.01390	31.10.2007	4.15563	1.02381
01.11.2007	2.27333	1.01396	01.11.2007	4.15000	1.02393
02.11.2007	2.24667	1.01403	02.11.2007	4.14375	1.02404

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Interest Calculation (according to Term Sheet: 1m Libor Rates) SF0001M Index Index EE0001M Index Date PX_LAST Date PX_LAST 05.11.2007 2.22000 1.01421 05.11.2007 4.14375 1.02439 06.11.2007 2.19667 1.01427 06.11.2007 4.14000 1.02450 07.11.2007 2.18833 1.01433 07.11.2007 4.14375 1.02462 08.11.2007 2.18667 08.11.2007 1.01438 4.13875 1.02473 09.11.2007 2.18167 1.01444 09.11.2007 4.13500 1.02485 12.11.2007 2.18500 1.01462 12.11.2007 4.13625 1.02519 13.11.2007 2.19833 1.01468 13.11.2007 4.14000 1.02531 14.11.2007 2.22167 1.02542 1.01474 14.11.2007 4.14000 15.11.2007 2.22833 1.01480 15.11.2007 4.14188 1.02554 2.22833 16.11.2007 1.01486 16.11.2007 4.14438 1.02565 19.11.2007 2.23500 19.11.2007 1.01504 4.15750 1.02600 20.11.2007 2.24333 20.11.2007 1.02611 1.01510 4.16938 21.11.2007 2.25167 1.01516 21.11.2007 4.17375 1.02623 22.11.2007 2.24833 22.11.2007 4.19563 1.02634 1.01522 23.11.2007 2.25000 1.01528 23.11.2007 4.20250 1.02646 26.11.2007 2.25167 1.01546 26.11.2007 4.19188 1.02681 27.11.2007 2.25000 1.01552 27.11.2007 4.17750 1.02693 28.11.2007 2.25000 1.01558 28.11.2007 4.16625 1.02704 29.11.2007 2.66500 1.01564 29.11.2007 4.80938 1.02716 1.01572 30.11.2007 2.66500 30.11.2007 4.82125 1.02730 03.12.2007 4.83625 2.65750 1.01593 03.12.2007 1.02770 2.67167 04.12.2007 1.01600 04.12.2007 4.84875 1.02783 05.12.2007 2.67500 1.01608 05.12.2007 4.85438 1.02797 2.66917 06.12.2007 1.01615 06.12.2007 4.85625 1.02811 2.67333 07.12.2007 07.12.2007 4.87563 1.01622 1.02824 10.12.2007 2.67667 1.01644 10.12.2007 4.89625 1.02865 11.12.2007 2.68500 4.92250 1.02879 1.01651 11.12.2007 12.12.2007 2.73167 1.01659 12.12.2007 4.94500 1.02893 13.12.2007 2.75000 1.01666 13.12.2007 4.93500 1.02906 14.12.2007 2.74333 1.01674 14.12.2007 4.93375 1.02920 17.12.2007 2.73667 17.12.2007 4.92375 1.02962 1.01696 18.12.2007 2.73500 1.01703 18.12.2007 4.58813 1.02976 19.12.2007 2.75333 4.56375 1.02988 1.01711 19.12.2007 20.12.2007 2.74500 1.01718 20.12.2007 4.53500 1.03001 21.12.2007 2.74000 21.12.2007 4.46625 1.03014 1.01726 24.12.2007 2.74500 24.12.2007 4.43688 1.03051 1.01748 25.12.2007 2.74500 1.01756 25.12.2007 4.43688 1.03064 26.12.2007 2.74500 1.01763 26.12.2007 4.43688 1.03076 27.12.2007 4.42250 1.03089 2.70167 1.01771 27.12.2007 28.12.2007 2.45333 1.01778 28.12.2007 4.29438 1.03101 31.12.2007 2.42833 31.12.2007 4.28125 1.03137 1.01798 1.01804 01.01.2008 2.42833 01.01.2008 4.28125 1.03149 02.01.2008 2.42167 4.22625 1.03161 1.01811 02.01.2008 03.01.2008 2.40667 03.01.2008 4.21000 1.03173 1.01818 04.01.2008 2.40667 1.01824 04.01.2008 4.20313 1.03185 07.01.2008 2.39833 4.20000 1.03220 1.01844 07.01.2008 08.01.2008 2.39500 1.01850 08.01.2008 4.20000 1.03232 09.01.2008 1.03243 2.38333 09.01.2008 4.21000 1.01857 10.01.2008 2.37500 1.01863 10.01.2008 4.20500 1.03255 11.01.2008 2.36667 4.20000 1.03267 1.01870 11.01.2008 2.34167 14.01.2008 14.01.2008 4.20125 1.03302 1.01889

4.20188

15.01.2008

1.03314

15.01.2008

2.30667

1.01895

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			Term Sheet: 1m Libo	•	
SF0001M inc		ndex	EE0001M ir	ndex	Index
	PX_LAST		Date	PX_LAST	
16.01.2008	2.27833	1.01901	16.01.2008	4.19625	1.03326
17.01.2008	2.34500	1.01908	17.01.2008	4.19375	1.03337
18.01.2008	2.37500	1.01914	18.01.2008	4.18688	1.03349
21.01.2008	2.39500	1.01933	21.01.2008	4.18563	1.03384
22.01.2008	2.39583	1.01940	22.01.2008	4.17000	1.03396
23.01.2008	2.43500	1.01946	23.01.2008	4.16938	1.03408
24.01.2008	2.43667	1.01953	24.01.2008	4.17625	1.03420
25.01.2008	2. 444 17	1.01960	25.01.2008	4.19000	1.03431
28.01.2008	2.44667	1.01979	28.01.2008	4.18688	1.03466
29.01.2008	2.44833	1.01986	29.01.2008	4.19000	1.03478
30.01.2008	2.45000	1.01993	30.01.2008	4.19375	1.03490
31.01.2008	2.45833	1.01993	31.01.2008	4.19250	1.03490
01.02.2008	2.45833	1.01999	01.02.2008	4.19125	1.03502
04.02.2008	2.49500	1.02019	04.02.2008	4.19000	1.03537
05.02.2008	2.51833	1.02026	05.02.2008	4.18063	1.03549
06.02.2008	2.51333	1.02033	06.02.2008	4.17625	1.03561
07.02.2008	2.53667	1.02040	07.02.2008	4.17625	1.03572
08.02.2008	2.57167	1.02047	08.02.2008	4.17063	1.03584
11.02.2008	2.59167	1.02068	11.02.2008	4.17563	1.03619
12.02.2008	2.60000	1.02075	12.02.2008	4.17625	1.03631
13.02.2008	2.61333	1.02082	13.02.2008	4.17813	1.03643
14.02.2008	2.62500	1.02089	14.02.2008	4.17938	1.03654
15.02.2008	2.63500	1.02096	15.02.2008	4.18000	1.03666
18.02.2008	2.64333	1.02118	18.02.2008	4.18000	1.03701
19.02.2008	2.63333	1.02125	19.02.2008	4.18000	1.03713
20.02.2008	2.63833	1.02132	20.02.2008	4.18000	1.03725
21.02.2008	2.63833	1.02139	21.02.2008	4.18125	1.03737
22.02.2008	2.63167	1.02147	22.02.2008	4.17875	1.03748
25.02.2008	2.62667	1.02168	25.02.2008	4.18125	1.03784
26.02.2008	2.62167	1.02175	26.02.2008	4.18563	1.03795
27.02.2008	2.62000	1.02183	27.02.2008	4.18813	1.03807
28.02.2008	2.61167	1.02190	28.02.2008	4.20125	1.03819
29.02.2008	2.61000	1.02197	29.02.2008	4.20125	1.03831
03.03.2008	2.60833	1.02218	03.03.2008	4.20000	1.03866
04.03.2008	2.56833	1.02225	04.03.2008	4.20125	1.03878
05.03.2008	2.54833	1.02232	05.03.2008	4.20250	1.03890
06.03.2008	2.54167	1.02239	06.03.2008	4.21250	1.03902
07.03.2008	2.54667	1.02246	07.03.2008	4.27000	1.03914
10.03.2008	2.57667	1.02267	10.03.2008	4.29688	1.03950
11.03.2008	2.57833	1.02274	11.03.2008	4.30750	1.03962
12.03.2008	2.56833	1.02281	12.03.2008	4.30375	1.03974
13.03.2008	2.55833	1.02288	13.03.2008	4.30563	1.03986
14.03.2008	2.51500	1.02295	14.03.2008	4.31375	1.03998
17.03.2008	2.51333	1.02316	17.03.2008	4.33188	1.04035
18.03.2008	2.52167	1.02323	18.03.2008	4.33688	1.04047
19.03.2008	2.54000	1.02329	19.03.2008	4.34813	1.04059
20.03.2008	2.55833	1.02336	20.03.2008	4.35625	1.04072
21.03.2008	2.55833	1.02343	21.03.2008	4.35625	1.04084
24.03.2008	2.55833	1.02364	24.03.2008	4.35625	1.04121
25.03.2008	2.58833	1.02371	25.03.2008	4.37250	1.04133
26.03.2008	2.64667	1.02378	26.03.2008	4.38188	1.04145
27.03.2008	2.69000	1.02386	27.03.2008	4.38313	1.04158

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	•	•	Term Sheet: 1m Libor	•	
SF0001M Ind		ndex	EE0001M Inc	dex (ndex
	PX_LAST		Date !	PX_LAST	
28.03.2008	2.71667	1.02393	28.03.2008	4.36000	1.04170
31.03.2008	2.71833	1.02415	31.03.2008	4.35875	1.04207
01.04.2008	2.72500	1.02423	01.04.2008	4.35688	1.04220
02.04.2008	2.69167	1.02430	02.04.2008	4.35188	1.04232
03.04.2008	2.63167	1.02438	03.04.2008	4.35125	1.04244
04.04.2008	2.61000	1.02445	04.04.2008	4.34938	1.04256
07.04.2008	2.60333	1.02466	07.04.2008	4.34750	1.04293
08.04.2008	2.59333	1.02473	08.04.2008	4.35063	1.04306
09.04.2008	2.57500	1.02481	09.04.2008	4.35313	1.04318
10.04.2008	2.55667	1.02488	10.04.2008	4.35938	1.04330
11.04.2008	2.54500	1.02495	11.04.2008	4.36375	1.04343
14.04.2008	2.53667	1.02515	14.04.2008	4.36688	1.04380
15.04.2008	2.53333	1.02522	15.04.2008	4.37063	1.04392
16.04.2008	2.52500	1.02529	16.04.2008	4.37250	1.04405
17.04.2008	2.52417	1.02536	17.04.2008	4.37063	1.04417
18.04.2008	2.52000	1.02543	18.04.2008	4.37375	1.04429
21.04.2008	2.51667	1.02564	21.04.2008	4.37750	1.04466
22.04.2008	2.51167	1.02571	22.04.2008	4.38813	1.04479
23.04.2008	2.51333	1.02578	23.04.2008	4.38688	1.04491
24.04.2008	2.47167	1.02584	24.04.2008	4.38563	1.04504
25.04.2008	2.45667	1.02591	25.04.2008	4.38813	1.04516
28.04.2008	2.45000	1.02611	28.04.2008	4.38688	1.04554
29.04.2008	2.43833	1.02618	29.04.2008	4.38563	1.04566
30.04.2008	2.40583	1.02625	30.04.2008	4.38688	1.04578
01.05.2008	2.39667	1.02631	01.05.2008	4.38813	1.04591
02.05.2008	2.39333	1.02638	02.05.2008	4.38625	1.04603
05.05.2008	2.39333	1.02657	05.05.2008	4.38563	1.04641
06.05.2008	2.37467	1.02664	06.05.2008	4.38625	1.04653
07.05.2008	2.37333	1.02670	07.05.2008	4.38313	1.04666
08.05.2008	2.36167	1.02677	08.05.2008	4.38438	1.04678
09.05.2008	2.35333	1.02683	09.05.2008	4.38313	1.04691
12.05.2008	2.35333	1.02703	12.05.2008	4.38125	1.04728
13.05.2008	2.34833	1.02709	13.05.2008	4.38313	1.04740
14.05.2008	2.34500	1.02716	14.05.2008	4.38313	1.04753
15.05.2008	2.34167	1.02722	15.05.2008	4.37688	1.04765
16.05.2008	2.34000	1.02728	16.05.2008	4.37063	1.04778
19.05.2008	2.34500	1.02747	19.05.2008	4.37188	1.04815
20.05.2008	2.34333	1.02754	20.05.2008	4.37563	1.04827
21.05.2008	2.34167	1.02760	21.05.2008	4.37313	1.04840
22.05.2008	2.33500	1.02767	22.05.2008	4.37438	1.04852
23.05.2008	2.33417	1.02773	23.05.2008	4.37438	1.04865
26.05.2008	2.33417	1.02792	26.05.2008	4.37375	1.04902
27.05.2008	2.33250	1.02799	27.05.2008	4.37313	1.04915
28.05.2008	2.32750	1.02805	28.05.2008	4.37125	1.04927
29.05.2008	2.35000	1.02811	29.05.2008	4.46188	1.04940
30.05.2008	2.36000	1.02818	30.05.2008	4.46000	1.04952
02.06.2008	2.36500	1.02831	02.06.2008	4.46219	1.04978
03.06.2008	2.36500	1.02837	03.06.2008	4.46500	1.04970
04.06.2008	2.36500	1.02844	04.06.2008	4.45938	1.05003
05.06.2008	2.36500	1.02850	05.06.2008	4.45625	1.05016
06.06.2008	2.39167	1.02857	06.06.2008	4.47625	1.05029
09.06.2008					1.05067

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